

Cervical Disc Arthroplasty: Rationale, Designs, and Results of Randomized Controlled Trials

Djani M. Robertson, Andy Ton, Michael Brown, Shane Shahrestani, Emily S. Mills, Jeffrey C. Wang, Raymond J. Hah and Ram K. Alluri

Int J Spine Surg published online 27 February 2024 https://www.ijssurgery.com/content/early/2024/02/23/8586

This information is current as of May 23, 2025.

Email Alerts Receive free email-alerts when new articles cite this article. Sign up at: http://ijssurgery.com/alerts



Cervical Disc Arthroplasty: Rationale, Designs, and Results of Randomized Controlled Trials

DJANI M. ROBERTSON, MD¹; ANDY TON, BS²; MICHAEL BROWN, BS²; SHANE SHAHRESTANI, MD, PHD^{2,3}; EMILY S. MILLS, MD²; JEFFREY C. WANG, MD²; RAYMOND J. HAH, MD²; AND RAM K. ALLURI, MD²

¹Department of Orthopedic Surgery, NYU Langone Medical Center, New York, NY, USA; ²Department of Orthopedic Surgery, Keck Medical Center of USC, Los Angeles, CA, USA; ³Department of Medical Engineering, California Institute of Technology, Pasadena, CA, USA

ABSTRACT

Background: This review outlines clinical data and characteristics of current Food and Drug Administration (FDA)—approved implants in cervical disc replacement/cervical disc arthroplasty (CDR/CDA) to provide a centralized resource for spine surgeons.

Methods: Randomized controlled trials (RCTs) on CDR/CDA were identified using a search of the PubMed, Web of Science, and Google Scholar databases. The initial search identified 69 studies. Duplicates were removed, and the following inclusion criteria were applied when determining eligibility of RCTs for the current review: (1) discussing CDR/CDA prosthesis and (2) published within between 2010 and 2020. Studies without clinical data or that were not RCTs were excluded. All articles were reviewed independently by 2 authors, with the involvement of an arbitrator to facilitate consensus on any discrepancies.

Results: A total of 34 studies were included in the final review. Findings were synthesized into a comprehensive table describing key features and clinical results for each FDA-approved CDR/CDA implant and are overall suggestive of expanding indications and increasing utilization.

Conclusions: RCTs have provided substantial evidence to support CDR/CDA for treating single- and 2-level cervical degenerative disc disease in place of conventional anterior cervical discectomy and fusion.

Clinical Relevance: This review provides a resource that consolidates relevant clinical data for current FDA-approved implants to help spine surgeons make an informed decision during preoperative planning.

Level of Evidence: 5

Cervical Spine

Keywords: cervical, cervical disc arthroplasty, cervical disc replacement, anterior cervical discectomy and fusion, degenerative disc disease, myelopathy

INTRODUCTION

Until recently, anterior cervical discectomy and fusion (ACDF) had been the customary surgical treatment option for patients with symptomatic cervical degenerative disc disease (DDD). Although ACDF has been widely accepted as an efficacious treatment for radiculopathy or myelopathy secondary to cervical DDD, the incidence of adjacent segment disease (ASD) postoperatively remains a concern. This significant limitation has since driven the search for treatment alternatives that can provide clinical outcomes similar to ACDF while preserving motion at the operative levels.

Over the past 2 decades, cervical disc replacement/ cervical disc arthroplasty (CDR/CDA) has gained considerable traction as an alternative treatment option to ACDF. CDR shares many indications with ACDF but offers superior preservation of native spinal kinematics.⁴ This characteristic feature is thought to be protective against the development of ASD because it minimizes aberrant distribution of mechanical forces unto structures adjacent to the operative levels.⁵ This theoretical advantage has driven substantial interest in the translation of these concepts into clinically relevant applications.

As such, many randomized controlled trials (RCTs) have been conducted to compare CDR to ACDF. Park et al assessed cervical spine kinematics following ACDF and CDR in a prospective RCT and found that CDR significantly improved the restoration of lordotic alignment and disc height while maintaining preoperative translational and angular motion at the operative level. McAfee et al performed a meta-analysis of 4 prospective multicenter RCTs involving 1226 patients. Their analysis demonstrated superior long-term clinical outcomes and survivorship associated with CDA relative to ACDF. Similarly, in a meta-analysis of 18 RCTs, Gao et al reported greater clinical efficacy with CDR over ACDF in treating single-level cervical DDD across a

number of outcome measures including visual analog scale neck and arm pain scores, neurological function, postoperative range of motion, and need for additional surgery.⁸

As increasing evidence surfaces to corroborate the clinical success of CDR, indications continue to expand as well. CDR was initially used to treat single-level cervical DDD but has since extended its application to 2-level cervical pathologies in light of supporting literature. 2,9-11 As CDR continues to establish itself as a viable treatment option for cervical pathology, the authors of this study felt it was important to perform a thorough review of this procedure. The aim of this review study was 3-fold: (1) to discuss the background of CDR and its potential benefits compared with anterior discectomy and fusion, (2) to discuss the history of currently and previously available CDR prostheses and depict all Food and Drug Administration (FDA)approved devices in a table format, and (3) to highlight all RCTs conducted comparing ACDF to CDR in a readily accessible, synthesized table. The main purpose of this article is to serve as a resource for spine surgeons to quickly refer, in table format, FDA-approved CDR implant characteristics and the available clinical data for each CDR implant.

MATERIALS AND METHODS

Search Strategy

In July 2020, a search using PubMed, Web of Science, and Google Scholar was conducted to identify RCTs on CDR/CDA. The following Boolean search terms were used to identify studies of interest: ([CDR OR CDA OR total disc replacement OR total disc arthroplasty] AND [RCT]). As such, studies published between 2010 and 2020 were eligible for inclusion. The same search terms were used for each database, and the syntax was adjusted accordingly. The reference lists of all included studies were also reviewed. Two authors (D.R. and S.S.) independently reviewed each article, and any discrepancies were discussed by an arbitrator (K.A.) until a consensus was reached. D.R. and S.S. performed data extraction once the list of included studies was finalized.

Selection Criteria and Data Collection

Overall, the initial search identified 69 studies. Duplicates were removed, after which the following search criteria were applied (1) studies discussing a CDR prosthesis, (2) published within the last 10 years, and (3) written in the English language. The full text

was reviewed if any discrepancies arose while parsing through the studies. Studies were excluded if they were (1) case studies, (2) book chapters, (3) animal and/or nonhuman models, and (4) non-RCTs. RCTs that did not measure clinical outcomes were also excluded. For example, some studies only assessed radiographic outcomes and were therefore excluded. RCTs that were published from earlier results of the same initial trial were also excluded. A total of 34 studies met inclusion and exclusion criteria and were included in the review (Figure). Characteristics of CDR were prespecified and included the manufacturer, images and x-rays of the implant, articulating materials, center of rotation, internal fixation methodology, FDA approval characteristics, magnetic resonance imaging compatibility, and disc height availability. Finally, a comprehensive table highlighting the key features and results of each study was created.

RESULTS

CDR History

Although CDR has only gained substantial support in recent years, its history dates back to the 1960s. Disc replacement was first introduced in 1966 by Swedish surgeon, Ulf Fernstrom. His spherical stainless steel prosthesis aimed to preserve mobility and restore disc articulation and height. 12 This precursory model, however, was associated with hypermobility of adjacent segments, implant migration, subsidence, and vertebral body erosion.¹² In 1989, B.H. Cummins introduced a second generation of disc replacements through a stainless steel ball-and-socket design. This prosthesis, however, yielded substandard preliminary results in a study of 18 patients, in which 100% of patients experienced lasting dysphagia, 22% fixation failure, and 6% instability. 12,13 Although presumed unsuccessful, this implant prompted the inception of the first successful cervical disc prosthesis. The Frenchay cervical disc, an iteration of Cummins's precedent model, demonstrated favorable results in a 2002 study and was subsequently developed into the Prestige ST Cervical Disc, one of the several cervical disc implants gaining FDA approval in the 2000s. ¹⁴ A current list of all FDA-approved CDR prostheses with accompanying clinical and radiographic images is listed in Table 1.

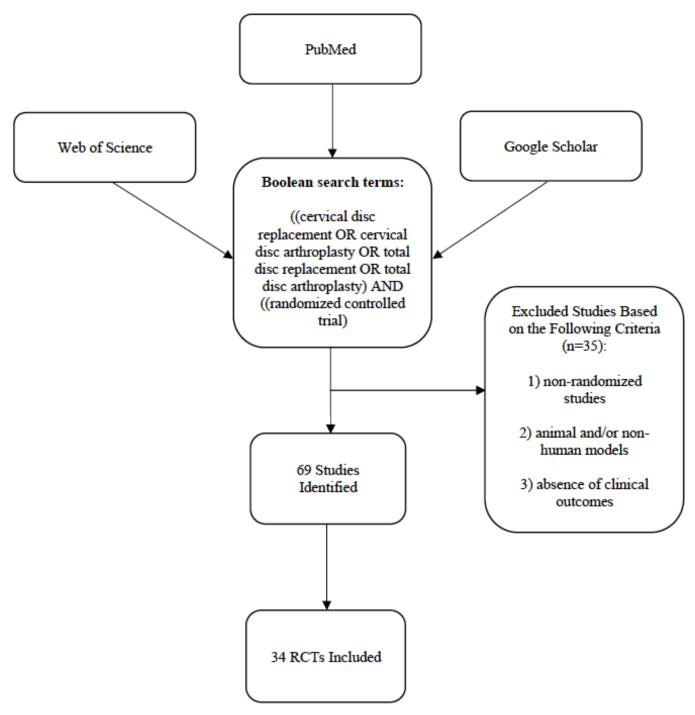


Figure. Flowchart of recorded articles related to randomized controlled trials comparing cervical disc arthroplasty to anterior discectomy and fusion.

CDR Advantages

CDR offers several advantages over ACDF when treating single- and 2-level cervical DDD. The placement of artificial disc implants in lieu of rigid fusion constructs preserves motion at operative levels and facilitates normative load-sharing at index levels and their adjacent segments. Taken in conjunction, these features likely account for the notably lower incidence of ASD following CDR as compared with ACDF. In a

meta-analysis of 11 RCTs comparing CDR and ACDF outcomes in treating single- and 2-level cervical DDD, Xu et al found significantly reduced ASD incidence and reoperation requirement with CDR use. 15 With respect to long-term outcomes, Ghobrial et al similarly found significantly decreased development of symptomatic ASD requiring surgery in the cervical total disc replacement cohort compared with ACDF (6.9% vs 11.7% respectively) at 7 years. 16

Commercially Available Yes Yes Yes Available Disc Heights (mm) 5, 6, 7, and 8 5, 6, and 7 6 and 7 8.5 Conditional

Maximum spatial gradient magnetic field of <4000 Gauss/cm (40 T/m)^b MRI Compatible^a: Yes Yes Yes FDA Approved for: Single/2 Level 8 8 S_N Yes Yes Yes Yes Year Approved by FDA Table 1. Summary of current FDA-approved cervical disc arthroplasty devices with clinical and radiographic image examples. 2019 2009 2007 2014 Serrated fins Milled bone Internal Fixation Screws Keels Theoretical Center of Rotation Inferior vertebra Superior vertebra Inferior vertebra Within implant Titanium-aluminum-vanadium Titanium-aluminum-vanadium Cobalt-chrome-molybdenum Articulating Materials alloy alloy Picture and X-ray of Implant Implant Name and Manufacturer Prestige LP— Medtronic Prodisc-C— Centinel Spine M6—Spinal Kinetics

Implant Name and Manufacturer	Picture and X-ray of Implant	Articulating Materials	Theoretical Center of Rotation	Internal Fixation	Year Approved by FDA	FDA Approved for: Single/2 Level	ved for: <u>Level</u>	MRI Compatible ^a :	Available Disc Heights (mm)	Commercially Available
Simplify— Simplify Medical		Polyetheretherketone and porous frantum plasma coating	Variable	Serrated fins and teeth	2020	Yes	Yes	Yes	4, 5, and 6	Yes
Secure-C-Globus Medical	I IE	Coball-chrome-molybdenum	"Natural"	Serrated keels	2012	Yes	$\overset{\circ}{Z}$	Conditional Maximum spatial gradient magnetic field of ≤4000 Gauss/cm (40 T/m)	7, 8, 9, 10, 11, and 12	Xes
Mobi-C— Zimmer Biomet	HH	Cobalt-chrome-molybdenum, plasma sprayed titanium, and hydroxyapatite coating	Self-adjusting mobile core	Teeth	2013	Yes	Yes	Conditional • Maximum spatial gradient magnetic field of ≤ 970 Gauss/cm (9.7 T/m)	5, 6, and 7	Yes

Abbreviations: FDA, Food and Drug Administration; MRI, magnetic resonance imaging.

"Mit magnetic resonance will sale mediate a very specific set of conditions/MRI settings provided in the labeling.

"Pull conditional and a very specific set of conditions/MRI settings provided in the labeling.

"Pull conditional magnetic resonance (ARI) setting passed on the following MR specifications established through nonclinical testing: (1) static magnetic field of 1.5 and 3.0 Testa only, (2) specified maximum spatial gradient magnetic field (implant specific), and (3) maximum MR system reported, whole body averaged specific absorption rate of 2 W/Ng in normal operating mode.

Table 2. Overview of randomized controlled trials on cervical disc arthroplasty.

Author (y)	Study Treatment	Follow-Up (mo)	Number of Levels
Radcliff et al (2017) ⁹	Mobi-C	84	1
Vaccaro et al (2018) ¹⁰	Secure-C	84	1
Garrido et al (2010) ¹⁹	Bryan	48	1
Burkus et al (2014) ²⁰	Prestige ST	48	1
Rožanković et al (2014) ²¹	Discover	24	1
Hisey et al (2016) ²²	Mobi-C	60	1
Janssen et al (2015) ²⁴	ProDisc-C	84	1
Phillips et al (2015) ²³	Porous Coated Motion (PCM)	60 and 84	1
Sasso et al (2017) ²⁶	Bryan	84 and 120	1
Sasso et al (2007) ²⁵	Bryan	24	1
Skeppholm et al (2015) ¹⁰	Discover	24	1
Lavelle et al (2019) ²⁷	Bryan artificial disc	120	1
Vleggeert-Lankamp et al (2019) ²⁸	ActivC	24	1
Zhang et al (2012) ²⁹	Bryan	24	1
Zhang et al (2014) ³⁰	Mobi-C	48	1
Coric et al (2018) ³¹	Kineflex C	60	1
Coric et al (2006) ³²	Bryan	24	1
Donk et al (2017) ³³	Bryan	60	1
Cheng et al (2011) ³⁴	Bryan	36	1, 2, and 3
Porchet et al (2004) ³⁵	Prestige II	24	1
Miller et al (2008) ³⁶	Bryan	84	1
McAfee et al (2010) ³⁷	PCM	24	1
Nabhan et al (2007) ³⁹	ProDisc-C	36	1
Nabhan et al. (2007) ³⁹	ProDisc-C	6	1
Hou et al (2016) ⁴⁰	Mobi-C	60	1
Riina et al (2008) ⁴¹	Prestige ST	24	1
Sundseth et al (2017) ⁴²	Discover	24	1
Hacker (2005) ⁴³	Bryan	12	1
Skeppholm et al (2013) ⁴⁴	Discover	24	1
MacDowall et al (2019) ⁴⁵	Discover	60	1
Radcliff et al (2017) ⁹	Mobi-C	84	2
Skeppholm (2015) ¹⁰	Discover	24	2
Cheng et al (2009) ⁴⁸	Bryan	24	2
Yang et al (2018) ⁴⁷	Mobi-C	81	2

Biomechanical advantages seen with CDA also translate into improved clinical outcomes. Findlay et al affirmed this notion through a meta-analysis of 14 studies showing superior clinical outcomes—from 2 to 7 years—with respect to Neck Disability Index (NDI) and 36-Short-Form Health Survey (SF-36) physical component scores, as well as overall patient satisfaction.⁶ Another meta-analysis conducted by Zhu et al further attributed superior NDI scores in addition to a safer risk profile. ACDF, however, was associated with shorter operative times and noninferiority across blood loss, hospital length of stay (LOS), and requirement for additional procedures. ¹⁷ Tables 2 and 3 provide a concise overview of design features and published outcomes from RCTs associated with various cervical disc implants.^{9,10,18–47}

Longitudinal evidence further indicates CDR as a more cost-effective treatment relative to conventional ACDF. Radcliff et al performed a 7-year health economics analysis demonstrating superior cost efficiency with CDR for the treatment of single-level cervical DDD, whereby CDR was associated with a mean cost savings of \$12,789 per patient compared with ACDF.⁴⁹ These findings lend support to a surgical decision model proposed by Qureshi et al, which established 14 years as the minimal time period in which CDR function needs to be preserved to maintain greater cost-effectiveness over ACDF.³¹

CDR and Heterotrophic Ossification

Heterotrophic ossification (HO) frequently occurs after CDR and is thought to be a sequelae of either extensive vertebrae endplate preparation or colli muscle debridement. Arthrodesis resulting from HO induces aberrant loading across the index and adjacent segments, leading to decreased range of motion and increased prosthesis failure. Despite these alternations to spinal kinematics, the overall prevalence of ASD after CDR remains relatively low compared with ACDF.⁵⁰ Similarly, in line with other arthroplasty procedures, HO progresses slowly in CDR, displaying an incremental increase in prevalence over time. In a meta-analysis of 8 articles examining the prevalence of HO at 1 and 2 years after CDR, Chen et al reported the pooled prevalence of HO to be 44.6% and 58.2% at 1 and 2 years, respectively.⁴⁸ Over a more extensive follow-up period, Sheng reported pooled prevalences of 50%, 60%, and 70% at 1 or 2, 5 or 6, and 10 years postoperatively.⁵⁰

The association between CDR and the development of HO has yet to be fully elucidated, but evidence suggests that the incidence of HO may be contingent on the biomechanic properties of individual prostheses. In a retrospective analysis, Yi et al compared the HO incidence of ProDisc-C, Mobi-C, and Bryan prosthesis in 170 patients with a minimum of 12-month follow-up, revealing that Bryan had the lowest occurrence of HO, while ProDisc-C had the highest.⁵¹ A prior study by Zeng et al that compared HO incidence between the same 3 prostheses in patients 4 years postoperatively also found HO to occur most with the ProDisc-C and least with the Bryan prosthesis. 52 The Bryan and Mobi-C allow more degrees of freedom of motion compared with the ProDisc-C, which is a fixed-core prosthesis. This distinction may contribute to increased stress at the prosthesis-endplate interface, potentially contributing to the development of HO in more constrained implant designs.

 Table 3. Summary of cervical disc arthroplasty randomized controlled trials.

N = 245 $n = 164$ $n = 81$	NDI recovery ratio: 67% VAS neck pain recovery ratio: 71% VAS arm pain recovery ratio: 73% SF-12 PCS recovery ratio: 22% SF-12 MCS recovery ratio: 11% NDI status at follow-up: 84.6% improved, 14.2% not improved, and 1.2% worse Patient satisfaction: 90.9% ^a VAS neck pain status at follow-up: 87.5% improved, 8.8% not improved, and 3.8% worse	3%	40.4% (superior level) and 43.8% (inferior level) ^a	The intervention provided a similar reduction in patient-reported outcomes of pain and function while providing a lower risk for reoperation at both treated
	VAS neck pain recovery ratio: 71% VAS arm pain recovery ratio: 73% SF-12 PCS recovery ratio: 22% SF-12 MCS recovery ratio: 11% NDI status at follow-up: 84.6% improved, 14.2% not improved, and 1.2% worse Patient satisfaction: 90.9% VAS neck pain status at follow-up: 87.5% improved, 8.8% not improved, and 3.8%	3%	and 43.8% (inferior	a similar reduction in patient-reported outcomes of pain and function while providing a lower risk for reoperation at both treated
n = 81				and adjacent levels.
	NDI recovery ratio: 64% VAS neck pain recovery ratio: 71% VAS arm pain recovery ratio: 63% SF-12 PCS recovery ratio: 17% SF-12 MCS recovery ratio: 13% NDI status at follow-up: 84.8% improved, 12.7% not improved, and 2.5% worse Patient satisfaction: 77.8% VAS neck pain status at follow-up: 83.3% improved, 8.8% not improved, and 1.3% worse	12.3%	65.1% (superior level) and 63% (inferior level)	
	NDI :marayamant > 25% - 00 4%	4 201	170/ (ayımıntama	1. Intervention was nonin-
n = 124 $n = 101$	NDI improvement >15%: 88.8% VAS neck pain success: 85.7% VAS arm pain success, left: 85.7% VAS arm pain success, right: 84.9% SF-36 PCS success: 72% SF-36 MCS success: 47.2% Neurological status stable/improved: 94.2% Patient satisfaction: 96% ^a NDI improvement >25%: 86% NDI improvement >15%: 84.1% VAS neck pain success: 78.3% VAS arm pain success, left: 75.5% VAS arm pain success, right: 72.6% SF-36 PCS success: 74.5%	15.3%	attributable to adjacent level disease) 37.5% (symptoms attributable to adjacent level disease)	ferior to control in terms of providing long-term pain relief and functional improvement in patients diagnosed with single-level cervical degenerative disc refractory to nonoperative treatment. 2. Intervention statistically superior to control in terms of composite overall success and patient satisfaction.
N - 47	Neurological status stable/improved: 87.1% Patient satisfaction: 88.8%			3. Intervention had lower rates of secondary surgery (index and adjacent levels).
N = 47 $n = 21$	NDI Success: 93.3% Neck pain score % improvement: 80% Arm pain score % improvement: 86% SF-36 PCS score % improvement: 50% SF-36 MCS score % improvement: 24%	4.7%	5% (secondary surgery due to adjacent level disease)	Although not statistically significant, there appear to be clinically favorable outcomes regarding functional outcomes and
n = 26	NDI success: 82.4% Neck pain score % improvement: 67% Arm pain score % improvement: 73% SF-36 PCS score % improvement: 50% SF-36 MCS score % improvement: 13%	23%	12% (secondary surgery due to adjacent level disease)	adjacent segment disease for the arthroplasty cohort.
N = 395 $n = 212$	NDI Success: 83.4% Arm pain improvement: 46.4 points Neck pain improvement: 55.1 points SF-36 PCS scores: 45.1 points at final follow-	4.8%	4.6% (secondary surgery at adjacent levels)	Intervention has the potential for preserving motion at the operated level while providing mechanical stability and
n = 183	Neurological success: 88.2% ^a NDI Success: 80.1% Arm pain improvement: 47.4 points Neck pain improvement: 49.9 points SF-36 PCS scores: 43.2 points at final follow-	13.7%	11.9% (secondary surgery at adjacent levels)	global neck mobility and pay result in a reduction in adjacent segment degeneration.
	N = 47 $n = 21$ $n = 26$ $N = 395$ $n = 212$	VAS arm pain recovery ratio: 63% SF-12 PCS recovery ratio: 17% SF-12 MCS recovery ratio: 13% NDI status at follow-up: 84.8% improved, 12.7% not improved, and 2.5% worse Patient satisfaction: 77.8% VAS neck pain status at follow-up: 83.3% improved, 8.8% not improved, and 1.3% worse N = 225 n = 124 NDI improvement ≥25%: 90.4% NDI improvement ≥15%: 88.8% VAS arm pain success, left: 85.7% VAS arm pain success, left: 85.7% VAS arm pain success, right: 84.9% SF-36 PCS success: 72% SF-36 PCS success: 72% Neurological status stable/improved: 94.2% Patient satisfaction: 96%* NDI improvement ≥15%: 84.1% VAS neck pain success, left: 75.5% VAS arm pain success, right: 72.6% SF-36 PCS success: 74.5% SF-36 PCS success: 93.3% Neck pain score % improvement: 80% Arm pain score % improvement: 73% SF-36 PCS score % improvement: 50% SF-36 PCS score % improvement: 50% SF-36 PCS score % improvement: 50% SF-36 PCS score % improvement: 73% SF-36 PCS score % improvement: 50% SF-36 PCS score % improvement: 40.4 points Neck pain improvement: 41.4 points Neck pain improvement: 42.4 points Neck pain improvement: 44.4 points Neck pain improvement: 49.9 points	VAS arm pain recovery ratio: 63%	VAS arm pain recovery ratio: 17% SF-12 PCS recovery ratio: 17% SF-12 PCS recovery ratio: 13% NDI status at follow-up: 84.8% improved, 12.7% not improved and 2.5% worse Patient satisfaction: 77.8% VAS neck pain status at follow-up: 83.3% improved, 8.8% not improved, and 1.3% WOS NOI improvement ≥55%: 90.4% A.2% NDI improvement ≥55%: 90.4% A.2% NDI improvement ≥55%: 88.8% A.2% A.2% NDI improvement ≥55%: 88.8% A.2% A

Table 3. Continued.

Author (y)	Sample Size	Patient-Reported Outcomes	Secondary Surgery	ASD at Follow-Up	Conclusions
Rožanković et al (2014) ²¹	N = 101				
Intervention	<i>n</i> = 51	NDI score: 11.60 final (preop 50.90) ^a VAS arm score: 1.70 final (preop 7.70) VAS neck pain: 2.36 final (preop 7.56) ^a	-	-	The intervention provided better results after a 2-y follow-up compared with
Control	n = 50	NDI score: 19.68 final (preop 51.20) VAS arm score: 2.42 final (preop 7.66) VAS neck pain: 3.46 final (preop 7.50)	-	-	control.
Hisey et al (2016) ²²	N = 245				
Intervention	n = 164	NDI, VAS (neck and arm), and SF-12 scores: statistically similar between intervention	4.9% and 3%	37.1% (superior level) ^a	The intervention has the potential advantage of
Control	n = 81	and control	17.3% and 11.1%	54.7% (superior level)	lower rates of reoperation and adjacent segment degeneration through 60 mo in treatment of single-level symptomatic cervical degenerative disc disease.
Janssen et al (2015) ²⁴	N = 152				diseaser
Intervention	n = 79	Score/Point Improvements NDI: 31.87 SF-36 PF: 10.99 SF-36 role limitation due to physical health: 16.03	7%ª	5.8% (secondary surgery at adjacent level)	At 7 y postoperatively, all outcomes were similar between the 2 cohorts. However, intervention was associated with a
Control	n = 73	SF-36 role limitation due to emotional problems: 9.67 SF-36 energy/fatigue: 12.43 SF-36 emotional well-being: 7.59 SF-36 social functioning: 15.64 SF-36 bodily pain: 16.05 SF-36 general health: 0.21 SF-36 PCS: 12.24 SF-36 MCS: 8.93 VAS neck pain: 45.67 VAS arm pain: 40.72 VAS satisfaction with surgery: 85.81/100 Neurological success: 88% Score/Point Improvements NDI: 30.3 SF-36 PF: 9.89 SF-36 role limitation due to physical health: 15.24 SF-36 energy/fatigue: 10.21 SF-36 energy/fatigue: 10.21 SF-36 social functioning: 15.02 SF-36 bodily pain: 15.94 SF-36 general health: 0.64 SF-36 PCS: 12.09 SF-36 MCS: 6.93 VAS neck pain: 42.88	18%	12.2% (secondary surgery at adjacent level)	lower risk of secondary surgery at both index and adjacent vertebral levels.
Phillips et al (2015) ²³	N = 293	VAS neck pain: 42.88 VAS arm pain: 38.83 VAS satisfaction with surgery: 81.81/100 Neurological success: 89%			

Table 3. Continued.

Author (y)	Sample Size	Patient-Reported Outcomes	Secondary Surgery	ASD at Follow-Up	Conclusions
Intervention	n = 163	NDI success: 85% ^a VAS neck pain success: 71.9% VAS arm pain success: 80.6% SF-36 PCS score improvement: 73.7% ^a SF-36 MCS score improvement: 46.2% Neurological success: 92.4% Patient exticipation: 86.0/100 ^a	8.5%	Degeneration at Adjacent Levels 33.1% (superior) ^a and 49.2% (inferior)	Compared with the control, the intervention group demonstrated equivalent or better clinical outcomes while preserving cervical
Control	n = 130	Patient satisfaction: 86.9/100 ^a NDI success: 74.2% VAS neck pain success: 75.8% VAS arm pain success: 71.1% SF-36 PCS score improvement: 56.7% SF-36 MCS score improvement: 54.3% Neurological success: 87.5% Patient satisfaction: 78.3/100	13%	Degeneration at Adjacent Levels 50.9% (superior) and 51.7% (inferior)	motion. 2. Intervention had improved function, lower rates of prolonged dysphagia, greater patient satisfaction, lower incidence of adjacent level degeneration, and lower rate of secondary surgery.
Sasso et al (2017) ²⁶ Intervention	N = 42 $n = 19$	Mean Scores at Final Follow-up	9%	_	At the final 120 mo
		NDI: 8.05 ^a VAS neck pain: 1.3 VAS arm pain: 0.84			follow-up, both groups demonstrated sustained improvement compared
Control	n = 23	Mean Scores at Final Follow-up NDI: 15.48 VAS neck pain: 1.5 VAS arm pain: 0.74	32%	-	with the baseline. The intervention group demonstrated greater improvement in NDI compared with the control group. The reoperation rate was lower in the intervention group, but this was not statistically significant.
Sasso et al (2007) ²⁵ Intervention	N = 115 n = 56	Scores at Final Follow-up	3.57%	3.57% (secondary	At a 2 y follow-up, the
intervention ,	n = 30	NDI: 11 ^a Neck pain VAS: 16 ^a Arm pain VAS: 14 ^a SF-36 PCS: 51 ^a SF-36 MCS: 54	3.31 //	procedure for adjacent level disease)	intervention group demonstrated statistically significant improvements in NDI, neck pain, and SF-36 PCS.
Control	n = 59	Scores at Final Follow-up Final NDI: 20 Neck pain VAS: 32 Arm pain VAS: 28 SF-36 PCS: 46 SF-36 MCS: 52	6.7%	3.39% (secondary procedure for adjacent level disease)	or sores.
Skeppholm et al	N = 125	<u>51 30 Mes</u> . 52			
(2015) ¹⁰ Intervention	n = 67	Mean Score at Final Follow-up NDI: 39.1 EQ-5D: 0.72 VAS neck pain: 25.6 VAS arm pain: 19.2	11%	-	No significant superiority in NDI or secondary outcome variables in the intervention group
Control	n = 58	Mean Score at Final Follow-up NDI: 40.1 EQ-5D: 0.71 VAS neck pain: 28.7 VAS arm pain: 20.1	4%	-	compared with the ACDF group. 2. Reoperations were higher in the intervention group, but not significantly so. 3. No differences in secondary surgery for adjacent segment disease were seen after 2 y. 4. Artificial disc replacement did not result in better outcomes compared with fusion measured with NDI
Lavelle et al (2019) ²⁷	N = 232				2 y after surgery.

Table 3. Continued.

Author (y)	Sample Size	Patient-Reported Outcomes	Secondary Surgery	ASD at Follow-Up	Conclusions
Intervention	n = 128	Mean NDI improvement: Δ38.3 ^a NDI success rate: 90.5% ^a Mean VAS neck pain improvement: Δ54.3 Mean VAS arm pain score: Δ58.1	9.7%	9.7% (secondary surgery at adjacent levels)	While there may be some convergence of clinical benefit over time, there is maintenance of advantage
Control	n = 104	SF-36 PCS score improvement: Δ14.9 ^a Mean NDI improvement: Δ31.1 NDI success rate: 75.7% Mean VAS neck pain improvement: Δ49.2 Mean VAS arm pain score: Δ51.6	15.8%	15.8% (secondary surgery at adjacent levels)	in preserved motion and rates of reoperation for cervical disc arthroplasty.
Vleggeert-Lankamp et al (2019) ²⁸	N = 98	SF-36 PCS score improvement: Δ12.6			
Intervention	n = 32	Score at Final Follow-up NDI: 20 ± 22 (preop 47 ± 17) VAS arm pain: 17 ± 30 (preop 60 ± 24) VAS neck pain: 23 ± 32 (preop 50 ± 27) EQ-5D: 0.82 ± 0.23 (preop 0.59 ± 0.20) VAS health: 74 ± 25 (preop 45 ± 22) Likert global health recovery (% satisfied): 65.6% Likert arm pain: (% satisfied): 65.6%	6.2%		It seems that there is no strong evidence in favor of 1 of the 3 treatment strategies based on the 2 y evaluation of results. They all give comparable clinical results, and all 3 options are acceptable.
Control 1 (ACDF)	n = 34	SF-36 PCS: 72.2 ± 27 (preop 41.3 ± 14) SF-36 MCS: 74.3 ± 25 (preop 54.9 ± 25) Score at Final Follow-up NDI: 19 ± 18 (preop 41 ± 13) VAS arm pain: 15 ± 23 (preop 57 ± 20) VAS neck pain: 23 ± 27 (preop 53 ± 26) EQ-5D: 0.83 ± 0.18 (preop 0.70 ± 0.18) VAS health: 74 ± 24 (preop 53 ± 23) Likert global health recovery (% satisfied):	11.8%	-	
Control 2 (ACD)	n = 32	67.6% <u>Likert arm pain (% satisfied)</u> : 73.5% <u>SF-36 PCS</u> : 75.9 ± 23 (preop 44.7 ± 15) <u>SF-36 MCS</u> : 81.6 ± 19 (preop 61.7 ± 22) <u>NDI</u> : 19 ± 15 (preop 64 ± 22) <u>VAS arm pain</u> : 18 ± 25 (preop 64 ± 22) <u>VAS neck pain</u> : 21 ± 23 (preop 56 ± 31) <u>EQ-5D</u> : 0.83 ± 0.17 (preop 0.54 ± 0.20) <u>VAS health</u> : 69 ± 24 (preop 48 ± 26) <u>Likert global health recovery (% satisfied)</u> : 62.5% <u>Likert arm pain (% satisfied)</u> : 68.8% <u>SF-36 PCS score</u> : 68.3 ± 24 (preop 41.2 ± 14)	6.2%	-	
Zhang et al (2012) ²⁹	N = 109	<u>SF-36 MCS score</u> : 71.2 ± 23 (preop 57.9 ± 21)		-	
Intervention	n = 56	NDI improvement: Δ36.89 VAS neck pain improvement: Δ49.27 VAS arm pain improvement: Δ54.96	1.8%	-	Baseline changes in NDI and neck and arm pain were similar in patients
Control	n = 53	NDI improvement: Δ38.98 VAS neck pain improvement: Δ47.38 VAS arm pain improvement: Δ55.45	7.5%	-	in the intervention and control groups.
Zhang et al (2014) ³⁰ Intervention Control Coric et al (2018) ³¹	N = 111 $n = 55$ $n = 56$ $N = 269$	JOA, VAS, and NDI scores at final follow-up: Not statistically different between groups	Ī	-	- -
Intervention	n = 136	<u>NDI score</u> : 18.5 (preop 62.8) <u>VAS pain score</u> : 20.8 (preop 77.1)	8.8% (rate of reoperation or revision)	ASD scores at final follow-up: 65.7% (superior level, preop 51.1%) ^a and 84.9% (inferior level, preop 47.4%)	There were statistically significant differences between the groups, favoring the intervention group when evaluating ASD and some clinical
Control	n = 133	NDI score: 23 (preop 61.8) VAS pain scores: 24.2 (preop 75.7)	8.3% (rate of reoperation or revision)	ASD scores at final follow-up: 93.2% (superior level, preop 53.1%) and 86.8% (inferior	outcome measures. At no point was there a significant difference favoring the control.
Coric et al (2006) ³²	<i>N</i> = 33			level, preop 53.3%)	

Table 3. Continued.

Author (y)	Sample Size	Patient-Reported Outcomes	Secondary Surgery	ASD at Follow-Up	Conclusions
Intervention	n = 17	Score at Final Follow-up NDI: 9 (preop 41) SF-36 PCS: 50 (preop 34) SF-36 MCS: 56 (preop 48) VAS arm pain: 10 (preop 60) VAS neck pain: 18 (preop 79)	-	-	Similar improvements in the clinical parameters were observed in both groups, but in the intervention group, there was radiographic evidence
Control	n = 16	Score at Final Follow-up NDI: 23 (preop 48) SF-36 PCS: 46 (preop 32) SF-36 MCS: 49 (preop 51) VAS arm pain: 30 (preop 61) VAS neck pain: 38 (preop 68)	-	-	of motion at the treated level.
Donk et al (2017) ³³	<i>N</i> = 140	All Groups at Final Follow-up NRS arm pain: 1.8 ± 2.5 NRS neck pain: 1.9 ± 2.6			
Intervention	n = 49	Mean Improvement at Final Follow-up NDI: 7.5 ± 8.5 (preop 18.8 ± 7.5) SF-36 PCS: 32.1 ± 2.5 (preop 44.1 ± 13.9) SF-36 MCS: 22.8 ± 2.1 (preop 58.3 ± 22.2)	2%ª	0% (surgery for ASD)	This trial did not detect a difference between 3 surgical modalities for treating a single-
Control 1 (ACDF)	n = 46	Mean Improvement at Final Follow-up NDI: 7.5 ± 8.5 (preop 18.8 ± 7.4) SF-36 PCS: 32.1 ± 2.5 (preop 44.0 ± 11.0) SF-36 MCS: 22.8 ± 2.1 (preop 55.7 ± 21.1)	13% ^a	10.6% (surgery for ASD)	level degenerative disc disease. There was also no statistically significant difference between groups
Control 2 (ACD)	n = 45	Mean Improvement at Final Follow-up NDI: 7.5 ± 8.5 (preop 17.1 ± 6.4) SF-36 PCS: 32.1 ± 43.6 SF-36 MCS: 22.8 ± 2.1 (preop 62.1 ± 18.8)	8.9% ^a	6.7% (surgery for ASD)	regarding surgery for adjacent segment disease.
Cheng et al (2011) ³⁴	N = 83	Both Groups at Final Follow-up NDI, SF-36, and JOA scores: Patients in intervention group had significantly better			
Intervention	n = 41	Modified Odom's Criteria score at final follow-up: 58.5% excellent, 34.1% good, and 7.3% fair	-	-	Intervention is safe for the treatment of patients with cervical myelopathy and
Control	n = 42	Modified Odom's Criteria score at final follow-up: 58.5% excellent, 25% good, 15% fair, and 5% poor	-	-	comparable to control in improving functional outcomes at 1 and up to 3 y after surgery.
Porchet et al (2004) ³⁵	N = 55				
Intervention Control Miller et al (2018) ³⁶	n = 27 $n = 28$ $N = 70$	NDI and arm pain frequency and intensity at final follow-up: Improvement seen was statistically equivalent between both groups Neck pain frequency and intensity: Statistical equivalence could not be shown between the 2 groups SF-36 at final follow-up: Differences in scores between treatment groups were not statistically significant		0% t level secondary surgery condary myelopathy)	Most outcomes measured seemed to favor the intervention group, but the differences were not statistically superior. Radiographic analyses showed that the intervention maintained motion at the treated level without actual adjacent segment compromise.
Intervention	n = 34	-	-	Adjacent level degeneration: 0.318 (preop 0.313) at 84 mo; 0.295 (preop 0.313) at 60 mo	Adjacent level degeneration occurred in a similar manner in both the intervention and control groups.
Control	n = 36	-	-	Adjacent level degeneration: 0.299 (preop 0.310) at 84 mo; 0.310 (preop 0.310) at 60 mo	S - F -
McAfee et al (2010) ³⁷	<i>N</i> = 251			2.2.2.7 40 00 110	

Table 3. Continued.

Author (y)	Sample Size	Patient-Reported Outcomes	Secondary Surgery	ASD at Follow-Up	Conclusions
Intervention	n = 151	Incidence at Final Follow-up <u>Dysphagia</u> : 85% none, 11.9% mild, 2.9% moderate, and 0% evere ^a	-	-	In this study, the incidence of postoperative dysphagia and the
Control	n = 100	<u>Dysphonia</u> : 9.0 ± 15.4 Incidence at Final Follow-up <u>Dysphagia</u> : 72.4% none, 13.8% mild, 13.8% moderate, and 0% severe <u>Dysphonia</u> : 13.1 ± 18.8	-	-	long-term resolution of dysphagia were greatly improved in the intervention group compared with the control group.
Nabhan et al (2007) ³⁸ Intervention	N = 41 $n = 20$	VAS neck pain: 1.7 (preop 6.0)	-	-	After both procedures, a
Control	n = 21	VAS arm pain: 1.2 (preop 7.3) VAS neck pain: 2.5 (preop 6.2) VAS arm pain: 1.7 (preop 7.2)	-	-	significant pain reduction in neck and arm was observed, with no significant differences between both groups.
Nabhan et al., 2007 ³⁹ Intervention	N = 33 $n = 16$	VAS neck pain: 2.8 (preop 6.2)	-	-	Both treatments resulted in
Control	n = 17	VAS arm pain: 1.4 (preop 7.6) VAS neck pain: 2.0 (preop 6.4) VAS arm pain: 1.7 (preop 7.2)	-	-	significant reduction of neck and arm pain without statistical difference between groups
Hou et al (2016) ⁴⁰ Intervention	N = 99 $n = 51$	JOA score: 14.7 VAS for pain scores: 0.4 NDI scores: 19.7	1.97%	-	Both intervention and control treatments are effective in improving
Control	n = 48	JOA score: 14.5 VAS for pain scores: 0.4 NDI scores at final follow-up: 18.5	14.6%	-	clinical status at up to 5 y follow-up. Intervention is a safe and encouraging alternative to the control treatment, particularly in patients with single-level cervical disc degeneration who require surgery.
Riina et al (2008) ⁴¹ Intervention	N = 16 $n = 9$	Improvement at Final Follow-up VAS neck pain: 17.9 (preop 74.8) VAS arm pain: 17.2 (preop 69.1) NDI: 18.9 (preop 65.5) Neurological status: 100% motor function and reflexes, 77.8% sensory function, and overall SF-36 PCS success rate: 77.8% SF-36 MCS success rate: 66.7%	-	-	Neurological function and neck pain were better addressed in the intervention group, but arm pain was better addressed in the control group. The intervention performed as least as well as the control.
Control	<i>n</i> = 7	Improvement at Final Follow-up VAS neck pain: 17.4 (preop 71.6) VAS arm pain: 8.6 (preop 72.7) NDI: 22.3 (preop 60.2) Neurological status: 100% motor, 85.7% sensory and reflexes, and 71.4% overall SF-36 PCS success rate: 100% SF-36 MCS success rate: 57.1%			as are contact.
Sundseth et al (2017) ⁴²	N = 120				
Intervention	n = 60	Improvement at Final Follow-up NDI: 25 (preop 45.7) EQ-5D-3L: 0.72 (preop 0.37) SF-36 PCS: 46.4 (preop 32.9) SF-36 MCS: 52.3 (preop 47.4) NRS 11th arm pain: 2.0 (preop 6.0)	13.3% (reoperations at index level)	-	Intervention treatment was not superior to control treatment regarding clinical outcomes. The rate of index level reoperations was circuit found to be a control of the co
Control	n = 60	NRS 11th neck pain: 3.0 (preop 7.0) Improvement at Final Follow-up NDI: 21.2 (preop 51.2) EQ-5D-3L: 0.72 (preop 0.28) SF-36 PCS: 46.9 (34.9) SF-36 MCS: 50.3 (preop 44.2) NRS 11th arm pain: 1.5 (preop 6.5)	1.67% ^a (reoperations at index level)	-	significantly higher, and the duration of the surgical procedure was longer with the intervention treatment.
		NRS 11th neck pain: 3.0 (preop 7.0)			

Table 3. Continued.

Author (y)	Sample Size	Patient-Reported Outcomes	Secondary Surgery	ASD at Follow-Up	Conclusions
Intervention Control	n = 13 $n = 15$	NDL, neck pain, arm pain, SF-36 PCS, and SF-36 MCS: No significant difference between groups	-	- -	Preoperative symptoms improved more in intervention group than in control group, but the difference was not statistically significant.
Skeppholm et al (2013) ⁴⁴	N = 136				statistically significant.
Intervention	<i>n</i> = 76	Median dysphagia symptom questionnaire (DSQ) level at final follow-up: 0 ^a	-	-	Prolonged postoperative dysphagia could be
Control	n = 60	Median DSQ level at final follow-up: 1	-	-	explained by factors such as the bulk of implants and decreased motion of the cervical spine.
MacDowall et al (2019) ⁴⁵	<i>N</i> = 137				the cervical spine.
Intervention	n = 67	Improvement at Final Follow-up NDI: 36 (preop 64) EQ-5D: 0.62 (preop 0.37) EQ-5D health: 67.3 (preop 47.2) VAS neck pain: 29.1 (preop 47.2) VAS arm pain: 24 (preop 57) DSQ level: 1.6 (preop 1.4)	25.4%	7.46% (secondary surgery due to clinical adjacent segment pathology at final follow-up) 24% (incidence of mild clinical adjacent segment pathology at final follow-up)	At 5 y, patients in the intervention group did not have better clinical or radiographic outcomes compared with the control group. However, the intervention group had a significantly lower mean DSQ score than the control group at the final
Control	n = 70	Improvement at Final Follow-up NDI: 32.2 (preop 61) EQ-5D: 0.72 (preop 0.46) EQ-5D health: 70.1 (preop 44) VAS neck pain: 31.8 (preop 58.6) VAS arm pain: 23.8 (preop 56.7) DSQ level: 2.3 (preop 1.4)	10%	7.41% (secondary surgery due to clinical adjacent segment pathology at final follow-up) 20% (incidence of mild clinical adjacent segment pathology at final follow-up)	follow-up.
Phillips et al (2021) ²³ Intervention	N = 316 $n = 152$	_	1.9%	Did not assess	_
Control Radcliff et al (2017) ⁹	n = 164 N = 330	-	4.8%	Did not assess	-
Intervention	n = 225	Improvement at Final Follow-up NDI: 18.0 ± 19.1 (preop 53.8 ± 15.4) ^a VAS neck pain: 19.0 ± 27.1 (preop 71.2 ± 20.5) VAS arm pain: 15.9 ± 25.7 (preop 68.8 ± 25.0) SF-12 PCS: 46.3 ± 11.1 (preop 33.4 ± 6.7) SF-12 MCS: 52.0 ± 10.1 (preop 41.9 ± 11.3) NDI and pain status: 80.8% improved, 16.5%	4.4% (index level) ^a and 4.4% (adjacent level) ^a	Adjacent Level Degeneration 37.5% (superior level) and 30.3% (inferior level)	The intervention provided a similar reduction in patient-reported outcomes of pain and function while providing a lower risk for reoperation at both treated and adjacent levels. The difference in
Control	n = 105	not improved, and 2.7% worse Improvement at Final Follow-up NDI: 26.2 ± 22.4 (preop 55.7 ± 15.2) VAS neck pain: 28.7 ± 30.4 (preop 75.1 ± 18.9) VAS arm pain: 18.4 ± 27.0 (preop 73.1 ± 21.9) SF-12 PCS: 43.7 ± 11.9 (preop 32.5 ± 7.7) SF-12 MCS: 49.1 ± 12.7 (preop 42.0 ± 12.0) NDI and pain status: 70.2% improved, 25.9% not improved, and 3.8% worse	10.5% (index level) and 11.4% (adjacent level)	Adjacent Level Degeneration 80.8% (superior level) and 66.7% (inferior level)	clinical effectiveness of intervention vs control becomes more apparent as treatment increases from 1 to 2 levels, indicating a significant benefit for intervention treatment over control treatment for 2-level procedures.
Skeppholm (2015) ¹⁰ Intervention	N = 125 $n = 67$		11%		
Control	n = 67 $n = 58$	- -	11% 4%	- -	- -
Cheng et al (2009) ⁴⁶	N = 62				

Table 3. Continued.

Author (y)	Sample Size	Patient-Reported Outcomes	Secondary Surgery	ASD at Follow-Up	Conclusions
Intervention	n = 30	Improvement at Final Follow-up <u>VAS neck pain</u> : 1.5 (preop 7.3) ^a <u>VAS arm pain</u> : 1.4 (preop 7.1) ^a <u>NDI</u> : 11 (preop 50) ^a SF-36 PCS: 50 (preop 35) ^a	-	-	Intervention treatment was shown to be reliable and safe for the treatment of patients with 2-level cervical disc disease.
Control	n = 32	Improvement at Final Follow-up VAS neck pain: 2.6 (preop 7.1) VAS arm pain: 2.7 (preop 7.2) NDI: 19 (preop 51) SF-36 PCS: 45 (preop 34)	-	-	
Yang et al (2018) ⁴⁷	N = 80				
Intervention	n = 38	Improvement at Final Follow-up NDI: Scores at final follow-up were significantly higher in the control group than intervention group JOA: Scores at final follow-up were	0%	Adjacent Segment Degeneration 15.7% (superior level) ^a and 7.8% (inferior level) ^a	Intervention treatment was safe and effective and a statistically superior alternative to ACDF for degenerative disc disease
Control	n = 42	statistically similar between groups VAS: Scores were significantly lower in the intervention group than in the control group	0%	Adjacent Segment Degeneration 45.7% (superior level) and 33.35% (inferior level)	at 2 contiguous levels. Intervention treatment could reduce the occurrence of ASD at the superior and inferior adjacent segments by reducing the ROM.

Abbreviations: ACDF, anterior cervical discectomy and fusion; ASD, adjacent segment disease; JOA, Japanese Orthopaedic Association; NDI, Neck Disability Index; preop, preoperative; ROM, range of motion; SF-36, short form-36; SF-12 MCS, short form-12 mental component score; SF-36 MCS, short form 36-mental component score; SF-12 PCS, short form-12 physical component score; SF-36 PCS, short form-36 physical component score; VAS, visual analog scale. ^aFindings were based on sample sizes that varied from the original cohort due to loss of follow-up (attrition rates <5%).

DISCUSSION

When appropriately indicated, CDR may provide a beneficial alternative to conventional ACDF for the treatment of degenerative cervical spine pathology on account of its motion-preserving features. This notion has been widely and consistently reported across prior studies and bypasses the major limitations imposed by ACDF. 7,53 While indications remain relatively confined for CDR, increasing adoption of this technique will lend to expanding indications for its use in multilevel pathologies.

Future Directions - Expanding Indications

Investigational device exemptions (IDEs) with strict inclusion and exclusion criteria are required for FDA approval. These criteria are utilized by the FDA to establish appropriate indications and contraindications in the clinical setting.⁵⁴ Common indications and contraindications for current CDA implants are listed in Table 4.55-57 Despite strict criteria set by device companies and the FDA, surgeons have been expanding their indications for CDA in recent years.

Promising outcomes seen consistently across studies have contributed to increasing off-label uses of CDR.⁵⁸ Routine utilization of CDR for extensive multilevel cervical disc pathology may potentially be on the horizon with forthcoming data to assess its clinical efficacy in these settings. In a recent study published in 2020, Gornet et al reported on 7-year outcomes for 3- (contiguous and noncontiguous) and 4-level (contiguous) CDA. The authors reported favorable results across all patient-reported outcome measures in tandem with low reoperation rates (3.6%) in a cohort of 139 patients.⁵⁹ It is important to note, however, that this study did not include an ACDF cohort for comparison and was therefore not listed among the RCTs tabulated within the present study. Chang et al conducted a comparative analysis of patients undergoing either 3-level CDR or ACDF, where both groups achieved similar outcomes and complication rates; CDR nonetheless preserved a greater postoperative range of motion relative to the ACDF group.⁶⁰ In a bibliometric analysis of 957 articles concerning CDA, Tu et al noted an exponential rise in publications pertaining to multilevel CDA (>2 levels) over the past decade, although the majority of these were composed of 2-level procedures.⁶¹ Nonetheless, studies on 3-level applications have seen a recent and sustained increase from 2017 to the present. This trend is believed to signify the growing acceptance of CDA as a viable surgical alternative for multilevel disease among spine surgeons, particularly in geographic regions with less stringent indications for CDA. Interestingly, research and application of multilevel CDA beyond currently established indications continues to expand in Asia and

Table 4. Most common indications and contraindications for cervical disc arthroplasty.

Indications

- 1. Skeletally mature patients
- 2. Reconstruction of the disc from C3 to C7 following discectomy
- 3. Single or 2 contiguous levels
- 4. Intractable radiculopathy (with or without neck pain) or myelopathy (due to abnormality at the level of the disc space)
- 5. At least 1 of the following confirmed by imaging (computed tomography, magnetic resonance imaging, or x-rays):
- Herniated nucleus pulposus
- Spondylosis (defined by the presence of osteophytes)
- Visible loss of disc height compare towith adjacent levels
- Failed 6 wk of conservative management or progressive signs or symptoms despite nonoperative treatment

Contraindications

- 1. Acute or chronic infection (systemic or at the operative site)
- 2. Osteoporosis or osteopenia (defined as DEXA bone density measured T-score ≤ −2.5 or ≤1.5, respectively)
- 3. Known allergy or sensitivity to implant materials (cobalt, chromium, molybdenum, titanium, hydroxyapatite, or polyethylene)
- Compromised vertebral bodies at the index level(s) due to previous trauma to the cervical spine or significant cervical anatomical deformity or disease (eg, ankylosing spondylitis and rheumatoid arthritis)
- 5. Marked cervical instability on resting lateral or flexion/extension radiographs (demonstrated by translation <3.5 mm and/or >11° angular difference to that of either level adjacent to the treated level(s))
- 6. Severe facet joint disease or degeneration
- 7. Severe spondylosis (defined as bridging osteophytes, loss of disc height >50%, or <2° of motion), as this may lead to limited range of motion and may encourage bone formation (eg, heterotopic ossification and fusion)</p>

Abbreviation: DEXA, dual-energy x-ray absorptiometry.

^aDerived from Summary of Safety and Effectiveness Data for the ProDisc Total Disc Replacement and Mobi-C Cervical Disc Prosthesis.

Europe, yielding precursory evidence to support its use as a safe and effective alternative in select patients. Although preliminary data on multilevel CDR appears promising, additional high-quality RCTs with longer follow-up intervals are required for a comprehensive, longitudinal assessment of its clinical efficacy.

Hybrid surgery is an emerging concept that combines features of ACDF and CDR. Existing literature on this novel strategy has been in part limited by the exclusion of patients with preexisting fusions in prior RCTs. 8,11,31 Support surrounding this technique is driven by the idea that patients with multilevel cervical pathologies have varying degrees of degeneration at each level.⁶² As such, a hybridized approach incorporating both fusion and arthroplasty elements may be applied independently across affected levels to provide tailored treatments suited to patients' unique pathologies.⁵⁸ A recent retrospective database analysis comparing CDA, ACDF, and hybrid surgeries found no significant differences in 30-day postoperative complications or unplanned readmissions, although patients who underwent hybrid surgeries had shorter LOS on average. Conclusions from this study, however, should take into consideration that patients in the hybrid surgery cohort were younger and had fewer comorbidities. 63 Wang et al compared 3-level variations across 64 patients with cervical DDD in the context of hybrid procedures using 2 cohorts: single-level ACDF with adjacent CDR or single-level CDR with contiguous 2-level ACDF of caudal segments. Both hybrid techniques produced outcomes to adequately support their safety and efficacy in clinical practice but also revealed distinctive features relative to one another. Single-level CDR with contiguous 2-level fusion achieved greater accuracy with correction of cervical lordosis but was associated with higher incidence of heterotopic ossification, while single-level fusion with 2-level CDR maintained superior range of motion.⁵³ With only preliminary evidence to corroborate the use of cervical hybrid constructs, further longitudinal studies such as ZimVie's Mobi-C Hybrid Surgery Trial—following recent FDA approval of its IDE status in September 2023—are warranted to assess the long-term impact of hybrid techniques in the clinical setting.64 60

CONCLUSIONS

CDA was developed to provide a motion-preserving alternative to ACDF. Numerous RCTs have demonstrated the procedure to be as safe and effective as ACDF for the treatment of radiculopathy and myelopathy refractory to conservative management of cervical DDD. As further evidence arises to corroborate its utility in various clinical settings, CDA indications and utilization will increase correspondingly. Establishing a centralized resource that consolidates relevant details and clinical data of current FDA-approved implants would help spine surgeons make better-informed decisions during preoperative planning.

REFERENCES

- 1. Steinberger J, Qureshi S. Cervical disc replacement. *Neurosurg Clin N Am.* 2020;31(1):73–79. doi:10.1016/j.nec.2019.08.009
- 2. Badve SA, Kurra S, Nunley PD, Lavelle WF. The mobi-C® cervical disc and other devices for two-level disc replacement: overview of its safety and efficacy. *Expert Rev Med Devices*. 2019;16(4):307–315. doi:10.1080/17434440.2019.1593137
- 3. Alvin MD, Mroz TE. The mobi-c cervical disc for one-level and two-level cervical disc replacement: a review of the literature. *Med Devices*. 2014;7:397–403. doi:10.2147/MDER.S54497
- 4. Park SB, Kim KJ, Jin YJ, Kim HJ, Jahng TA, Chung CK. X-ray-based kinematic analysis of cervical spine according to prosthesis designs: analysis of the mobi c, bryan, pcm, and prestige lp. *J Spinal Disord Tech*. 2015;28(5):E291–E297. doi:10.1097/BSD.0b013e318288a923

- 5. Findlay C, Ayis S, Demetriades AK. Total disc replacement versus anterior cervical discectomy and fusion: a systematic review with meta-analysis of data from a total of 3160 patients across 14 randomized controlled trials with both short- and medium- to long-term outcomes. *Bone Joint J.* 2018;100-B(8):991–1001. doi:10.1302/0301-620X.100B8.BJJ-2018-0120.R1
- 6. Park DK, Lin EL, Phillips FM. Index and adjacent level kinematics after cervical disc replacement and anterior fusion: in vivo quantitative radiographic analysis. Spine. 2011;36(9):721–730. doi:10.1097/BRS.0b013e3181df10fc
- 7. McAfee PC, Reah C, Gilder K, Eisermann L, Cunningham B. A meta-analysis of comparative outcomes following cervical arthroplasty or anterior cervical fusion: results from 4 prospective multicenter randomized clinical trials and up to 1226 patients. Spine. 2012;37(11):943–952. doi:10.1097/BRS.0b013e31823da169
- 8. Gao F, Mao T, Sun W, et al. An updated meta-analysis comparing artificial cervical disc arthroplasty (CDA) versus anterior cervical discectomy and fusion (ACDF) for the treatment of cervical degenerative disc disease (CDDD). Spine. 2015;40(23):1816-1823. doi:10.1097/BRS.0000000000001138
- 9. Radcliff K, Davis RJ, Hisey MS, et al. Long-term evaluation of cervical disc arthroplasty with the mobi-c© cervical disc: a randomized, prospective, multicenter clinical trial with seven-year follow-up. Int J Spine Surg. 2017;11(4):31. doi:10.14444/4031
- 10. Skeppholm M, Lindgren L, Henriques T, Vavruch L, Löfgren H, Olerud C. The discover artificial disc replacement versus fusion in cervical radiculopathy—a randomized controlled outcome trial with 2-year follow-up. Spine J. 2015;15(6):1284-1294. doi:10.1016/j.spinee.2015.02.039
- 11. Lanman TH, Burkus JK, Dryer RG, Gornet MF, McConnell J, Hodges SD. Long-term clinical and radiographic outcomes of the prestige LP artificial cervical disc replacement at 2 levels: results from a prospective randomized controlled clinical trial. J Neurosurg Spine. 2017;27(1):7-19. doi:10.3171/2016.11.SPINE16746
- 12. Fisahn C, Burgess B, Iwanaga J, Chapman JR, Oskouian RJ, Tubbs RS. Ulf Fernström (1915-1985) and his contributions to the development of artificial disc replacements. World Neurosurg. 2017;98:278-280. doi:10.1016/j.wneu.2016.10.135
- 13. Baaj AA, Uribe JS, Vale FL, Preul MC, Crawford NR. History of cervical disc arthroplasty. Neurosurg Focus. 2009;27(3):E10. doi:10.3171/2009.6.FOCUS09128
- 14. Derman PB, Zigler JE. Cervical disc arthroplasty: rationale and history. Int J Spine Surg. 2020;14(s2):S5-S13. doi:10.14444/7086
- 15. Xu S, Liang Y, Zhu Z, Qian Y, Liu H. Adjacent segment degeneration or disease after cervical total disc replacement: a meta-analysis of randomized controlled trials. J Orthop Surg Res. 2018;13(1):244. doi:10.1186/s13018-018-0940-9
- 16. Ghobrial GM, Lavelle WF, Florman JE, Riew KD, Levi AD. Symptomatic adjacent level disease requiring surgery: analysis of 10-year results from a prospective, randomized, clinical trial comparing cervical disc arthroplasty to anterior cervical fusion. Neurosurgery. 2019;84(2):347–354. doi:10.1093/neuros/nyy118
- 17. Zhu Y, Tian Z, Zhu B, Zhang W, Li Y, Zhu Q. Bryan cervical disc arthroplasty versus anterior cervical discectomy and fusion for treatment of cervical disc diseases: a meta-analysis of prospective, randomized controlled trials. Spine. 2016;41(12):E733-E741. doi:10.1097/BRS.0000000000001367
- 18. Vaccaro A, Beutler W, Peppelman W, et al. Long-term clinical experience with selectively constrained SECURE-C cervical artificial disc for 1-level cervical disc disease: results from

- seven-year follow-up of a prospective, randomized. Int J Spine Surg. 2018;12(3):377-387. doi:10.14444/5044
- 19. Garrido BJ, Taha TA, Sasso RC. Clinical outcomes of bryan cervical disc arthroplasty a prospective, randomized, controlled, single site trial with 48-month follow-up. J Spinal Disord Tech. 2010;23(6):367-371. doi:10.1097/BSD.0b013e3181bb8568
- 20. Burkus JK, Traynelis VC, Haid RW, Mummaneni PV. Clinical and radiographic analysis of an artificial cervical disc: 7-year follow-up from the prestige prospective randomized controlled clinical trial: clinical article. J Neurosurg Spine. 2014;21(4):516-528. doi:10.3171/2014.6.SPINE13996
- 21. Rožanković M, Marasanov SM, Vukić M. Cervical disk replacement with discover versus fusion in a single-level cervical disk disease: a prospective single-center randomized trial with a minimum 2-year follow-up. Clin Spine Surg. 2017;30(5):E515-E522. doi:10.1097/BSD.0000000000000170
- 22. Hisey MS, Zigler JE, Jackson R, et al. Prospective, randomized comparison of one-level mobi-c cervical total disc replacement vs. anterior cervical discectomy and fusion: results at 5-year follow-up. Int J Spine Surg. 2016;10:10. doi:10.14444/3010
- 23. Phillips FM, Geisler FH, Gilder KM, Reah C, Howell KM, McAfee PC. Long-term outcomes of the US FDA IDE prospective, randomized controlled clinical trial comparing PCM cervical disc arthroplasty with anterior cervical discectomy and fusion. Spine. 2015;40(10):674–683. doi:10.1097/BRS.00000000000000869
- 24. Janssen ME, Zigler JE, Spivak JM, Delamarter RB, Darden BV, Kopjar B. Prodisc-C total disc replacement versus anterior cervical discectomy and fusion for single-level symptomatic cervical disc disease. The Journal of Bone and Joint Surgery. 2015;97(21):1738-1747. doi:10.2106/JBJS.N.01186
- 25. Sasso RC, Smucker JD, Hacker RJ, Heller JG. Artificial disc versus fusion: a prospective, randomized study with 2-year follow-up on 99 patients. Spine. 2007;32(26):2933-2940. doi:10.1097/ BRS.0b013e31815d0034
- 26. Sasso WR, Smucker JD, Sasso MP, Sasso RC. Longterm clinical outcomes of cervical disc arthroplasty: a prospective, randomized. Spine. 2017;42(4):209-216. doi:10.1097/ BRS.000000000001746
- 27. Lavelle WF, Riew KD, Levi AD, Florman JE. Ten-year outcomes of cervical disc replacement with the BRYAN cervical disc: results from a prospective, randomized. Spine. 2019;44(9):601–608. doi:10.1097/BRS.0000000000002907
- 28. Vleggeert-Lankamp CLA, Janssen TMH, van Zwet E, et al. The NECK trial: effectiveness of anterior cervical discectomy with or without interbody fusion and arthroplasty in the treatment of cervical disc herniation; a double-blinded randomized controlled trial. Spine J. 2019;19(6):965-975. doi:10.1016/j. spinee.2018.12.013
- 29. Zhang X, Zhang X, Chen C, et al. Randomized, controlled, multicenter, clinical trial comparing BRYAN cervical disc arthroplasty with anterior cervical decompression and fusion in china. Spine. 2012;37(6):433-438. doi:10.1097/BRS.0b013e31822699fa
- 30. Zhang H-X, Shao Y-D, Chen Y, et al. A prospective, randomised, controlled multicentre study comparing cervical disc replacement with anterior cervical decompression and fusion. Int Orthop. 2014;38(12):2533-2541. doi:10.1007/s00264-014-2497-5
- 31. Qureshi SA, McAnany S, Goz V, et al. Prospective, randomized multicenter study of cervical arthroplasty versus anterior cervical discectomy and fusion: 5-year results with a metal-onmetal artificial disc. J Neurosurg Spine. 2013;19(5):546-554. doi: 10.3171/2013.8.SPINE12623

- 32. Coric D, Finger F, Boltes P. Prospective randomized controlled study of the bryan cervical disc: early clinical results from a single investigational site. *J Neurosurg Spine*. 2006;4(1):31–35. doi:10.3171/spi.2006.4.1.31
- 33. Donk RD, Verbeek ALM, Verhagen WIM, Groenewoud H, Hosman AJF, Bartels R. What's the best surgical treatment for patients with cervical radiculopathy due to single-level degenerative disease? a randomized controlled trial. *PLOS One*. 2017;12(8):e0183603. doi:10.1371/journal.pone.0183603
- 34. Cheng L, Nie L, Li M, Huo Y, Pan X. Superiority of the bryan(®) disc prosthesis for cervical myelopathy: a randomized study with 3-year followup. *Clin Orthop Relat Res.* 2011;469(12):3408–3414. doi:10.1007/s11999-011-2039-z
- 35. Porchet F, Metcalf NH. Clinical outcomes with the prestige II cervical disc: preliminary results from a prospective randomized clinical trial. *Neurosurg Focus*. 2004;17(3):E6. doi:10.3171/foc.2004.17.3.6
- 36. Miller J, Sasso R, Anderson P, Riew KD, McPhilamy A, Gianaris T. Adjacent level degeneration: bryan total disc arthroplasty versus anterior cervical discectomy and fusion. *Clin Spine Surg.* 2018;31(2):E98–E101. doi:10.1097/BSD.000000000000000598
- 37. McAfee PC, Cappuccino A, Cunningham BW, et al. Lower incidence of dysphagia with cervical arthroplasty compared with ACDF in a prospective randomized clinical trial. *J Spinal Disord Tech.* 2010;23(1):1–8. doi:10.1097/BSD.0b013e31819e2ab8
- 38. Nabhan A, Steudel WI, Nabhan A, Pape D, Ishak B. Segmental kinematics and adjacent level degeneration following disc replacement versus fusion: RCT with three years of follow-up. *J Long Term Eff Med Implants*. 2007;17(3):229–236. doi:10.1615/jlongtermeffmedimplants.v17.i3.60
- 39. Nabhan A, Ahlhelm F, Pitzen T, et al. Disc replacement using pro-disc C versus fusion: a prospective randomised and controlled radiographic and clinical study. *Eur Spine J.* 2007;16(3):423–430. doi:10.1007/s00586-006-0226-5
- 40. Hou Y, Nie L, Pan X, et al. Effectiveness and safety of mobi-c for treatment of single-level cervical disc spondylosis: a randomised control trial with a minimum of five years of follow-up. *Bone Joint J.* 2016;98-B(6):829–833. doi:10.1302/0301-620X.98B6.36381
- 41. Riina J, Patel A, Dietz JW, Hoskins JS, Trammell TR, Schwartz DD. Comparison of single-level cervical fusion and a metal-on-metal cervical disc replacement device. *Am J Orthop*. 2008;37(4):E71–E77.
- 42. Sundseth J, Fredriksli OA, Kolstad F, et al. The Norwegian cervical arthroplasty trial (NORCAT): 2-year clinical outcome after single-level cervical arthroplasty versus fusion-a prospective, single-blinded, randomized, controlled multicenter study. *Eur Spine J*. 2017;26(4):1225–1235. doi:10.1007/s00586-016-4922-5
- 43. Hacker RJ. Cervical disc arthroplasty: a controlled randomized prospective study with intermediate follow-up results: invited submission from the joint section meeting on. *J Neurosurg Spine*. 2005;3(6):424–428. doi:10.3171/spi.2005.3.6.0424
- 44. Skeppholm M, Olerud C. Comparison of dysphagia between cervical artificial disc replacement and fusion: data from a randomized controlled study with two years of follow-up. *Spine*. 2013;38(24):E1507–E1510. doi:10.1097/BRS.0b013e3182a516ef
- 45. MacDowall A, Canto Moreira N, Marques C, et al. Artificial disc replacement versus fusion in patients with cervical degenerative disc disease and radiculopathy: a randomized controlled trial with 5-year outcomes. *J Neurosurg Spine*. 2019;30(3):323–331. doi:10.3171/2018.9.SPINE18659

- 46. Cheng L, Nie L, Zhang L, Hou Y. Fusion versus bryan cervical disc in two-level cervical disc disease: a prospective, randomised study. *Int Orthop.* 2009;33(5):1347–1351. doi:10.1007/s00264-008-0655-3
- 47. Yang W, Si M, Hou Y, Nie L. Superiority of 2-level total disk replacement using a cervical disk prosthesis versus anterior cervical diskectomy and fusion. *Orthopedics*. 2018;41(6):344–350. doi:10.3928/01477447-20180815-01
- 48. Chen J, Wang X, Bai W, Shen X, Yuan W. Prevalence of heterotopic ossification after cervical total disc arthroplasty: a meta-analysis. *Eur Spine J.* 2012;21(4):674–680. doi:10.1007/s00586-011-2094-x
- 49. Radcliff K, Lerner J, Yang C, Bernard T, Zigler JE. Sevenyear cost-effectiveness of prodisc-C total disc replacement: results from investigational device exemption and post-approval studies. *J Neurosurg Spine*. 2016;24(5):760–768. doi:10.3171/2015.10. SPINE15505
- 50. Sheng XQ, Wu TK, Liu H, Meng Y. Incidence of heterotopic ossification at 10 years after cervical disk replacement: a systematic review and meta-analysis. *Spine*. 2023;48(13):E203–E215. doi:10.1097/BRS.00000000000004674
- 51. Yi S, Kim KN, Yang MS, et al. Difference in occurrence of heterotopic ossification according to prosthesis type in the cervical artificial disc replacement. *Spine*. 2010;35(16):1556–1561. doi:10.1097/BRS.0b013e3181c6526b
- 52. Zeng J, Liu H, Chen H, et al. Comparison of heterotopic ossification after fixed- and mobile-core cervical disc arthroplasty. *World Neurosurgery*. 2018;120:e1319–e1324. doi:10.1016/j. wneu.2018.09.075
- 53. Wang H, Meng Y, Liu H, Wang X, Ding C. A comparison of 2 anterior hybrid techniques for 3-level cervical degenerative disc disease. *Med Sci Monit.* 2020;26:e927972. doi:10.12659/MSM.927972
- 54. Nunley P, Frank K, Stone M. Patient selection in cervical disc arthroplasty. *Int J Spine Surg.* 2020;14(Suppl 2):S29–S35. doi:10.14444/7088
- 55. Oh SK, Kwon W-K, Park S, et al. Comparison of operating conditions, postoperative pain and recovery, and overall satisfaction of surgeons with deep vs. no neuromuscular blockade for spinal surgery under general anesthesia: a prospective randomized controlled trial. *J Clin Med*. 2019;8(4):498. doi:10.3390/jcm8040498
- 56. Food and Drug Administration. Summary of Safety and Effectiveness Data. Mobi-C® Artificial Cervical Disc. http://www.accessdata.fda.gov/cdrh_docs/pdf11/P110002B.pdf. Accessed January 18, 2022.
- 57. Food and Drug Administration. Summary of Safety and Effectiveness Data. Mobi-C®e Artificial Cervical Disc—Two level. http://www.accessdata.fda.gov/cdrh_docs/pdf11/P110009B.pdf. Accessed January 18, 2022.
- 58. Gornet M. Cervical disc arthroplasty: an update on current practices. *Int J Spine Surg*. 2020;14(s2):S2–S4. doi:10.14444/7085
- 59. Gornet MF, Schranck FW, Sorensen KM, Copay AG. Multilevel cervical disc arthroplasty: long-term outcomes at 3 and 4 levels. *Int J Spine Surg*. 2020;14(s2):S41–S49. doi:10.14444/7090
- 60. Chang H-K, Huang W-C, Tu T-H, et al. Radiological and clinical outcomes of 3-level cervical disc arthroplasty. *J Neurosurg Spine*. 2019;32(2):174. doi:10.3171/2019.8.SPINE19545
- 61. Tu TH, Wang CY, Chen YC, Wu JC. Multilevel cervical disc arthroplasty: a review of optimal surgical management and future directions. *J Neurosurg Spine*. 2023;38(3):372–381. doi:10.3171/2022.11.SPINE22880

- 62. Laratta JL, Shillingford JN, Saifi C, Riew KD. Cervical disc arthroplasty: a comprehensive review of single-level, multilevel, and hybrid procedures. Global Spine J. 2018;8(1):78-83. doi:10.1177/2192568217701095
- 63. Boddapati V, Lee NJ, Mathew J, et al. Hybrid anterior cervical discectomy and fusion and cervical disc arthroplasty: an analysis of short-term complications, reoperations, and readmissions. Global Spine J. 2021;11(8):1183-1189. doi:10.1177/2192568220941453
- 64. ZimVie Inc. Zimvie ANNOUNCES FDA Approval to Launch Mobi-C® Hybrid Study. https://investor.zimvie.com/newsreleases/news-release-details/zimvie-announces-fda-approvallaunch-mobi-cr-hybrid-study. Accessed November 25, 2023.

Funding: The authors received no financial support for the research, authorship, and/or publication of this article.

Declaration of Conflicting Interests: No funding was received for this study. Djani Robertson, Andy Ton, Michael Brown, Shane Shahrestani, and Emily S. Mills have nothing to disclose. Jeffrey C. Wang has received intellectual property royalties from Zimmer Biomet, NovApproach, SeaSpine, and DePuy Synthes. Raymond J. Hah has received grant funding from SI bone, consulting fees from NuVasive, and support from the North American Spine Society to attend meetings. Ram K. Alluri has received grant funding from NIH, consulting fees from HIA Technologies, and payment from Eccentrial Robotics for lectures and presentations.

Ethics Approval: Informed consent was waived and institutional review board (IRB) approval was not required because no patients were involved in this study.

Corresponding Author: Andy Ton, Department of Orthopaedic Surgery, Keck Medical Center of USC, 1450 San Pablo St, HC4 Suite 5400, Los Angeles, CA 90033, USA; andyton@usc.edu

This manuscript is generously published free of charge by ISASS, the International Society for the Advancement of Spine Surgery. Copyright © 2024 ISASS. To see more or order reprints or permissions, see http:// ijssurgery.com.