

INTERNATIONAL  
JOURNAL  
of  
SPINE  
SURGERY

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*Int J Spine Surg* 2017, 11 (1)

doi: <https://doi.org/10.14444/4006>

<http://ijssurgery.com/content/11/1/6>

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# Open Door Laminoplasty: Creation Of A New Vertebral Arch

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## Abstract

### Background

We describe the carrying out of our open door laminoplasty technique by reproducing a posterior vertebral arch using a local originating autologous bony graft and titanium plates.

### Methods

We designed a prospective study and present our first 16 patients. The clinical results were evaluated with the JOA score, Nurick scale and the VAS. The functional and radiological evaluation was performed with radiographs, CT and MRI, and the measurements of the dimensions of the spinal canal were carried out with the MIPAV programme (Johns Hopkins University). All the variables were statistically analysed by means of SPSS23.0.

### Results

After following up the cases for two years, the clinical evaluation showed, amongst other findings, a 75% improvement in the JOA score, while the radiological controls showed an appropriate range of motion (ROM) along with the stability of the construction. The rate of complete arthrodesis of the cervical neo-arch reached was approximately 92%.

### Conclusions

Our results show that the modifications that we performed on the technique originally described have made it into a simpler, more efficacious and safer procedure, without lessening its essential objectives.

CERVICAL SPINE

KEYWORDS: CERVICAL SPINE, CERVICAL MULTILEVEL MIELOPATHY, LAMINOPLASTY, CERVICAL STENOSIS, SPINAL CORD COMPRESSION

VOLUME 11 ISSUE 1 DOI: 10.14444/4006

PAGES 30 - 40

## Introduction

Cervical laminoplasty is a procedure that allows us to widen the diameter of the spinal canal and thus, decompressing the spinal cord and conserving some or all of the posterior elements of the bony cervical case. Oyama et al.<sup>1</sup> first described the technique in Japan in 1973.

This surgical methodology has been shown to give favorable clinical results in those patients with cervical multilevel compressive myelopathy due to the ossification of the posterior longitudinal ligament (OPLL), cervical spondylotic myelopathy (CSM) or developmental canal stenosis,<sup>2,3</sup> while not being indicated in those patients with a cervical kyphotic deformity greater than 13°, since the spinal cord is incapable of posteriorly expanding without cervical lordosis. As a consequence, there is a limited recovery

of the neuronal functions.<sup>4,5</sup>

In contrast to the cervical laminectomy, the laminoplasty makes a minor transgression of the spinal canal with the surgical instruments as the laminae are elevated together as a single unit. In addition, on the one hand, the partial or total conservation of the elements that make up the posterior cervical tension bands, seems to decrease the instability and incidence of postsurgical kyphosis<sup>6,7</sup> and, on the other hand, it helps to prevent the formation of epidural scarring that could be responsible for persistent postoperative pain and, even, neurological deterioration.<sup>8</sup>

Since its original description, the initial concept of the cervical laminoplasty evolved during the 1970's becoming popular during the 1980's. Two main methodological schools emerged and developed the "open-door" laminoplasty and the "French-door"

method, and since then, there have been numerous variations of these techniques.<sup>9,10</sup>

We present a modified version of the open-door laminoplasty initially described by Hirabayashi et al. in 1981,<sup>11</sup> including the two-year clinical and radiological follow-up of the cases, along with a statistical analysis. Our aim is to increase the safety and effectiveness of this surgical strategy, but without losing sight of the objective of obtaining a sufficient widening of the canal, along with the best possible preservation of cervical movement. As observed in our literature review, our complete surgical technique has never been published before.

## Methods

### Patient and data collection

Our team has carried out a prospective study of the first 16 patients with cervical multilevel compressive myelopathy submitted to a modified technique of the open-door laminoplasty, presenting the two-year follow-up.

A total of 34 variables referring to the demographic and clinical characteristics of the patient, radiological characteristics of the vertebral process and peculiarities of the surgical technique were analyzed in each of the cases for the configuration of the data base. The information was obtained by means of a detailed revision of the clinical histories and imaging tests.

### Surgical technique

All the surgical techniques were performed by the same surgeon, senior author (JHV), using the same method.

### Positioning

Under general anaesthesia, the patient is positioned on the surgical table in a prone position on small rolling cushions situated appropriately to alleviate the pressure on the chest. The head and neck are stabilized using a Mayfield skull clamp, the shoulders are taped down to obtain a complete radiographic visualization of the lower cervical spine and table is situated in reverse Trendelenburg approximately some 20 – 30 degrees to minimize the venous bleeding. Using intraoperative radiological control (C-Arm),

the head is flexed to obtain a neutral alignment of the cervical column.

### Approach and exposure

For the vast majority of patients, the most severely compromised levels were between C3 and C6. After antisepsis and draping of the surgical field, a cutaneous incision was performed in medial line from the external occipital protuberance to the C7-T1, followed by subperiosteal dissection of the paraspinal musculature following medial raphe by means of monopolar electrocautery until reaching an adequate exposure, from the inferior edge of the laminae of the C2 to the inferior edge of the C7 laminae, of the spinous processes, laminae and articular processes, and always avoiding damaging the articular facets since this could cause unforeseen fusions. Once the exposure has finished, two retractors are placed, one at each end of the surgical wound.

### Laminotomy and green stick fracture

Next, the spinous processes from C3 to C6 (a total of four) are removed from their base by using a Still's - Horsley bone cutter forceps or an oscillating saw, and are conserved for later use. The hemostasia in this step is assured with bone wax.

Then, and to open the vertebral arches, we firstly create the “open side” of the laminoplasty. For this, a 2mm Kerrison punch is used to perform a laminotomy in the longitudinal axis along with the resection of the yellow ligament, in the union between the lamina and the articular processes. The small fragments of bone obtained during this manoeuvre are conserved in totality.

In the same way, and to carry out the “hinged side” of the laminoplasty, a groove is made in the external cortical in the union between the laminae and the articular processes, using a 5mm diamond burr. This diameter facilitates, on the one hand, the posterior green stick fracture, and on the other hand, that the walls of the groove created do not collide when the laminae are elevated, and, consequently they do not limit the canal expansion. Special interest should be paid to this side of the laminoplasty during the drilling of the upper and lower edges of each lamina because they are the areas with the most concentra-

tion of cortical bone and that could make the elevation of the lamina difficult if the drilling is not enough.

Lastly, a 2 mm Kerrison is used to section the yellow ligament transversally and in this way free the vertebral laminae that correspond with the upper and lower limits of the laminoplasty.

In this way, and after the described manoeuvres, we have created three free edges and a hinged edge on those that articulate the widening of the canal.

The opening of the laminae together as a single unit, is obtained by applying a Penfield dissector on the longitudinal free edge of the laminoplasty, whose extreme is situated in the medullar bone between the external and internal surface of the laminae, preventing with this manoeuvre the entrance of the distal extreme of the instrument accidentally in an already compressed spinal canal. Simultaneously to this manoeuvre, and using a Kotcher or similar instrument, we attach it to the base of the previously eliminated spinous processes in its longitudinal axis, and carry out a rotational movement on the hinged side, and hereby create a green stick fracture. It should be very careful during this manoeuvre so that the fracture is not complete, as well as not losing control of the laminae, which could slip, return to their original position and produce an added medullar damage.

The control of the bleeding of the epidural vessels is made with bipolar coagulation and hemostatic material.

### Creation of the new vertebral arch

With the aim of maintaining a sufficient sagittal diameter of the canal to achieve a radiological improvement (usually this is mentioned in the literature as a diameter  $> 14$  mm<sup>15,16</sup>) we used the spinous processes of the patient, along with titanium plates and screws used, habitually, in maxillofacial surgery.

Initially, each one of the spinous processes is carefully cut so that they acquire the appropriate shape and size so that, posteriorly, they can be fit with a certain degree of pressure in order to favour the fusion, in each one of the levels of the open side of the laminoplasty.

In more detail, it is necessary to obtain from the spinous processes, bony grafts similar to struts or columns with the greatest proportion of non-cortical bone in the extremes that will contact with the edges of the laminotomy regarding shape, and approximately 10-12 mm in length regarding size.

Then, four straight titanium plates with six orifices and 2mm thick are used. Each one of them is molded like an italic "S", always bearing in mind the size of the bony graft. The plates are generally situated: two orifices in contact with the articular processes, two orifices in contact with the laminae and the rest establishing the bridge between the articular masses and the laminae.

Next, the cut bony struts are situated at the open side of the laminoplasty in contact with the both edges of the laminotomy, and are joined to the titanium plates with two non reabsorbable silk ligatures to finally assure the structure with two screws of 2.3 x 8mm in the articular masses, one or two screws of 2.3 x 6mm in the laminae and a screw of 2.3 x 4mm in the bony graft.

Lastly, the small bony fragments coming from the creation of the free edge of the laminoplasty as well as the results of the cutting of the spinal apophysis, are triturated and positioned in the groove of the hinged side of the laminoplasty (Figure 1).

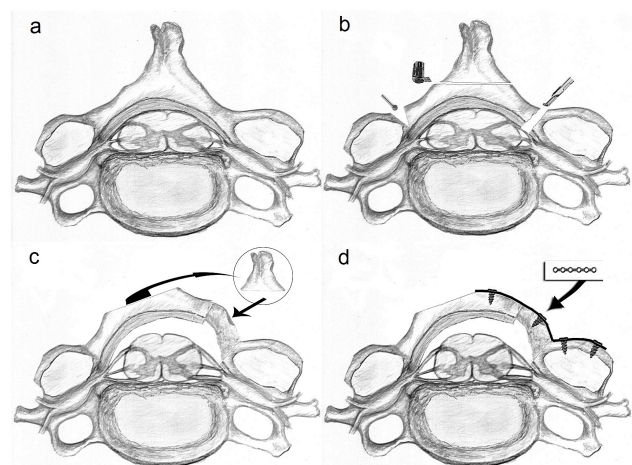


Fig. 1. (a) Stenosis of the spinal canal and compressed spinal cord. (b) Creation of open side, hinged side and extraction of spinous processes. (c) Insertion of bony graft cut as a strut or column in the open side of the laminoplasty (d) Stabilization of the construction with titanium plates and screws. Original drawings carried out by the senior author (JHV).

## Closure

After a meticulous hemostasia, the surgical incisions are closed following the anatomical planes with reabsorbable sutures of 0 in deep planes (muscle and nuchal fascia), of 2/0 in superficial planes (adipose tissue and subcutaneous cellular tissue), staples in the skin and dressing. Drainage in the surgical bed was not used.

In all the cases, the X-rays and CT scan were carried out at 24 hours after the surgery. If these studies ruled out any immediate postoperative complications, along with a satisfactory increase in the canal diameter and adequate placing of the plates, screws and bony grafts, the sitting position and posterior mobilization of the patient, with soft cervical orthosis in the first 3 months and rehabilitation after the withdrawal, were indicated.

## Clinical and radiological evaluation

The clinical and radiological assessments were repeated at 3, 6, 12 and 24 months after the intervention.

The clinical evaluation of the neurological status and degree of myelopathy was carried out with the Japanese Orthopaedic Association Score (JOA score).<sup>12,13,14</sup> Independence for walking was measured with the Nurick scale.<sup>15,16</sup> The evaluation of cervical pain was established with the visual analogic scale (VAS) of pain.<sup>17</sup>

The radiological evaluation of the bony fusion and probable complications derived from the procedure was made with CT scan and MRI. The measurements of the dimensions and volumes of the canal were carried out with the MIPAV program (Johns Hopkins University, Baltimore, Maryland, USA), employing thin slice CT scans.

The postoperative cervical range of movement was evaluated with lateral extension and flexion radiographs.

## Statistical analysis

The statistical analysis was developed with SPSS 23.0 for Windows (SPSS Inc, Chicago, Illinois, USA). The evaluation of statistical difference in de-

pendent variables was carried out with the Wilcoxon test. The results were considered statistically significant if  $p < 0.05$ .

## Results

### Demographic characteristics of the patients and pathology

We analyzed a total of 16 patients submitted to a modified version of open-door laminoplasty in our department.

The average age of the series was 62.3 years. The majority of the patients were in the 5th and 6th decades of life (62.5%;  $n = 10$ ). The age range was between 37 and 80 years.

Our series is composed of 14 (87.5%) men and 2 (12.5%) women with a ratio of 7:1.

In terms of the disease presented by our patients, all the cases showed a preoperative JOA score<sup>12,13,14</sup> of  $\leq 12$  out of 17 total points (scores  $\leq 12$  are considered indicative of cervical spondylotic myelopathy), while the preoperative evaluation of independence for walking with the Nurick scale,<sup>15,16</sup> established a level of 3 (“extreme difficulty in walking that requires assistance and prevents full time employment and occupation”) on 8 occasions (50%), a level of 4 (“able to walk only with someone else’s help or with the aid of a Walker”) on 7 occasions (43.8), while in the remaining case (6.3%) the level was 5 (“Chairbound or bedridden”).

The cervical pain with the visual analogic scale (VAS) of the pain,<sup>17</sup> was established by all the patients preoperatively at a level of  $\geq 6$ .

There was a striking decrease in all the patients in the anterior-posterior diameter of the canal ( $< 12$  mm), with the consequent surface reduction ( $178.14 \pm 15.98\text{mm}^2$  for patient) and volume ( $1.61 \pm 0.14$  cc for patient) and, as a result, a more than notable medullary compromise. A total of 64 levels were intervened. The most frequently affected levels were C3, C4, C5 and C6 (75%;  $n = 12$ ).

### Clinical and radiological results

At 24 months, the clinical evaluations showed a progressive neurological improvement, especially in the first 12 months, which was statistically significant: JOA score ( $Z = -3.5$ ;  $p < 0.05$ ) and Nurick scale ( $Z = -3.7$ ;  $p < 0.05$ ). Similarly, all the cases referred to a decrease in the cervical pain ( $Z = -3.5$ ;  $p < 0.05$ ) (Table 1).

One patient presented a transitory fifth cervical root motor palsy after surgery, on account of relevant adhesions, not properly separated, between dura and the inner surface of the laminae when the dorsal arches were lifted.

At 24 months, the radiological evaluations showed the stability of the construction carried out with an anteroposterior diameter of the canal  $> 14$  mm, in all the patients of our series. The complete arthrodesis of the cervical neo-arch was produced in 59 out of 64 (92.18%). The bony spinal canal dimensions at the intervened levels significantly increased up to  $388.52 \pm 11.68$  mm<sup>2</sup> for patient ( $Z = -3.5$ ;  $p < 0.001$ ) and  $9.63 \pm 0.29$  cc for patient ( $Z = -3.5$ ;  $p < 0.001$ ).

After two years of follow-up, we obtained a median decrease for patient of the ROM of  $28.43 \pm 6.14\%$ .

**Table 1. Clinical evaluation and improvement at follow-up.**

	Results ( $\bar{X} \pm SD$ )	Improvement (%)	Wilcoxon's Z	p*
<b>JOA score</b>				
Preoperative	$8.9 \pm 1.8$	--	--	--
6 months postop	$14 \pm 0.8$	62.8	-3.52	$p < 0.05$
12 months postop	$14.8 \pm 0.8$	72.8	-3.53	$p < 0.05$
24 months postop	$14.9 \pm 0.5$	75	-3.53	$p < 0.05$
<b>Nurick Scale</b>				
Preoperative	$3.5 \pm 0.6$	--	--	--
6 months postop	$1.6 \pm 0.5$	53.9	-3.75	$p < 0.05$
12 months postop	$1.5 \pm 0.5$	57.8	-3.75	$p < 0.05$
24 months postop	$1.5 \pm 0.5$	57.8	-3.75	$p < 0.05$
<b>VAS</b>				
Preoperative	$6.9 \pm 0.8$	--	--	--
6 months postop	$0.06 \pm 0.2$	99.1	-3.56	$p < 0.05$
12 months postop	$0.2 \pm 0.5$	96.3	-3.56	$p < 0.05$
24 months postop	$0.6 \pm 0.7$	90.1	-3.57	$p < 0.05$

\*Wilcoxon's test was used to calculate the differences between the preoperative and postoperative periods. Significant differences were found ( $p < 0.05$ ).

The interventions lasted  $232.8 \pm 15.2$  minutes, with a blood loss of  $95.1 \pm 10.9$  cc. None of the patients had to return to the operating theatre due to complications.

We present an illustrative case: a 63-year-old man with cervical myelopathy due to multisegmental spondylitis. (Figure 2 & Figure 3).

### Discussion

The cervical spinal cord can be decompressed using an anterior approach, a posterior approach or



**Fig. 2. Preoperative T2-weighted MRI. (a) Sagittal MRI that shows multiple discal involvements and a decrease in the diameter of the spinal canal ( $< 12$  mm). (b) Axial MRI at the C4-C5 level that illustrates the important compression of the spinal cord with an anterior posterior diameter of the canal of 2.60mm.**

through a combined strategy. Most surgeons prefer the posterior approach if the involvement is at several levels (3 or more).<sup>20</sup> The cervical laminoplasty was developed to overcome the disadvantages shown by the conventional laminectomy, with the objective of reaching an adequate decompression of the spinal medulla, and preserving the greatest physiological mobility possible of the cervical column.

In 1981, Hirabayashi described the expansive single open door laminoplasty, consistent in the opening of the canal by means of the creation of a groove in the union between the lamina and the articular facets of one side, “open side”, a green stick fracture in the union between the lamina and the facets of the contralateral side, “hinged side”, and the elevation and attaching of the laminae “in block” from the open side over the hinged side.<sup>11</sup> Nevertheless, this technique is attached to a phenomena of “spring back” by which the laminae could try to recover their original position due to, either a failure in the attachment system of the open side or a complete fracture of the laminae in the hinged side. As a consequence, this places the normal structures at risk. For this reason, diverse strategies have been described to maintain the spinal canal open. Our technique is a variation of the Hirabayashi method. In our opinion, the combi-

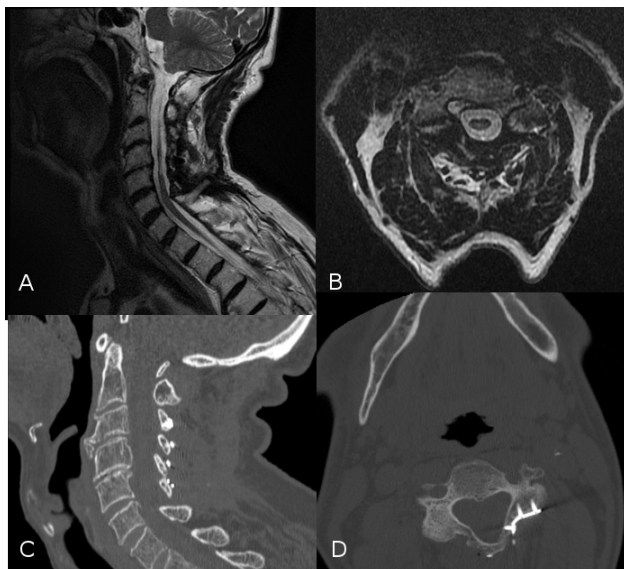
nation of spinous processes grafts such as bony spacers or struts, sustained by using molded titanium plates and screws, has great advantages.

On the one hand, the use of a bony spacer obtained from local bony structures, the spinous processes, leads to the elimination of the pain from a possible donor site, for example the iliac crest, as well as a decrease in surgical time and lower blood loss. Also, compared with the allografts coming from cadaver,<sup>21</sup> the autologous origin of our spacer completely suppresses the small, but existent, possibilities of rejection of the bony material, as well as propitiating an idoneous live substrate for the consecution of the fusion. This situation cannot be achieved by means of the use of inert spacers such as hydroxiapatite, polylactic acid or ceramic blocks used in some surgical series.<sup>21,22,23,24</sup>

On the other hand, the attachment of the bony struts cut and fitted to the open side of the laminoplasty by means of titanium plates and screws conveniently molded and adapted at each level, configure a scaffolding that guarantees the stability of the construction until the fusion is produced, and avoids accidents such as the extrusion of the grafts, which could cause neurological damage and more than probable subsequent surgical revisions.<sup>21</sup> In the same way, we consider that the use of two screws in at least one of the extremes of the plate situated on the free edge of the laminoplasty (preferably at the level of the articular processes), is an important contribution to the stability of the structure with the aim of avoiding possible movements from shear stress.

In this way, with our construction at the open side of the laminoplasty and the positioning of bony fragments in the hinged side, we are able achieve an adequate decompression of the cervical spinal cord, as well as creating the appropriate setting to facilitate the fusion and obtain a solid cervical neo-arch.

In recent years, diverse clinical studies have shown that the cervical laminoplasty obtains better clinical results than conventional laminectomy.<sup>25,26,27</sup> If we concentrate on the laminoplasty technique described by Hirabayashi, the recovery rate shown in the diverse series published is approximately 60%,<sup>28,29</sup> in



**Fig. 3.** Neuroimaging tests at 24 months after the surgical intervention (a), (b) Sagittal T2-weighted MRI and axial T2-weighted MRI (level C4-C5), that show an adequate spinal cord decompression with a medullary shift of > 3 mm. (c), (d) Sagittal CT scan (reconstruction) and axial CT scan (level C3-C4) where we can see a more physiological cervical curvature and the fusion of the cervical neo-arch.

the JOA score. In our case, we obtained results congruent with the literature, observing an improvement of 75% in the JOA score at 24 months.

Few studies have been published showing the long-term clinical results after submitting the patient to a laminoplasty. Miyazaki et al. observed that the neurological improvement was maintained for a median of 12 years after the intervention.<sup>30</sup> In our patients, the neurological improvement is still lasting at 2 years of follow-up.

Regarding radiological results, and more specifically those related to the increase of the diameter of the spinal canal after laminoplasty, various series have been published comparing the two main methodologies: "open door" vs. "French door" laminoplasty. While in all of them, the results conclude that both techniques provide sufficient expansion of the anterior-posterior diameter of the cervical canal (>14 mm<sup>15,16</sup>) to allow the decompression and posterior migration of the spinal cord, the open door laminoplasty has the greatest rates of increase in the anteroposterior diameter of the canal<sup>31,32</sup> and, consequently, the greatest increase of its surface. This finding were published by Parker's group.<sup>33</sup> They observed a greater increase of the surface in the open door laminoplasty from 204.3 mm<sup>2</sup> to 331.7 mm<sup>2</sup> compared with the French door laminoplasty, where the increase was from 201.2 mm<sup>2</sup> to 280.8 mm<sup>2</sup>.

In our cases, with the use of our methodology, we obtained a median increase level of the anteroposterior diameter of the canal of 16.98 ± 0.19 mm, a sufficient anteroposterior diameter to obtain benefits, which represented a median surface level of 97.13 mm<sup>2</sup> and a volume of 2.40 cc. These figures are coherent with those shown in the literature.

Cervical ROM has been reported to decrease 17-50% after laminoplasty with an average of approximately 50%.<sup>8</sup> We obtained a median decrease of 28% per patient. In our opinion, it is compulsory to perform a careful dissection, which always avoids damaging the articular facets and cause unforeseen fusions, in order to preserve the range of motion.

With regards to the operative time and intraoperative

blood loss, a systematic review of the literature (n=276 patients) showed that the operative time for laminoplasty procedures took an average of 137.4 minutes and the average blood loss was 299.6 cc.<sup>19</sup> Our interventions lasted a median of four hours and we think that this is due to both the careful preparation of spinous processes and titanium plates, and the learning curve. On the other hand, our intraoperative blood loss for patient was lower than the figures shown in the literature.

Although not exempt from difficulties, the cervical laminoplasty seems to present less global rate of complications that the conventional laminectomy in diverse prospective studies.<sup>25,26,27</sup> It has been observed that the incidence of neurological deterioration due to postoperative hematoma is less probable in the laminoplasty (0.44%)<sup>34</sup> against the laminectomy with posterior instrumentation (1.25%)<sup>35</sup> due to the natural protection that the laminae provide. In contrast, no statistically significant differences have been found between both techniques regarding wound complications (laminectomy 3.83%; laminoplasty 1.57%), the incidence of kyphosis (laminectomy 6.34%; laminoplasty 4.44%) or paresia of the C5 root (laminectomy 5.11%; laminoplasty 7.64%).<sup>36</sup> In addition, in terms of the postoperative stiffness and cervical pain shown by some patients, aspects that are indirectly correlated with the resulting range of movements after the surgical intervention, diverse series show that, although we are talking about a symptomatology that can be present after the development of both techniques due to the manipulation and dissection close to the articular facets,<sup>37</sup> they seem to be more frequent after the carrying out of a laminectomy, especially if it is carried out using instrumentation for the fusion.<sup>26,27</sup> The pain normally comes on shortly after the surgery, normally related to postoperative muscular stiffness and usually, can be resolved during the first year after the intervention.<sup>37</sup> We are aware that the long-term use of the orthosis might affect the muscle atrophy of the neck and axial pain. Nevertheless, we believe that the indication of a soft collar during the first three postoperative months (period that, within the biological process of scarring, includes the maximum repair and remodeling in the soft tissues and bone), largely alleviates the cervical muscular contracture inherent



to the immediate postoperative period and, consequently, the cervicalgia, while the rehabilitation treatment posterior to the withdrawal of the orthosis, reduces the possible complaint related to the cervical stiffness to some weeks. Finally, and similarly, we believe that the use of a cervical collar for the first 12 postoperative weeks, which coincides with the phases of maximum bony consolidation in humans, contributes to giving the sufficient immobility to ensure the success of the fusion of all the implanted bony grafts and the creation of a new and stable cervical arch. Our clinical and radiological results support this protocol of action.

## Conclusion

The cervical laminoplasty is an effective technique to achieve a widening of the spinal canal sufficient in those patients with multilevel cervical medullary compression. Even though this procedure is more technically demanding than the cervical laminectomy, it does assure, among other things, a lower transgression of the posterior cervical anatomical structures of the patient.

In our opinion, the modifications on the laminoplasty technique originally published by Hirabayashi that we present in this work, has many clear advantages. The use of local autologous bony structures, the spinous processes, in combination with a solid support scaffolding achieved by means of conveniently molded titanium plates allow us, on the one hand, to achieve sufficient increase of the anteroposterior diameter of the cervical canal to ensure a clinical benefit for the patient, and on the other, this creates the ideal setting for fusing the bony elements in the construction and obtaining of a new cervical arch. All of this, is achieved with minimal risks and a consequent decrease of the possible postoperative morbidity.

Finally, we are aware that the number of cases is still small, but our statistically significant clinical and radiological results encourage us after two years of follow-up. In this way, we think that our technique is a simple, efficacious and safe procedure, which is configured as the best surgical strategy in cases similar to those presented.

## Acknowledgements

We thank Javier Hernandez Vicente M.D., for his drawings and efforts in critically reviewing the manuscript.

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Published 9 February 2017.

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