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# Comparison of Transthecal Approach With Traditional Conservative Approach for Primary Closure After Incidental Durotomy in Anterior Lumbar Tear

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## ABSTRACT

**Background:** Incidental durotomies (IDs) are frequent complications of spinal surgeries which are mostly posterior or lateral. Anterior IDs are rare; however, they may lead to severe complications. We compared the transthecal approach with the conservative approach for primary closure after durotomy in anterior lumbar dural tear to assess the efficacy of these approaches to decrease postsurgical complications and clinical outcomes.

**Methods:** A total of 21 patients undergoing L2–S1 laminectomy with anterior ID were randomly divided into a transthecal group (n = 9) and a conservative group (n = 12) based on the surgical dural closure technique. Postoperative pseudomeningocele, wound infection, rootlet herniation, pneumocephalus, cerebrospinal fluid (CSF) leakage, headache, meningitis, in addition to surgery duration and length of hospitalization were examined and compared in both groups.

**Results:** The frequency of pseudomeningocele and CSF leakage in patients undergoing the transthecal approach was significantly lower than those undergoing the conservative approach ( $P = .045$  and  $.008$ , respectively). Furthermore, although the differences in the frequency of meningitis, pneumocephalus, headache, and wound infection were not statistically significant between the 2 groups, the effect sizes of the comparison were obtained as 49.4, 19.8, 7.1, and 2.6, respectively. This indicated that the differences were clinically significant between the 2 groups.

**Conclusions:** We found that the transthecal approach was significantly more successful in managing CSF leakage as well as its complications and clinical outcomes. However, further clinical trials with bigger sample sizes are needed to substantiate this claim.

Lumbar Spine

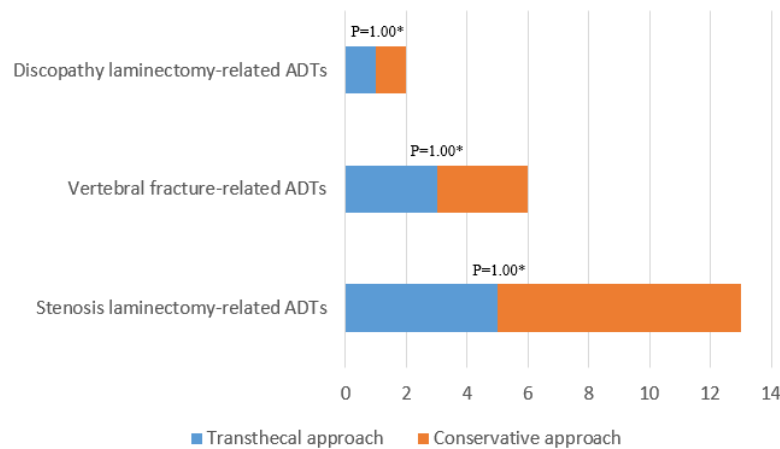
Keywords: incidental durotomy, transthecal approach, CSF leakage, postsurgical complications

## INTRODUCTION

Incidental durotomy (ID) or dural tear is a frequent complication of spinal surgeries, occurring in 4%–17% of decompressive spinal surgeries.<sup>1</sup> It has an incident rate of 1.8%–17.4% in lumbar surgeries and, generally, 1%–17% in spinal surgeries, depending on complications of the operation.<sup>2,3</sup> Risk factors for ID during surgery are old age, revision surgery, minimally invasive surgery, number of instrumented segments, the surgeon's experience, female sex, preexisting conditions (degenerative spondylolisthesis), ossification of the longitudinal ligament, and synovial

cysts.<sup>2,4–10</sup> IDs are mostly posterior or lateral, occurring during thecal sac manipulation to perform decompression. Anterior IDs are rare in the posterior spine surgery approach, and because of their small size, they can tamponade themselves against the vertebral body, especially in minimally invasive surgeries.<sup>10,11</sup> Large IDs need primary repair, as they may lead to severe complications and morbidities such as severe headache and posture-related headache, pseudomeningocele formation, nerve root entrapment, arachnoiditis, intracranial hemorrhage, durocutaneous fistula, photophobia, dizziness, cranial nerve palsy, need for reoperation, increased administration of anti-

**Figure 1.** A stacked bar chart that illustrates the number of patients on the x axis and underlying causes for the dural tears on the y axis in each of 2 surgical procedure groups. Abbreviation: ADTs, anterior dural tears. \*The result from the  $\chi^2$  test. Descriptions: Underlying causes for the anterior dural tears after laminectomy due to discopathy and spinal canal stenosis were as follows: (1) Anterior dural adhesion to the extruded disc, and (2) anterior dural adhesion to the posterior longitudinal ligament, which resulted in anterior dural tear during lumbar canal decompression. Underlying cause for the anterior dural tears after vertebral fracture was the damage to the ventral thecal sac due to the fractured bone of the vertebral body.

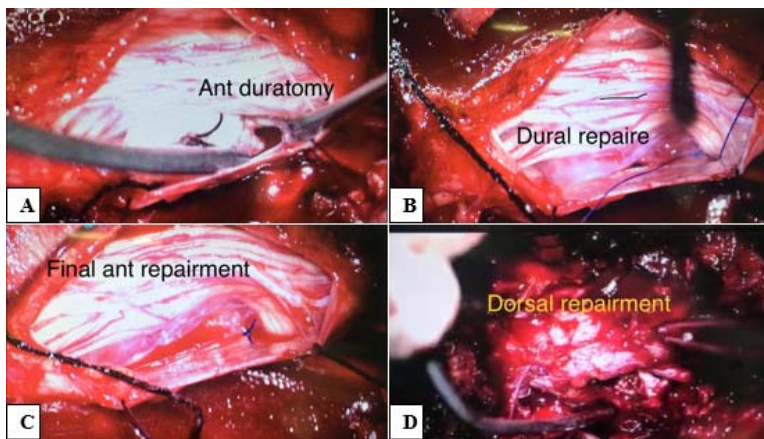


biotics, significantly prolonged bed rest, and hospital stay.<sup>2,5,10,12-15</sup> Cases of anterior ID cannot be managed by routine primary repair, and no definitive treatment has been proposed for such cases. Authors of many studies have reported good results after the surgical repair of durotomies.<sup>13,16,17</sup> However, no authors of clinical studies have compared the 2 surgery approaches. In the present study, we report the use of the transthecal approach described by Nakhla et al<sup>10</sup> for the repair of anterior dural tear via direct closure of the tear by complete suturing compared with the conservative approach (indirect closure by patching) in patients with lumbar spinal surgery. We also assessed the efficacy of these approaches to decrease postsurgical complications and clinical outcomes.

## MATERIALS AND METHODS

The present parallel clinical trial was conducted in a single-blind manner on 21 patients undergoing L2–S1 laminectomy who experienced anterior dural tear during a surgical procedure or due to vertebral fracture, as clearly shown in Figure 1, from February 2017 to December 2019 at the Shohada-e-Tajrish Hospital, Tehran, Iran. Patients with anterior dural tear during the first surgery due to spinal canal stenosis, discopathy, or the occurrence of a vertebral fracture who were under 70 years old without any major comorbidities (eg, cardiac, renal, and lung diseases) were included in the study. The exclusion criteria were simultaneous participation in another clinical trial, unwillingness to continue participation, hypercoagulopathy, history of lumbar spinal surgery, severe reactions to conventional medications, and increased intracranial pressure due to intracranial pathologies. All

patients signed informed consent. Since anterior dural tear is a rare event, and to our knowledge, no authors have compared the transthecal route with other approaches for primary closure, it was not possible to calculate a true power. Therefore, we carried out a small pilot study to obtain estimations for properly calculating the sample size. Patients who met all study inclusion criteria were randomly divided into 2 groups based on the surgical dural closure technique: in group 1 (undergoing the transthecal approach), after the assessment of the anterior duratomy, proximal and distal areas of dural tear were determined. Then incision was made on the same site on the posterior dura. The dura was then opened under a microscope, rootlets were gently pushed to the right and left using a piece of cotton, and the dural tear was repaired using Prolene 6-0 with locked continuous suture. After the full closure of the dural defect and removal of the cotton, the rootlets were rinsed with saline and returned to their original position. Dorsal dura was also repaired under a microscope using Prolene 4-0 (Figure 2). After these stages, a Hemovac drain was inserted under the muscle fascia, and the fascia was completely sutured (water tight) using Vicryl 1-0. Routine procedures were then applied for cutaneous and subcutaneous repair. In group 2 (undergoing the conservative approach), cerebrospinal fluid (CSF) leak was prevented by covering the dorsal and dorsolateral region of the dura (between the rootlets above and below the tear site) with a 2 × 2 cm on-lay pad of fat taken from subcutaneous tissue and administration of fibrin glue over and around the pad. As in the group 1, a Hemovac drain was inserted under the muscle fascia, and subcutaneous and cutaneous were routinely repaired by suturing. In



**Figure 2.** (A) Finding anterior duratomy after opening the dorsal dura. (B) Suturing the defect of anterior dura. (C) Complete dural repair. (D) Dorsal-dural repair after complete suturing the anterior defect.

both groups, a Hemovac drain was maintained for at least 72 hours (during which the patients under relative bed rest). All patients received a first-generation cephalosporin as prophylaxis. In both groups, a Hemovac drain was removed after 72 hours if the drain function was less than 20 cc/12 hours, and its site was sutured. An acetazolamide tablet (dosage adjusted by patient weight) was administered in both groups. Intermittent pneumatic compression was used to prevent deep venous thrombosis (DVT). The preoperative body mass index (BMI) was calculated via dividing the body weight (kg) by the height squared ( $m^2$ ) for all the patients. Other medical information required was also extracted through medical records. The patients were followed up for 1 month after the surgery. During this period, all patients underwent lumbosacral magnetic resonance imaging (MRI) to assess the surgical site for pseudomeningocele, wound infection, and rootlet herniation. During the first 21 days after the surgery, all patients underwent brain computed tomography (CT) scan for possible postoperative pneumocephalus. The patients were also monitored for CSF leakage, headache, meningitis, surgery duration, and length of hospitalization (LOH).

## STATISTICAL METHODS

The data were analyzed using the statistical package IBM SPSS, version 22.0 (IBM Corp., Armonk, New York). The Kolmogorov-Smirnov test was used to assess the data distribution normality. To compare the 2 groups, statistical tests including independent *t* test (for comparison of the mean age, BMI, and surgery duration), the Mann-Whitney *U* test (for comparison of the

LOH), and Fisher's exact test for qualitative variables were performed. All tests were performed at 5% level.

## RESULTS

A total of 30 patients were randomly divided into transthecal and conservative groups. Three and 6 patients were excluded from the transthecal and conservative groups, respectively, because they were not eligible to continue the study. Finally, 9 patients in the transthecal group and 12 patients in the conservative group completed the study. Figure 3 illustrates how this placement was performed for the patients throughout the study. Some basic and medical information of the patients is summarized in Table 1. As shown in Table 1, there was no significant difference in terms of age and sex between the 2 groups. In addition, there were 3 (33.3%) patients with fracture, 1 (11.1%) patient with discopathy, and 5 (55.6%) patients with lumbar spinal canal stenosis in the transthecal group. These values were 3 (25.0%), 1 (8.3%), and 8 (66.7%) in the conservative group, respectively. This means that the 2 groups were not significantly different in this respect. This was also true for smoking and BMI. Postoperative complications were compared between the 2 surgical approaches (Table 2). The frequency of pseudomeningocele and CSF leakage in patients undergoing the transthecal approach was significantly lower than those undergoing the conservative approach ( $P = .045, .008$ , respectively). The proportion of meningitis to both the transthecal and conservative approaches was estimated to be 0.0% and 33.3%, respectively. However, there was no statistically significant difference between the 2

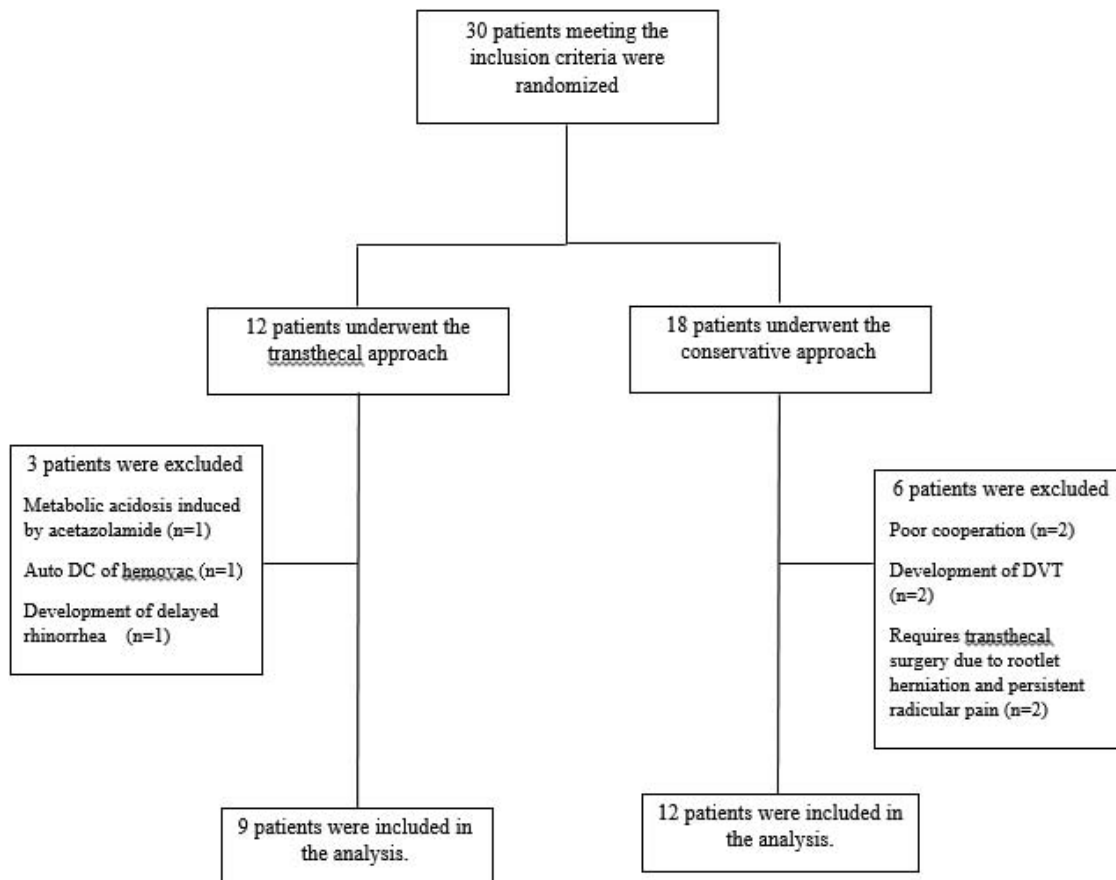


Figure 3. The flowchart of the study participants.

groups ( $P = .104$ ), but the effect size obtained for the comparison was 49.4, indicating that the difference between the 2 groups was “large” clinically. The same is true for pneumocephalus, headache, and infections with the effect sizes of 19.8, 7.1, and 2.6. This means that although  $P$  value tests did not show any significant difference between the 2 groups, the effect size indicated a large clinical difference between the 2 groups by pneumocephalus and headache and a medium

clinical difference by infections. Figure 4 depicts the comparison of these postoperative complications between the 2 groups. On the other hand, although the duration of the surgery was higher in patients undergoing the transthecal approach, the length of hospital stay for these patients was significantly reduced (Figure 5).

Table 1. Basic characteristics and medical information of the patients.

Parameter	Transthecal Approach (n = 9)	Conservative Approach (n = 12)	P Value
Sex, n (%), male	3 (33.3)	5 (41.7)	1.000 <sup>a</sup>
Age, mean ± SD, y	47.78 ± 19.23	44.17 ± 14.01	.624 <sup>b</sup>
Pathology, n (%)			1.000 <sup>a</sup>
Stenosis	5 (55.6)	8 (66.7)	
Vertebral FX	3 (33.3)	3 (25.0)	
Discopathy	1 (11.1)	1 (8.3)	
Smoking, n (%), yes	2 (22.2)	3 (25.0)	1.000 <sup>a</sup>
BMI, mean ± SD, kg/m <sup>2</sup>	26.83 ± 4.40	27.50 ± 3.38	.695 <sup>b</sup>

Abbreviations: SD, standard deviation; FX, fracture; BMI, body mass index.

<sup>a</sup>The result from the  $\chi^2$  test.

<sup>b</sup>The result from the independent sample  $t$  test.

Table 2. Comparison of the postoperative complications and clinical outcomes in patients undergoing the 2 surgical approaches for anterior dural tear.

Parameter	Transthecal Approach (n = 9)	Conservative Approach (n = 12)	P Value
Pseudomeningocele, n (%), yes	0 (0.0)	5 (41.7)	.045 <sup>a,b</sup>
Infection, n (%), yes	1 (11.1)	3 (25.1)	.603 <sup>a</sup>
Rootlet herniation, n (%), yes	0 (0.0)	0 (0.0)	
Pneumocephalus, n (%), yes	0 (0.0)	2 (16.7)	.486 <sup>a</sup>
CSF leakage, n (%), yes	1 (11.1)	9 (75.0)	.008 <sup>a,b</sup>
Headache, n (%), yes	2 (22.2)	8 (66.7)	.080 <sup>a</sup>
Meningitis, n (%), yes	0 (0.0)	4 (33.3)	.104 <sup>a</sup>
Surgery duration, mean ± SD, h	3.78 ± 0.58	2.89 ± 0.64	.004 <sup>b,c</sup>
LOH, median (Q1-Q3), d	6 (4.5–7)	12 (8–14.75)	.002 <sup>b,d</sup>

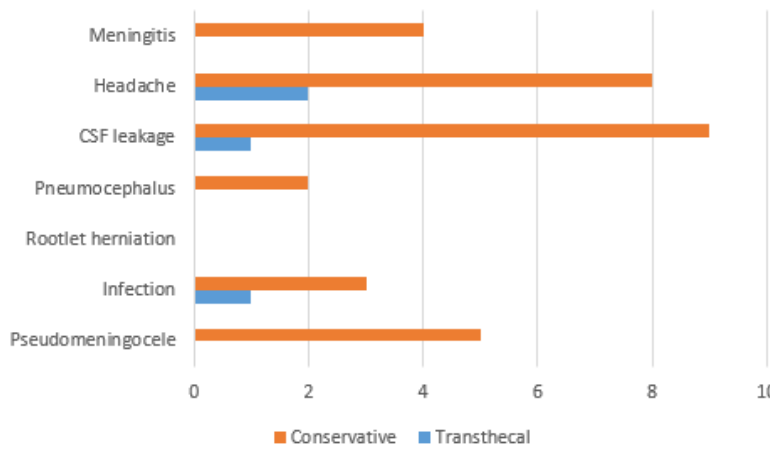
Abbreviations: CSF, cerebrospinal fluid; SD, standard deviation; LOH, length of hospitalization.

<sup>a</sup>The result from the  $\chi^2$  test.

<sup>b</sup>Significant at the 0.05 level.

<sup>c</sup>The result from the independent sample  $t$  test.

<sup>d</sup>The result from the Mann-Whitney  $U$  test.

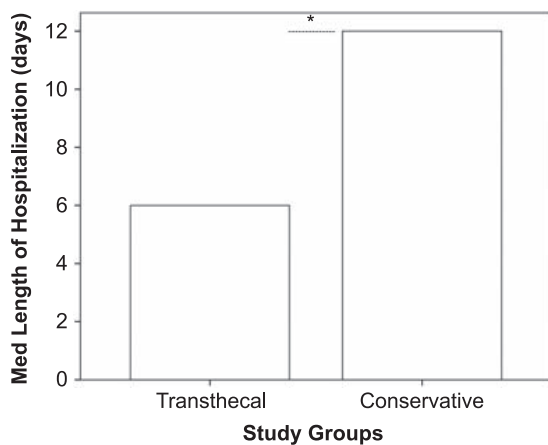


**Figure 4.** The clustered bar chart used to compare postoperative complications across patients undergoing the 2 surgical approaches for anterior dural tear.

### DISCUSSION

The purpose of the present study was to compare the transthecal approach with the conservative approach for primary closure after durotomy in anterior lumbar dural tear to assess the efficacy of these approaches to decrease postsurgical complications and clinical outcomes. Our findings indicated that microscopic transthecal anterior dural repair was significantly more effective in preventing pseudomeningocele and CSF leakage than the conservative approach. Furthermore, some complications such as meningitis, pneumocephalus, headache, and wound infection occurred less frequently under the transthecal approach than the conservative approach; the differences appeared to be clinically significant. Moreover, the transthecal approach significantly reduced LOH. The timely diagnosis and primary repair of anterior IDs are critical to prevent CSF leakage complications and clinical outcomes. It is especially important to

consider that, due to the rare occurrence of anterior IDs, the best repair approach is not known yet. Various techniques such as the use of fibrin glue, gel foam, and fat patch with or without suturing have been reported in previous studies,<sup>3,9,11,18-20</sup> and authors of only a few reports recommended the direct repair of anterior durotomy using the transthecal approach.<sup>10,21,22</sup> In our knowledge, no authors compared the transthecal approach with the conservative route to anterior ID repair in a clinical trial design. Therefore, here, the existing literature including case reports, case series, and descriptive or cohort studies was discussed. The transthecal technique by details was first introduced to repair an anterior dural tear in a patient undergoing posterior lumbar interbody fusion by minimally invasive spine surgery (MISS) by Nakhla et al<sup>10</sup> (2017). Since primary dural closure was not possible, the site received conservative treatment using a fat patch and by the administration of fibrin glue. Due to persistent CSF leak and its complications (delayed wound healing and postural headache), the patient underwent an open spine surgery (OSS) 9 days later and received microscopic anterior dural repair using the transthecal approach. Then the symptoms were improved. Although anterior IDs and, consequently, CSF leakage during MISS can be less likely to occur,<sup>23</sup> due to the limited field of view in such endoscopic surgery, dural repair is not possible in the transthecal approach at the same time. Consistent with the mentioned case study report,<sup>10</sup> in our clinical trial with a larger sample size, we showed that the transthecal approach through OSS was much more effective than the conservative treatment to diminish CSF leakage and its complications. Earlier, Choi et al,<sup>21</sup> in their case series study, reported that all 4 patients undergoing



**Figure 5.** Bar graph showing the significant difference between the median of hospital stay for patients undergoing the 2 surgical approaches for anterior dural tear. \*Significant at the 0.05 level, resulting from the Mann-Whitney U test.

lumbar surgery developed undetected intraoperative anterior ID. None of them had postoperative CSF leakage and its complications; however, their main complaint was a severely persistent radicular pain in the legs a few days after the surgery. Postoperative MRI confirmed anterior IDs and showed transdural nerve rootlet entrapment. After using the transthecal approach to reposition the herniated rootlet and dural repair in reoperation, the radicular pain symptom disappeared. In our study, 2 patients who were included in the conservative group suffered from refractory severe radicular pain after the primary surgery. They had a rootlet herniation in the intervertebral disc space that was confirmed by follow-up MRI. They were excluded from the study because they underwent another surgery for repositioning the herniated rootlet and dural repair. Therefore, it is very important to detect and repair an anterior ID during the first surgery for preventing neural elements herniation and its complications. Authors of another study found that the use of the posterior transthecal approach was effective and safe in 5 patients with a thoracolumbar fracture.<sup>22</sup> Their study is an example for this claim that the type of disease pathology that requires spine surgery cannot affect the advantages of the transthecal approach. As the findings of their study showed, all 5 patients with fractures and neurological defects successfully received the transthecal approach for dural lacerations repair. Furthermore, compressive bony elements were removed, and the deformity was corrected without any surgical complications resulting from CSF leakage.<sup>22</sup> In the present study, we also had trauma cases, and the transthecal approach was successfully applied in them as well. In addition, most surgeons have recommended primary closure by suturing with or without fibrin glue for treatment of IDs. The use of muscle or fat patch with or without additional closure aids such as fibrin glue, Surgicel, and DuraGen have also been recommended (almost when direct suturing is not possible).<sup>3,17,24–26</sup> In another study, there was no significant difference between various dural closure techniques in terms of the effect on the rate of revision surgery due to CSF leakage and its complications.<sup>3</sup> It is worth mentioning that, although the investigation of the revision surgery rate was not the endpoint of our study, along with the main findings of the present study, we found that 2 out of 12 patients undergoing the conservative approach needed revision surgery 11 to

13 days after the primary surgery due to persistent CSF leakage, while no patient in the transthecal group had such a need. Our work in the literature that has seldom examined anterior IDs was the first study with a parallel clinical trial design, which compared the novel transthecal approach with the traditional conservative approach for repairing anterior IDs. All our patients underwent OSS, and the diagnosis of IDs and its repair were made simultaneously as the first surgery. In our study, there were no fatal complications such as fulminant meningitis, tension pneumocephalus causing neurologic deficit, DVT, or death. However, our study had some limitations, including a small sample size. In addition, we did not examine patients for the American Society of Anesthesiologists (ASA) physical status classification system before the surgery. Although there were no clinical neurologic deficits observed in our patients, neuromonitoring during the repair and movement of rootlets in the transthecal technique can help reduce and prevent potential complications. Since anterior ID is particularly rare and has not been mentioned widely in studies, future clinical studies with a larger sample size will make accurate comparisons more possible.

## CONCLUSIONS

The occurrence of anterior IDs is rare during spine surgeries. Complete suturing of these tears is crucial to prevent postoperative complications. We realized that the transthecal approach was significantly more successful in managing CSF leakage as well as its complications and clinical outcomes. This novel approach can be a suitable alternative to conservative approach. However, further clinical trials with bigger sample sizes are needed to substantiate this claim.

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