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# Long-Term Clinical Experience with Selectively Constrained SECURE-C Cervical Artificial Disc for 1-Level Cervical Disc Disease: Results from Seven-Year Follow-Up of a Prospective, Randomized, Controlled Investigational Device Exemption Clinical Trial

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## ABSTRACT

**Background:** This research was initiated to compare the long-term clinical safety and effectiveness of the selectively constrained SECURE-C (Globus Medical, Audubon, Pennsylvania) Cervical Artificial Disc to anterior cervical discectomy and fusion (ACDF). To preserve segmental motion, cervical total disc replacement (CTDR) was developed as an alternative to ACDF. Current CTDR designs incorporate constrained and unconstrained metal-on-metal or metal-on-polymer articulation with various means of fixation.

**Methods:** Eighteen investigational sites participated in this prospective clinical trial; 380 patients were enrolled and treated in the investigational device exemption study. The first 5 patients treated at each site were nonrandomized and received the investigational SECURE-C device. Patients were randomized, treated surgically, and evaluated postoperatively at 6 weeks, 3 months, 6 months, 12 months, 24 months, and annually thereafter through 84 months postoperative.

**Results:** Overall results for the randomized cohorts demonstrated statistical superiority of the investigational SECURE-C group over the control ACDF group at 84 months postoperative. SECURE-C showed clinically significant improvement in pain and function in terms of neck disability index and visual analog scale scores, and superiority in patient satisfaction was also achieved for patients treated with SECURE-C.

**Conclusion:** Clinical study results indicated that the selectively constrained SECURE-C Cervical Artificial Disc is as safe and effective as ACDF. Long-term results from the Post Approval Study demonstrated that SECURE-C is statistically superior to ACDF in terms of overall success and patient satisfaction. Lower rates of subsequent index-level surgeries and device-related adverse events were observed in the SECURE-C group than in the ACDF group. The long-term, level 1 clinical evidence presented here is consistent with other reports supporting the safety and efficacy of cervical arthroplasty, and furthers advocacy for motion preservation as a viable alternative to fusion.

Cervical Spine

Keywords: cervical, total disc arthroplasty, motion preservation, total disc replacement, anterior cervical discectomy and fusion, follow-up, 84 months, noninferiority, superiority, selectively constrained, adverse events, clinical trial, symptomatic, cervical disc disease, Bayesian

## INTRODUCTION

Surgical treatment of symptomatic cervical disc disease (CDD) is achieved by neural decompression and, if required, stabilization of the treated level. Fusion after discectomy is currently the standard of care employed for various pathological conditions of the spine. In the cervical spine, anterior cervical discectomy and fusion (ACDF) is a successful

procedure and is reported to provide excellent symptom relief. However, there is reasonable concern about the long-term effects of fusion on the overall health of the cervical spine, especially with current trends showing an increased number of cervical fusions in the United States and abroad during the past 3 decades.<sup>1–3</sup>

As an alternative to fusion, artificial discs preserve motion at the treated level while providing

commensurate symptom relief. In addition to mitigating complications attributed to fusion procedures, it has been postulated that motion preservation devices may delay or even prevent the onset of symptomatic adjacent-level disease.<sup>4,5</sup>

This study reports the 7-year safety and effectiveness data of the SECURE-C (Globus Medical, Audubon, Pennsylvania) Cervical Artificial Disc, a selectively constrained cervical total disc replacement (CTDR) device. The design of SECURE-C selectively constrains motion through controlled articulation to more closely replicate the cervical spine's natural motion. This study is a prospective, multicenter, 2-arm, randomized (1:1), concurrently controlled study treating patients with intractable symptomatic CDD at a single level between C3 and C7 with CDTR being compared to traditional ACDF.

## MATERIALS AND METHODS

### Clinical Study Design

A multicenter, prospective, randomized investigational device exemption (IDE) clinical study was conducted to compare the safety and effectiveness of the SECURE-C Cervical Artificial Disc to control ACDF. A total of 380 patients were enrolled from July 2005 to April 2008 at 18 sites, according to inclusion/exclusion criteria defined in the Food and Drug Administration (FDA)-approved clinical protocol. The first 5 subjects enrolled at each center were nonrandomized and received the SECURE-C device (89 patients). Of the 291 randomized patients, 151 were randomized to SECURE-C and 140 to control ACDF treatment. The most commonly implanted SECURE-C device was the 13-mm (AP depth)  $\times$  14-mm (ML width) in 7-mm-height device. While the device was available in 2 sagittal (0° and 6° lordosis) profiles, the 6° lordosis option was most commonly used. The FDA granted premarket approval of the SECURE-C Cervical Artificial Disc after evaluating the 24-month results submitted for the IDE study. Study design, inclusion/exclusion criteria, and significant clinical findings at the 24-month window were previously reported.<sup>6</sup>

Subsequent to premarket approval, subjects treated in the IDE study were to be followed in a related postmarket approval study (PAS). The PAS was designed to include all subjects who returned for 24-month follow-up (334 subjects). However, sites were requested to gain the consent of all IDE

patients who were willing to return for follow-up at later time points. Patients who had secondary surgery related to the index level were considered to be cumulative failures. Results presented here are from the 7-year follow-up in the PAS.

### Clinical Outcome Measures

#### *Primary Endpoint: Overall Study Success*

Overall success (referred to as protocol-specified) was defined as a composite score whereby a patient was considered a success if all of the following conditions were met:

1. Pain/disability improvement of at least 25% in neck disability index (NDI) compared with baseline
2. No device failures requiring revision, removal, reoperation, or supplemental fixation
3. Absence of major complications defined as major vessel injury, neurological damage, or nerve injury
4. For patients who underwent ACDF only, radiographic fusion, as defined by the presence of bridging trabecular bone, without evidence of pseudarthrosis (defined radiographically as no apparent bridging trabecular bone and range of motion (ROM)  $>3$  mm in translation and  $>2^\circ$  in rotation)

Additionally, more rigorous FDA-specified criteria (FDA-defined) for overall success was defined as outlined below:

1. Pain/disability improvement of at least 15 points in NDI compared with the baseline
2. No secondary surgery at the index level, including revision, removal, reoperation, or supplemental fixation
3. No potentially device-related adverse events
4. Maintenance or improvement in all components of neurological status
5. No SECURE-C intraoperative changes in treatment

#### *Secondary Endpoints*

The secondary endpoint evaluations included components of the primary endpoint (NDI improvement; no device failure requiring revision, reoperation, or removal; absence of major complications), NDI success ( $\geq 25\%$  and  $\geq 15$ -point improvement from baseline), visual analog scale (VAS) neck pain, left arm pain, and right arm pain success ( $\geq 20$ -mm

**Table 1.** Overall success (protocol-specified) for all groups.

Component	Nonrandomized SECURE-C (N = 89)	Randomized SECURE-C (N = 151)	Randomized ACDF (N = 140)	Posterior Probability		95% BCI* (Lower, Upper)
				Noninferiority	Superiority	
NDI ( $\geq 25\%$ improvement)	53/63 (84.1%)	113/125 (90.4%)	92/107 (86.0%)	100.0%	86.8%	(−3.6%, 13.3%)
No removals, etc.	61/65 (93.8%)	124/131 (94.7%)	102/121 (84.3%)	100.0%	99.6%	(2.4%, 16.9%)
No major complications	63/63 (100.0%)	125/125 (100.0%)	107/107 (100.0%)	100.0%	53.9%	(−2.2%, 2.7%)
Fusion (control)	N/A	N/A	101/104 (97.1%)	N/A	N/A	N/A
Overall success	53/65 (81.5%)	113/131 (86.3%)	84/120 (70.0%)	100.0%	99.9%	(6.3%, 25.8%)

Abbreviations: ACDF, anterior cervical discectomy and fusion; BCI, Bayesian credible intervals; NDI, neck disability index.

\*BCI for difference in proportions (SECURE-C – ACDF).

improvement from baseline or 0-mm postoperative score), health status success using the 36-Item Short Form Health Survey (SF-36) for mental and physical composite scores (15% improvement from baseline), and patient satisfaction success (definitely or mostly satisfied). Additionally, maintenance or improvement in neurological status (percentage maintained or improved in comparison to the preoperative baseline) was analyzed. Radiological findings including mean ROM (angulation and translation), change in disc height from baseline ( $>2$ -mm changes), presence of radiolucency, formation of heterotopic ossification (HO) in the SECURE-C group, achievement of spinal fusion (control group only), and device displacement or migration ( $>3$  mm) were also evaluated. All patient data were collected and reported to the study sponsor.

### Statistical Methods

Bayesian statistical methods were prespecified for the analysis of primary and secondary objectives. Prior distributions for all parameters of interest were noninformative. The statistical plan stipulated a multiple imputation approach that predicted 84-month outcomes for subjects without them, based on interim outcomes observed at 6 months and annually thereafter. Differences in proportions were tested for noninferiority; if noninferior, a test of superiority followed. For tests of proportions, a noninferiority margin (delta) of 0.10 was specified.

Concluding noninferiority required a posterior probability exceeding 0.95, analogous to statistical significance at the (one-sided) 5% level in a traditional hypothesis test. The same threshold was used when testing superiority. In addition, equal-tailed 95% Bayesian credible intervals (BCIs) were calculated for selected quantities; a 95% BCI that excludes 0 indicates a statistical difference between quantities, analogous to statistical significance at the (2-sided) 5% level for a traditional hypothesis test.

### Device Design

The SECURE-C Cervical Artificial Disc is a selectively constrained anterior articulating intervertebral device comprised of a central core and 2 endplates. Details of its device design characteristics and function were previously described in the 24-month follow-up.<sup>6</sup>

## RESULTS

### Patient Follow-Up Rates

Among the 380 patients enrolled in the IDE study, there were 4 deaths and 30 failures (including revisions, removals, supplemental fixation, and reoperations at the index level for the randomized cohorts) by 84 months postoperative, bringing the total number of patients eligible for long-term follow-up to 346. The overall follow-up rate achieved at 84 months for patients with efficacy components was 82.7% (286/346) with individual follow-up rates of 81.9% (185/226) for the entire SECURE-C cohort (nonrandomized and randomized), 86.1% (124/144) for the randomized SECURE-C group, and 84.2% (101/120) for the ACDF group.

### Patient Demographics

There were no differences with respect to gender, age, race (Caucasian vs. other), height, weight, body mass index, tobacco use, duration of symptoms, history and type of nonoperative care, or history and type of previous surgery between the 2 randomized groups as reported previously.<sup>6</sup>

### Clinical and Patient-Reported Outcomes

#### Overall Success

The success rates at 84 months postoperative for overall success and each of the individual success components are provided in Tables 1 and 2. The individual patient success rate was defined as the

**Table 2.** Overall success (FDA-defined) for all groups.

Component	Nonrandomized SECURE-C (N = 89)	Randomized SECURE-C (N = 151)	Randomized ACDF (N = 140)	Posterior Probability		95% BCI* (Lower, Upper)
				Noninferiority	Superiority	
NDI ( $\geq 15$ -point improvement)	51/63 (81.0%)	111/125 (88.8%)	90/107 (84.1%)	100.0%	92.1%	(-2.5%, 15.7%)
No removals, etc.	61/65 (93.8%)	124/131 (94.7%)	102/121 (84.3%)	100.0%	99.6%	(2.4%, 16.9%)
Neuro success	59/63 (93.7%)	116/124 (93.5%)	92/105 (87.6%)	100.0%	93.6%	(-1.7%, 14.2%)
No device-related adverse events	61/65 (93.8%)	124/131 (94.7%)	100/121 (82.6%)	100.0%	99.9%	(3.8%, 18.6%)
No change in treatment	88/89 (98.9%)	148/151 (98.0%)	N/A	N/A	N/A	N/A
Overall success	50/65 (76.9%)	103/130 (79.2%)	77/121 (63.6%)	100.0%	99.9%	(5.7%, 27.0%)

Abbreviations: ACDF, anterior cervical discectomy and fusion; BCI, Bayesian credible intervals; NDI, neck disability index.

\*BCI for difference in proportions (SECURE-C – ACDF).

proportion of patients classified as successes divided by the number of patients evaluated at the respective data collection time point.

According to the protocol-defined approach (Table 1), the overall success rate for the SECURE-C group was 86.3% as compared to 70.0% for the ACDF cohort at 84 months. For the FDA-defined approach (Table 2), the overall success rate for SECURE-C was 79.2% versus 63.6% for ACDF at 84 months. Statistical superiority of the investigational SECURE-C device over the control ACDF treatment at 84 months was established for both the protocol-specified and the FDA-defined overall success criteria, with a posterior probability of 99.9%.

### NDI

Preoperative NDI scores were not statistically different between the randomized SECURE-C ( $51.8 \pm 13.84$ ) and ACDF ( $51.5 \pm 14.86$ ) groups. Both cohorts demonstrated improvement in NDI scores after surgery with similar trends during the study (Figure 1). Noninferiority of the randomized SECURE-C group was demonstrated at all postoperative visits for both  $\geq 25\%$  and  $\geq 15$ -point improvement success criteria. At 84 months, 90.4% of the randomized SECURE-C group demonstrated at least 25% improvement in NDI compared to 86.0% for the ACDF group, and

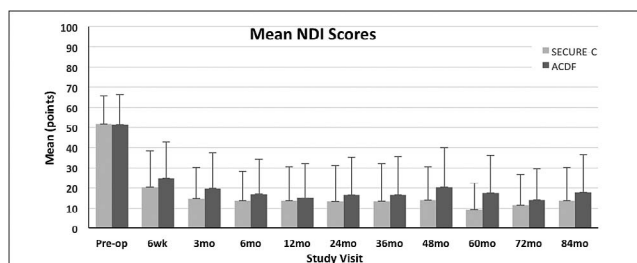
88.8% of randomized SECURE-C patients demonstrated at least a 15-point improvement in NDI compared to 84.1% of the ACDF patients.

### VAS Neck and Arm Pain

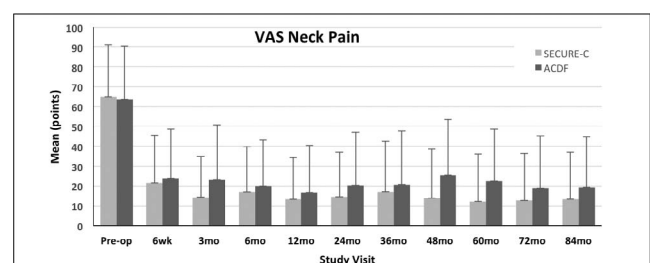
VAS neck and arm pain scores were not statistically different between the randomized SECURE-C and ACDF groups at the preoperative baseline. Both cohorts demonstrated improvement in VAS neck and arm pain scores through 84 months postoperative (Figures 2 through 4). For VAS neck and arm pain improvement of at least 20 mm (or 0 mm postoperative pain), SECURE-C was statistically noninferior to ACDF. At 84 months, 85.7% of randomized SECURE-C patients demonstrated VAS neck pain success, compared to 78.3% of ACDF patients. For left and right arm pain at 84 months, 85.7% of randomized SECURE-C patients were VAS left-arm pain successes, compared to 75.5% of ACDF patients, and 84.9% of randomized SECURE-C patients were VAS right-arm pain successes, compared to 72.6% of ACDF patients. Preoperative and 84-month VAS pain scores along with success components are summarized in Tables 3 and 4, respectively.

### Health Status Survey SF-36

Both cohorts showed postoperative improvement in the SF-36 Physical Composite Score (PCS) and

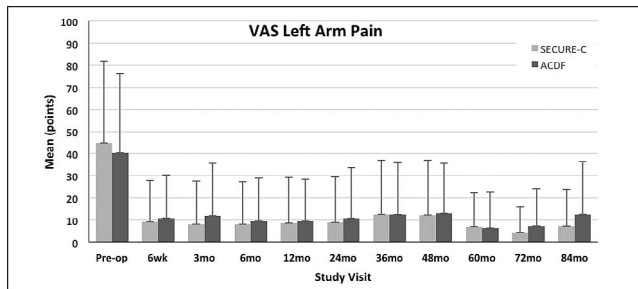


**Figure 1.** Mean neck disability index (NDI) scores ( $\pm$ SD) for the randomized SECURE-C and anterior cervical discectomy and fusion (ACDF) groups through 84 months postoperative.

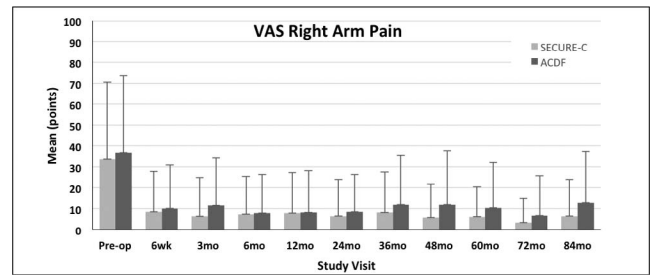


**Figure 2.** Mean visual analog scale (VAS) neck pain scores ( $\pm$ SD) for the randomized SECURE-C and anterior cervical discectomy and fusion (ACDF) groups through 84 months postoperative.





**Figure 3.** Mean visual analog scale (VAS) left arm pain scores (+SD) for the randomized SECURE-C and anterior cervical discectomy and fusion (ACDF) groups through 84 months postoperative.



**Figure 4.** Mean visual analog scale (VAS) right arm pain scores (+SD) for the randomized SECURE-C and anterior cervical discectomy and fusion (ACDF) groups through 84 months postoperative.

Mental Composite Score (MCS) from preoperative through 84 months postoperative (Figure 5). Overall, there were no observable differences in SF-36 scores between the randomized SECURE-C group and the ACDF group. Preoperative and 84-month health status scores along with success components are summarized in Tables 3 and 4, respectively.

### Neurological Status

Deterioration of any one of the 4 neurological assessments (motor, sensory, reflexes, other) from baseline preoperative values was considered deterioration of overall neurological status. Although not statistically significant, the randomized SECURE-C (114/121; 94.2%) group experienced a higher percentage of patients with stable/improved neurological status than the control ACDF (94/108; 87.1%) group at 84 months.

### Patient Satisfaction

Ninety-six percent (120/125) of patients treated in the randomized SECURE-C group responded that they were “definitely” or “mostly satisfied” with their surgery results; this rate was statistically superior to satisfaction reported among the ACDF group (95/107; 88.8%).

### Adverse Events

Adverse event rates were based on the number of patients having at least 1 occurrence of an adverse

event divided by the number of patients in that treatment group. Device-related events consisted of neck pain, neck and upper extremity pain, and surgery at the index level. Adverse event rates and events per patient for both groups are summarized in Table 5. At 84 months postoperative, device-related (SECURE-C: 4.2% vs. ACDF: 15.3%) and surgery-related (SECURE-C: 7.6% vs. ACDF: 15.3%) adverse events were lower in the SECURE-C group than the ACDF group. No major differences were observed between SECURE-C and ACDF groups in terms of other adverse events, including severe or life-threatening complications.

### Secondary Surgical Procedures

Some adverse events resulted in surgical intervention at the index level, subsequent to initial surgery. Secondary surgical interventions, classified as revisions, removals, reoperations, or supplemental fixation at the index level, were considered to be study failures. By at least 7 years postoperative, there were 10 secondary surgeries on patients treated with SECURE-C, whereas 22 secondary surgeries were performed on patients treated with ACDF. Out of the 10 surgeries in the SECURE-C patients, there were 6 removals, and the remaining 4 required posterior decompression without removal of the device. In the ACDF patients, 16 out of 22 secondary surgeries involved adjacent-level treat-

**Table 3.** Visual analog scale (VAS) and 36-Item Short Form Health Survey (SF-36) scores (mean  $\pm$  SD) at preoperative and 84 months follow-up.

	SECURE-C		ACDF	
	Preoperative	84 mo	Preoperative	84 mo
VAS neck pain	64.7 $\pm$ 26.37	13.3 $\pm$ 23.62	63.5 $\pm$ 26.81	19.4 $\pm$ 25.16
VAS left arm pain	44.8 $\pm$ 37.05	7.1 $\pm$ 16.75	40.3 $\pm$ 36.08	12.6 $\pm$ 23.98
VAS right arm pain	33.8 $\pm$ 36.87	6.6 $\pm$ 17.54	36.8 $\pm$ 36.99	12.9 $\pm$ 24.37
SF-36 MCS	44.0 $\pm$ 13.16	52.1 $\pm$ 10.47	44.4 $\pm$ 11.97	51.0 $\pm$ 11.35
SF-36 PCS	33.9 $\pm$ 7.41	46.4 $\pm$ 12.07	32.0 $\pm$ 6.48	44.7 $\pm$ 10.90

Abbreviations: ACDF, anterior cervical discectomy and fusion; MCS, Mental Composite Score; PCS, Physical Composite Score.

**Table 4.** Secondary component success summary at 84 months follow-up.\*

Component Success	SECURE-C (N = 151)	ACDF (N = 140)	95% BCI (Lower, Upper)
NDI ( $\geq 25\%$ improvement)	113/125 (90.4%)	92/107 (86.0%)	(−3.6%, 13.3%)
NDI ( $\geq 15$ -point improvement)	111/125 (88.8%)	90/107 (84.1%)	(−2.5%, 15.7%)
VAS neck pain	108/126 (85.7%)	83/106 (78.3%)	(−2.7%, 17.5%)
VAS left arm pain	108/126 (85.7%)	80/106 (75.5%)	(1.2%, 21.6%)
VAS right arm pain	107/126 (84.9%)	77/106 (72.6%)	(0.7%, 21.0%)
SF-36 PCS	90/125 (72.0%)	79/106 (74.5%)	(−14.3%, 8.0%)
SF-36 MCS	59/125 (47.2%)	46/106 (43.4%)	(−9.6%, 14.5%)

Abbreviations: ACDF, anterior cervical discectomy and fusion; BCI, Bayesian credible intervals; NDI, neck disability index; VAS, visual analog scale; SF-36, 36-Item Short Form Health Survey; PCS, Physical Composite Score; MCS, Mental Composite Score.

\*VAS pain score success ( $\geq 20$ -mm improvement from baseline or 0-mm postoperative score). SF-36 health status PCS success ( $\geq 15\%$  improvement) by visit. SF-36 health status MCS success ( $\geq 15\%$  improvement) by visit.

ments. Moreover, the rate of secondary surgeries in the ACDF group was higher than the SECURE-C group throughout the study duration (Figure 6). The percentage of patients requiring secondary surgery was numerically lower for the SECURE-C group (4.2%) than for the ACDF group (15.3%).

### Radiological Assessments

Anterior-posterior, lateral, and flexion-extension films obtained at predefined time points were utilized for radiographic assessments during the study. Range of motion success for the SECURE-C group was defined as at least  $4^\circ$  of motion in flexion-extension, or maintenance of motion relative to preoperative baseline. At 84 months, 85.1% of as-treated (randomized + nonrandomized) SECURE-C patients were radiographic ROM successes (Figure 7). Overall, mean ROM in flexion-extension (Figure 8) and sagittal translation (Figure 9) were preserved and maintained with respect to preoperative values in the SECURE-C group at all follow-up time points during the study. Mean flexion-extension ROM for patients treated with SECURE-C was  $9.2^\circ$  at 84 months, and mean sagittal translation was 1.1 mm. For the control group, radiographic fusion was defined by the presence of bridging trabecular bone without evidence of pseudarthrosis and flexion-extension ROM of  $2^\circ$  or less in rotation and 3 mm or less in translation. All available 24-, 60-, and 84-month radiographs for the SECURE-C treatment group were assessed for the presence of HO by an

independent radiographic evaluator (Medical Metrics, Houston, Texas) using the Mehren classification system.<sup>7</sup> At 84 months, 7.7% (14/182) patients in the SECURE-C group were identified with evidence of Grade IV (complete fusion of the treated segment without movement) HO. Radiographic fusion was observed in 97.2% (104/107) of patients who underwent ACDF at 84 months. Additionally, no radiolucencies were observed in either the SECURE-C or the ACDF cohorts at 84 months postoperative. There were no device breakages, migrations, or displacements, including superior-inferior subsidence reported among patients treated with SECURE-C during the course of the study.

### Adjacent Segment Treatment

The incidence and progression of adjacent-level disease can be assessed in terms of symptomology, treatment, and surgery performed at an adjacent level. An in-depth analysis was performed on the entire SECURE-C study population to identify adverse events, treatments, and surgical interventions associated with adjacent-level symptoms.

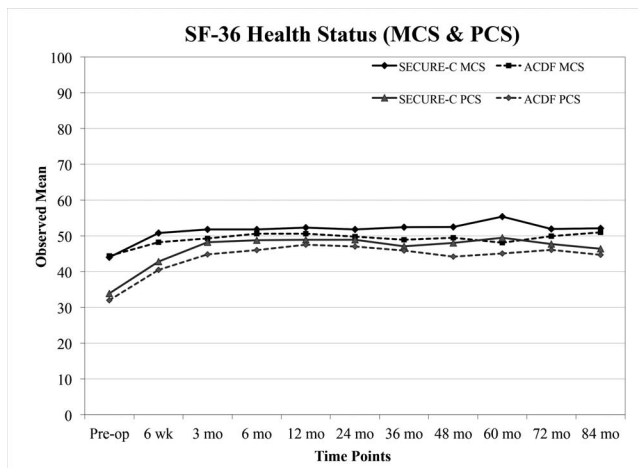
Patients who experienced any postoperative symptoms potentially related to an adjacent level, such as radicular symptoms (arm pain or dysesthesia), neck pain, myelopathy, or any combination thereof, were thoroughly evaluated.

About sixty-two percent (62.3%; 147/236) of SECURE-C patients reported symptoms or treat-

**Table 5.** Adverse events by treatment group by at least 84 months of follow-up.

Adverse Event (AE)	SECURE-C (N = 236)		ACDF (N = 144)	
	Patients (%)	Events (E/Pt)	Patients (%)	Events (E/Pt)
Any AE	205 (86.9%)	723 (3.06)	130 (90.3%)	537 (3.73)
Device-related AE	10 (4.2%)	10 (0.04)	22 (15.3%)	25 (0.17)
Surgery-related AE	18 (7.6%)	19 (0.08)	22 (15.3%)	24 (0.73)
Severe or life-threatening event	87 (36.9%)	152 (0.64)	65 (45.1%)	105 (0.73)

Abbreviation: E/Pt, events per patient.



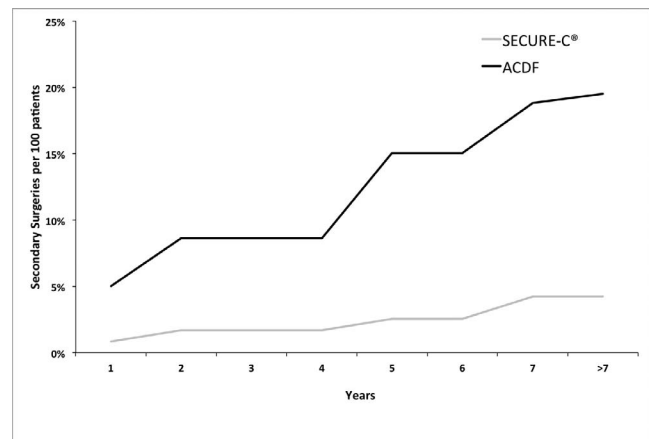
**Figure 5.** Mean 36-Item Short Form Health Survey (Mental Composite Score [MCS] and Physical Composite Score [PCS]) scores for the randomized SECURE-C and anterior cervical discectomy and fusion (ACDF) groups through 84 months postoperative.

ments possibly related to an adjacent level, whereas 72.9% (105/144) of ACDF patients had adverse events or interventions that could correlate to an adjacent level. On further analysis, a total of 17% (40/236) of SECURE-C patients experienced symptoms attributable to an adjacent level(s) in comparison to 37.5% (54/144) of ACDF patients.

A few patients with adjacent-level symptoms underwent nonsurgical treatments, such as epidural steroid injections, facet injections, and radio-frequency lesioning. Furthermore, a few patients with adjacent-level symptoms (with or without prior nonsurgical adjacent-level treatment) went on to receive postoperative surgical intervention at the adjacent level. ACDF patients had adjacent-level surgery earlier and more often than SECURE-C patients. The percentage of patients with adjacent-level surgery was low for both groups, with 5 or fewer patients receiving treatment within each postoperative window. However, the rate of patients receiving adjacent-level surgery increased in the ACDF group and was higher than the SECURE-C group during the course of the study (Figure 10). Cumulatively, at 84 months postoperative, the percentage of ACDF (16%; 23/144) patients undergoing adjacent-level surgical treatment was higher than the percentage of SECURE-C (4.2%; 10/236) patients.

## DISCUSSION

Cervical arthroplasty devices are designed to provide relief of clinical problems attributed to symptomatic CDD, similar to traditional fusion

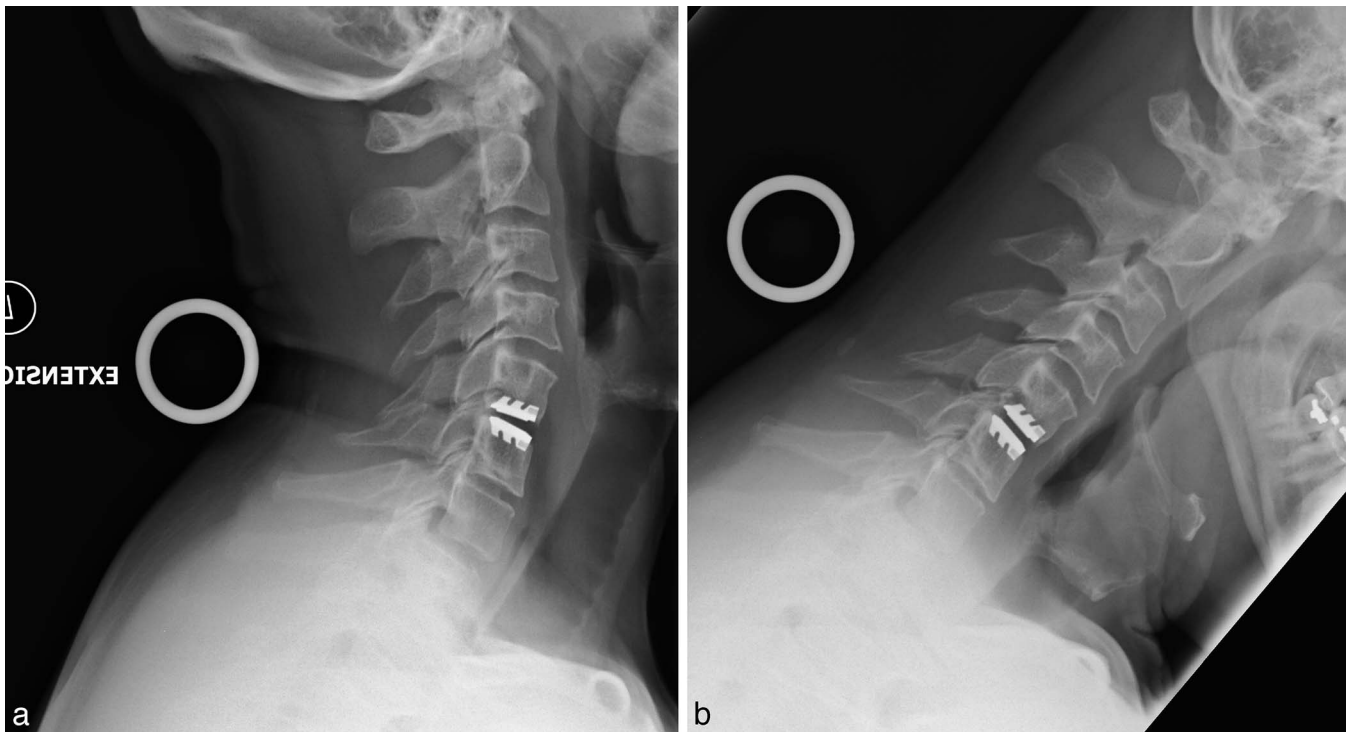


**Figure 6.** Cumulative secondary surgeries at treated level by at least 84 months postoperative. Abbreviation: ACDF, anterior cervical discectomy and fusion.

procedures. However, unlike fusion, which restricts motion, arthroplasty preserves motion and may act as a preventive modality to mitigate or delay the onset of degeneration of adjacent segments, thereby decreasing the probability of subsequent interventions. Although cervical arthroplasty devices have been available for more than 3 decades, the adoption of the technology has been limited partly due to uncertainty about long-term clinical outcomes associated with these devices as well as the perceived clinical success of traditional cervical fusion surgeries. The clinical IDE results presented here are consistent with other recent reports demonstrating the long-term safety and efficacy of cervical arthroplasty and its potential benefits in comparison to traditional cervical fusion.<sup>8-19</sup>

Overall success in the IDE protocol was defined as a composite score of parameters that are necessary to reasonably evaluate the primary effectiveness of an investigational group in comparison to the control group. A patient is considered an individual success if and only if all of the parameters are considered a success, rendering it a rigorous scoring metric. Furthermore, an additional FDA-requested overall success criterion was implemented that required a more conservative approach in defining parameters, essentially decreasing the potential for measurement bias between the groups. Statistical superiority of the SECURE-C group over ACDF was achieved according to the stricter FDA-defined criteria as well as the protocol-defined criteria at the 24- and 84-month time points, with similar trends during intermediate follow-up periods. Although no statistical difference was observed in terms of NDI success and neurological success,



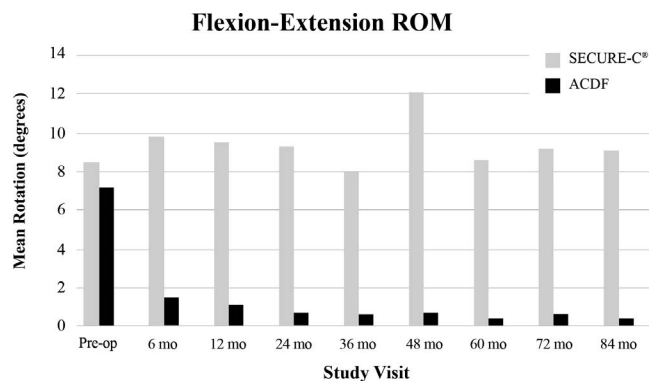


**Figure 7.** Postoperative radiographs obtained at 84 months showing SECURE-C device in (a) flexion and (b) extension.

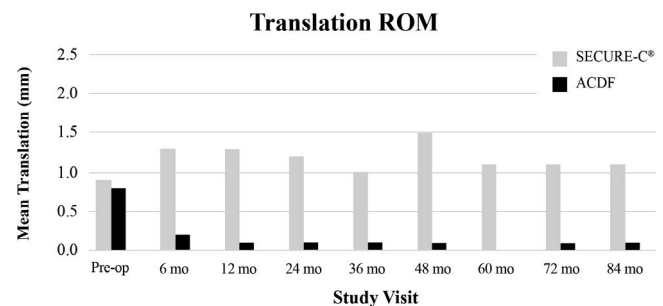
fewer device-related adverse events and removals in the SECURE-C group were instrumental for a greater overall success composite score in relation to the ACDF group. At 7 years postoperative, 86.3% of patients in the SECURE-C cohort satisfied the protocol-defined criteria for overall success, which is numerically greater than what was observed for similar cervical arthroplasty devices such as Prestige LP (7 year: 74.9%)<sup>13</sup> and Mobi-C (5 year: 61.9%).<sup>14</sup> Although the differences in these composite scores are attributable to multiple factors other than device characteristics, these findings render evidentiary

support for favorable long-term prognoses with cervical arthroplasty in general.

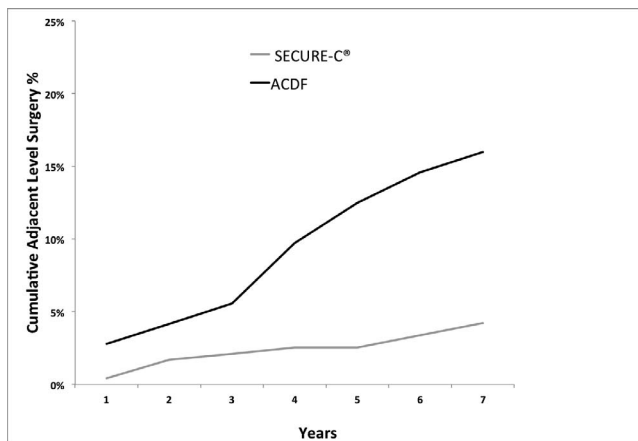
Functional improvement and overall well-being were documented using patient-reportable outcome measures such as NDI, SF-36, and VAS neck and arm pain, along with a patient satisfaction questionnaire. These are commonly used tools for evaluating the treatment effectiveness of cervical spine surgery. Both groups experienced marked improvements in pain scores, strongly suggesting that the majority of symptom relief was provided by neural decompression, as this is a common denominator in both fusion and arthroplasty surgeries. The scale of improvement and maintenance of pain status in both groups indicate that both procedures



**Figure 8.** Mean flexion-extension range of motion (ROM) at the operated level through 84 months postoperative. Abbreviation: ACDF, anterior cervical discectomy and fusion.



**Figure 9.** Mean translation range of motion (ROM) at the operated level through 84 months postoperative. Abbreviation: ACDF, anterior cervical discectomy and fusion.



**Figure 10.** Cumulative adjacent level surgeries through 84 months postoperative. Abbreviation: ACDF, anterior cervical discectomy and fusion.

provided at the least minimum clinically important difference, if not the ultimate goal of a significant clinical benefit, as defined by the clinical community.<sup>20</sup>

Adverse events and secondary surgeries are impactful clinical factors that result in significant medical and financial burden to the patient and the payer. Contemporary studies have suggested that total disc arthroplasty can be cost-effective, primarily by decreasing the rate of secondary surgeries.<sup>21–25</sup> In this study, device- and surgery-related adverse events were lower in the SECURE-C group than in the ACDF group. In addition, the rate of secondary surgery at the treated index level was lower in the SECURE-C group than fusion, supporting trends reported in other studies comparing arthroplasty to ACDF.<sup>26–28</sup>

The majority of SECURE-C patients showed an increase in and maintenance of ROM after surgery. At 84 months postoperative, 85.1% of SECURE-C patients were considered ROM successes based on study criteria of exhibiting  $\geq 4^\circ$  of flexion-extension ROM. A small percentage of patients (7.7%) showed radiographic evidence of HO to the extent of limiting motion at the treated level, which is consistent with other studies on cervical arthroplasty.<sup>11–19</sup> While the etiology of HO and its effect on motion preservation is an important consideration, its association with either clinical relevance<sup>29–32</sup> or patient outcome<sup>33,34</sup> after arthroplasty remains unclear. It is suggested that reducing bleeding bone, maximizing endplate coverage<sup>35</sup> with the arthroplasty device, and nonsteroidal anti-inflammatory drug prophylaxis may be beneficial in reducing the occurrence of HO.

One of the primary advantages of arthroplasty is the potential to mitigate or delay the symptoms of adjacent segment disease.<sup>5,36–38</sup> In this study, long-term follow-up demonstrated that patients treated with SECURE-C had fewer adjacent segment surgeries when compared to the fusion group, suggesting the protective role of arthroplasty on adjacent segments. These findings are consistent with other reports indicating a protective role of motion preservation with respect to the occurrence of adjacent-level symptoms.<sup>13,15,18</sup> However, the decision-making process in electing treatment for adjacent segment surgery is not well defined and may be subject to surgeon bias. Moreover, the state of degeneration of adjacent segments, prior to implantation, may influence the rate of adjacent segment breakdown.

There are limitations to this study. The randomized controlled clinical trial was designed with strict inclusion and exclusion criteria. Outcomes of patients in the real world may differ. The study compared the safety and efficacy of the SECURE-C Cervical Artificial Disc against fusion, and the results cannot be generalized to other cervical arthroplasty devices. Despite enrolling a non-randomized group of 5 SECURE-C patients per investigational site for initial training purposes, the limited population of the clinical study would still be considered an early learning curve for each investigator surgeon. It is reasonable to predict that additional surgical experience with appropriate patient selection may lead to improved outcomes.

## CONCLUSION

Seven-year follow-up of 380 patients enrolled in the prospective, randomized, controlled, clinical IDE trial demonstrated that SECURE-C is non-inferior to ACDF in terms of providing long-term pain relief and functional improvement in patients diagnosed with single-level CDD refractory to nonoperative treatment. Study results demonstrated that the SECURE-C cohort was statistically superior to ACDF in terms of composite overall success and patient satisfaction. SECURE-C patients also experienced lower rates of subsequent index- and adjacent-level surgical interventions. Given the level 1 data presented herein and in consideration of the long-term results of prospective clinical trials for other cervical artificial discs, it is prudent to reevaluate categorization of treatment options for symptomatic CDD.

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