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Int J Spine Surg 2015, 9 () doi: https://doi.org/10.14444/2050 https://www.ijssurgery.com/content/9/50

This information is current as of June 17, 2025.

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# Surgical Treatment Strategies for High-Grade Spondylolisthesis: A Systematic Review

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### Abstract

### Background

HGS is a severe deformity most commonly affecting L5-S1 vertebral segment. Treatment available for HGS includes a range of different surgical options: full or partial reduction of translation and/or abnormal alignment and in situ fusion with or without decompression. Various instrumented or non-instrumented constructs are available, and surgical approach varies from anterior/posterior to combined depending on surgeon preference and experience. The aim of this systematic review was to review the literature on lumbosacral high-grade spondylolisthesis (HGS), identify patients at risk for progression to higher-grade slip and evaluate various surgical strategies to report on complications and radiographic and clinical outcomes.

### Methods

Systematic search of PubMed, Cochrane and Google Scholar for papers relevant to HGS was performed. 19 articles were included after title, abstract, and full-text review and grouped to analyze baseline radiographic parameters and the effect of surgical approach, instrumentation, reduction and decompression on patient radiographic and clinical outcomes.

### Results

There is a lack of high-quality studies pertaining to surgical treatment for HGS, and a majority of included papers were Level III or IV based on the JBJS Levels of Evidence Criteria.

### Conclusions

Surgical treatment for HGS can vary depending on patient age. There is strong evidence of an association between increased pelvic incidence (PI) and presence of HGS and moderately strong evidence that patients with unbalanced pelvis can benefit from correction of lumbopelvic parameters with partial reduction. Surgeons need to weigh the benefits of fixing the deformity with the risks of potential complications, assessing patient satisfaction as well as their understanding of the possible complications. However, further research is necessary to make more definitive conclusions on surgical treatment guidelines for HGS.

### Level of Evidence

Π

keywords: High-grade spondylolisthesis, surgical treatment, instrumentation, Reduction, decompression, Outcome, comparative outcome, Complication Volume 9 Article 50 doi: 10.14444/2050

### Introduction

Spondylolisthesis refers to anterior or posterior displacement of adjacent vertebrae. The Wiltse classification divides spondylolisthesis based on anatomic presentation and etiology: isthmic, dysplastic, degenerative, traumatic, and pathological.<sup>1</sup> Symptoms include low back pain, radicular neuropathy, or mechanical instability, and deformity as the "listhetic posture" of hyperlordosis cephald to the LS deformity, but many patients remain asymptomatic. The incidence of spondylolisthesis in the general population is 4-8%, with isthmic being the most common.<sup>2</sup> In 1994, Bartolozzi and Marchetti developed a separate classification system that introduced "developmental spondylolisthesis," which combined dysplas-

tic and lytic etiology. This category highlights that many high-grade slips result from multiple stress fractures with a developmental etiology whereas lower grade slips commonly exhibit a degenerative etiology.<sup>3</sup> Both dysplastic and isthmic components can occur simultaneously; therefore, it is difficult to deduce true slip incidence.<sup>4</sup>

Slips greater than 50% are defined as high-grade spondylolisthesis (HGS), (see Figure 1) which accounts for 1% of spondylolisthesis patients, but constitutes a more serious pathology.<sup>5</sup> Patients who progress to HGS are frequently symptomatic with back pain, radicular symptoms from nerve root irritation and postural deformities such as compensatory lumbar hyperlordosis for focal kyphosis. Surgical intervention is generally favored over non-operative management for patients with symptomatic HGS to halt deformity progression and provide symptom relief. However, no consensus for optimal surgical modality exists. Historically, surgeries performed for HGS mimicked those utilized for low-grade spondylolisthesis such as in situ uninstrumented posterior fusions.<sup>1</sup> However, due to concerns over further progression and pseudarthrosis, particularly in adults, coupled with technological and surgical advancements, surgeons now favor instrumented fusions.

Current treatment options for HGS vary greatly from extent of reduction and/or instrumentation and interbody support use to anterior or posterior-based approaches with or without decompression. Existing literature on surgical approaches is limited to mostly



Fig. 1. Measurement of slip angle. Meyerding scale divides the lower vertebral body into quarters and a grade of I to IV assigned respectively. Taillard grading calculates the percentage of the slip from the lower vertebra.

small retrospective and uncontrolled case series, and high-quality controlled comparative series on HGS necessary for definitive conclusions are unavailable.<sup>2</sup> This systematic review attempts to compile best available recent evidence for risk for progression, surgical approach and radiographic and clinical outcomes to formulate a comprehensive review of the safest and most effective surgical options for HGS.

## Materials and Methods

### Literature Search

We performed a systematic search of PubMed, the Cochrane Library, and Google Scholar for literature published from January 2002 - December 2014. The search was limited to human studies and articles published in English using search terms "isthmic, developmental, high-grade, and severe spondylolisthesis." Inclusion and exclusion criteria are listed in Table 1. Articles were chosen by title, abstract and full article reviews. If studies were from the same hospital with overlapping patient populations, the most comprehensive paper was chosen and others were excluded unless they provided different results or insight for a certain question.

#### Data Analysis

For each clinical study data on surgical approach, instrumentation type and extent, reduction procedure, and decompression procedure were extracted and analyzed based on clinical and/or radiographic outcomes. Additionally, radiographic parameters considered in the studies and used to evaluate surgical outcomes included pelvic incidence (PI), sacral slope (SS), pelvic tilt (PT), and slip angle (Figure 2).

### Strength of Body of Literature

Each included study was rated by two independent observers for its Level of Evidence (LOE) based on the *Journal of Bone & Joint Surgery, American Volume* guidelines (Table 2).<sup>3</sup> This literature review studied 14 clinical studies—three LOE II, five LOE III and six LOE IV—and six radiographic studies—three LOE II, one LOE III and two LOE IV. No LOE I studies on HGS treatment were found. A majority of current literature consisted of retrospective case and comparative studies.

## **Clinical Recommendations and**

## **Consensus Statement**

Clinical recommendations or consensus statements were made where appropriate using the GRADE/ AHRQ<sup>4,5</sup> approach that deliberately separates the

#### Table 1. Inclusion and Exclusion Criteria

Study Compo- nent	Inclusion	Exclusion
Subjects	Patients with high-grade isthmic spondylolisthesis	<ul> <li>N&lt;10 patients</li> <li>Spondylolisthesis caused by underlying disorder</li> <li>Low-grade, degenerative or dysplatic spondylolisthesis</li> <li>Infection         <ul> <li>Tumor</li> </ul> </li> </ul>
Study Design	<ul> <li>Randomized and non- randomized controlled tri- als</li> <li>Retrospective Reviews</li> <li>Radiographic studies evaluating subject out- come</li> </ul>	<ul> <li>Cadaver studies         <ul> <li>Case reports</li> </ul> </li> <li>Meeting abstracts/ lectures         <ul> <li>Biomechanical analyses</li> </ul> </li> <li>Studies evaluating classification systems</li> <li>Studies not written in English</li> <li>&lt;2-yr follow-up (for clinical studies)         <ul> <li>Studies before 2002</li> </ul> </li> </ul>
Intervention	May or may r	not undergo operative treatment
Radiographical measures	<ul> <li>Percent slip</li> <li>Pelvic incidence</li> <li>Sacral slope</li> <li>Lordosis/kyphosis</li> <li>Level of spondylolisthesis</li> </ul>	NA
Clinical factors	<ul> <li>Complications</li> <li>Comorbidities</li> <li>Neurological status</li> </ul>	NA
Surgical fac- tors Outcomes	<ul> <li>Levels of fusion</li> <li>Instrumentation</li> <li>Decompression</li> <li>Approach</li> </ul>	NA
	<ul> <li>Reduction of slip angle</li> <li>Radiological outcome</li> <li>Functional scores</li> <li>Complications</li> </ul>	NA



Fig. 2. Left: Illustration of the spinopelvic parameters; Right: CT image of patient with HGS illustrating radiographic parameters (lumbar lordosis, pelvic tilt, sacral slope and pelvic incidence.

Table 2. Levels of Evidence for Primary Research Question.

			-	-
	Therapeutic Studies Investi- gating the Re- sults of Treat- ment	Prognostic Studies In- vestigating the Outcome of Disease	Diagnostic Studies Investi- gating a Diag- nostic Test	Economic and Deci- sion Analy- ses Develop- ing an Eco- nomic on Decisior Mode
Level I	<ol> <li>Randomized controlled trial</li> <li>Significant dif- ference</li> <li>No significant difference but narrow confi- dence intervals</li> <li>Systematic re- view<sup>2</sup> of Level-I randomized con- trolled trials (studies were ho- mogeneous)</li> </ol>	1. Prospec- tive study1 2. Systematic review <sup>2</sup> of Level-I stud- ies	1. Testing of pre- viously devel- oped diagnostic criteria in series of consecutive patients (with universally ap- plied reference "gold" standard) 2. Systematic re- view <sup>2</sup> of Level-I studies	1. Clinically sensible costs and al- ternatives; values ob- tained from many stud- ies; multi- way sensitiv- ity analyses 2. Systemat- ic review <sup>2</sup> of Level-1 stud- ies
Level II	1. Prospective cohort study <sup>3</sup> 2. Poor-quality randomized con- trolled trial (e.g., <80% follow-up) 3. Systematic re- view <sup>2</sup> a. Level-II stud- ies b. nonhomoge- neous Level-I studies	<ol> <li>Retrospec- tive study<sup>4</sup></li> <li>Study of untreated controls from a previous randomized controlled tri- al</li> <li>Systematic review of Level-II stud- ies</li> </ol>	1. Development of diagnostic cri- teria on basis of consective pa- tients (with uni- versally applied reference "gold" standard) 2. Systematic re- view <sup>2</sup> of Level-II studies	1. Clinically sensible costs and al- ternatives; values ob- tained from many stud- ies; multi- way sensitiv- ity analyses 2. Systemat- ic review2 of Level-II studies
Level III	<ol> <li>Case-control study<sup>5</sup></li> <li>Retrospective cohort study<sup>4</sup></li> <li>Systematic re- view<sup>2</sup> of Level- III studies</li> </ol>		<ol> <li>Study of non- consecutive pa- tients (no consis- tently applied reference "gold" standard)</li> <li>Systematic re- view<sup>2</sup> of Level- III studies</li> </ol>	1. Limited alternatives and costs; poor esti- mates 2. Systemat- ic review <sup>2</sup> of Level-III studies
Level IV	Case series (no, or historical, control group)	Case series	1. Case-control study 2. Poor reference standard	No sensitivi- ty analyses
Level	Evnert eninion	Expert opin-	Expert opinion	Expert opin-

3. Patients were compared with a control group of patients treated at the same time and institution.

4. The study was initiated after treatment was performed.

5. Patients with a particular outcome ("cases" with, for example, a failed total arthroplasty) were compared with those who did not have the outcome ("controls" with, for example, a total hip arthroplasty that did not fail).

quality of evidence from the strength of recommendation or consensus statement.

### Results

#### **Selection of Studies**

The search yielded 1,236 potentially relevant citations; of these, 1,057 were excluded based on title and/or abstract. Of the 179 articles that underwent abstract and methods review, 49 papers were extracted for full-text review. Among the final 20 papers which were chosen, two were large database studies, seven were radiographic correlation studies, and 11 were clinical surgical treatment studies (Figure 3). Three of the clinical studies were from the same group and used overlapping patient cohorts<sup>6-8</sup>. Therefore, the most pertinent and applicable data analyses were chosen for inclusion in the appropriate sections of this review. General charactersitics of the 19 papers are listed in Table 3.



Fig. 3. Literature review flowchart.

#### Table 3. Characteristics of included studies.

AUTHOR	Level of evidence (CP judge- ment on JBJS)	Mean FU (years)	Study Design/Type	N Cases/Grade (G) if available	Patient Factors (previous surgery, age, sex)	Type/Level of Spondylolisthesis
2002_Hanson	Level IV - therapeutic, non- comparative retrospective, case series	4.6 yrs (2-7)	Retrospective clinical	N=17 (n=15 G4; n=2 G3)	10 primary and 7 revision, pediatric and adult (9-56, 20.3 mean), 15F 2M	Isthmic, L5-S1
2002_Curylo	Level III - prognostic, retrospec- tive study with no control group	n/a	Retrospective radiographic	n=45	Avg age 22 (11-55), 37F 16M	Spondyloptosis, bony dysplasia
2002_Hanson	Level II - prognostic, retrospec- tive, with control	n/a	Retrospective radiographic	N=80 (20 HG, 20 LG, 20 adult control, 20 pe- diatric control)	Mean age: HG (17.7, 10-50), LG (26.6, 15-51), adult (60), ped (11.8)	Isthmic
2002_Molinari	Level III - therapeutic retrospec- tive comparative study	3.1 yrs (2-10)	Retrospective clinical	N=31 (Higher Grade tended to get reduction and internal stabilization)	31 primary, 6 revision, adolescent (13.5, 9-20)	Isthmic and dysplastic
2003_Huang	Level II - prognostic, retrospec- tive study, with control	n/a (preop x-rays on- ly)	Retrospective radiographic	N= 36 (n=22 HG, n=14 LG)	Adolescents and adult: Avg 21.3 yrs (12-53), 24 women, 12 men, HG age 25.3, LG age 15.4	Isthmic only, L5-S1 only
2005_DeWald	Level III - prognostic, retrospec- tive, with no control	6.6 yrs (1-14)	Retrospective review and literature re- view	N=21	5 revisions, Avg age 35 yrs (21-68), 13F 8M	Developmental (dysplatic) and ac- quired (isthmic), L5-S1 and L5-L6
2005_Shufflebarger	Level IV - therapeutic, case se- ries	3.3 yrs (2-5)	Prospective clinical, single arm cohort	N=18	Avg age 14 yrs (10-16), 11 women, 7 men	Isthmic dysplastic
2005_Vialle	Level IV - prognostic case series	n/a	Radiographic analysis and clinical re- view	N=100	Avg age 13.8 yrs (8-17), 67 women, 33 men	Isthmic (with dysplastic posterior elements)
2006_Helenius	Level III - therapeutic, retro- spective comparative study	17.2 yrs (11-26)	Retrospective comparative	n=21 posterolateral n=23 anterior n=26 circumferential	Avg age 14.4 yrs, 25 women, 45 men	High-grade isthmic
2006 Poussa	Level III - therapeutic, retro-	14.8yrs	Retrospective follow-up, comparative,	N=22, n=11 reduction	Avg age 14.7 yrs (11-18) 16F 6M (split even-	Isthmic >60%, 3 exaggerated lum- bar lordosis, 1 scoliosis
2000_100354	spective comparative study	(11.6-18.7)	nonrandomized	n=11 in situ	ly between groups)	Isthmic >50%, Scoliosis n=3 due to slip, Gait problems n=3
2006_Vialle	Level IV - therapeutic, case se- ries	18 yrs	Retrospective case series; clinical and radiographic outcomes	N=40, all HG	13.5 yrs (6-18), 25F 15M	all L5-S1, isthmic and/or dysplas- tic
2007_Hresko	Level II - prognostic, retrospec- tive study	n/a	Retrospective review, radiographic database of HGIS comparison to con- trols	N=133 HG, asymptomatic controls matched by PI	17 yrs (inclusion 10-40yrs), 70%F	Developmental spondylolisthesis, L5-S1
2007_Vialle	Level II - prognostic, retrospec- tive study	n/a	Retrospective radiographic review w/ healthy control group	Total N=244 patients, N=300 control; HG n=174: G3= 98, G4= 59, G5= 17	N=244: 13.9 yrs (6-25), 158F 86M	Developmental spondylolisthesis
2008_Rodriguez- Olaverri	Level III - therapeutic, retro- spective comparative study	35 months (24-48)	Retrospective review of two treat- ments - radiographs and clinical	n=20 TLIF, n=2 Transsacral	30yrs (19-48)	Mean grade 3.6 Meyerding (3-5)

2008_Sasso	Level IV - prognostic, retrospec- tive case series	39 months (30-71)	Retrospective clinical study	n=25	29.8yrs	High-grade isthmic spondylolisthe- sis
2009_Hresko	Level IV - prognostic, retrospec- tive case series	2-yr min, mean 7.5±5.4	Retrospective review	n=26	13.1 +/-3.3 yrs. 20F 6M	High-grade spondylolisthesis
2010_Sansur	Level II - prognostic retrospec- tive study	NA	Retrospective large-scale database	n=10,242	Age >65 has higher comp rate, but not after adjusting for grade/type	Degenerative and isthmic spondy- lolisthesis
	Level III - therapeutic, retro- spective comparative study	HG 18 yrs (12-25)	Retrospective comparative study	n=41 children, n=19 HG	12 yrs (8-14) HG- 13F 6M	Isthmic spondylolisthesis, low
2011_Julaiko		HG 15 yrs (11-26)	redospective comparative study	n=41 adolescent matched controls (matched for treatment, gender, and slip severity), n=19 HG	16 yrs (13-19) HG- 13F 6M	gression
2012 Kasliwal	Level II - prognostic, retrospec-	retrospec- n/a, short-	Patragnastiva study of databasa	N=165; n=88 pediatric: n=40 G3, n=34 G4, n=14 G5	23% revisions (overall). 13.9 yrs (7-18); 61F 27M	Isthmic in n=56
2012_Kashwai	tive study	term	Readspective study of database	n=77 adult: n=53 G3, n=17 G4, n=7 G5. Isth- mic n=47	23% revisions (overall). 44.4 yrs (19-84); 44F 33M	Isthmic in n=47
2014_Wang	Level II - prognostic, prospec- tive cohort	N/A	Prospective clinical, two study arms	Isthmic n = 64	44.5 yrs (13-65); 30F 34M	Isthmic spondylolisthesis on L5

## Discussion

### Radiographic Analysis and Risk of Progression

Progression of HGS is a major clinical concern for non-surgically treated patients, given the potential for pelvic remodelling and increasing complexity of surgical treatment associated with delayed management. Further, differences arise between pediatric and adult populations, and thus demand different HGS management options.

Seven LOE II studies attempted to identify patients at risk for progression to a higher-grade slip.<sup>9-14</sup> Five studies reported a correlation between PI and slip grade,<sup>9-13</sup> all finding that PI was significantly higher in spondylolisthesis patients compared to controls (e.g. 76° vs. 48.2-53.2°, respectively).<sup>11</sup> Three studies did not find a difference by grade,<sup>9,13</sup> but one study was limited by its small population size (n=22) and sole inclusion of patients with a previous fusion.<sup>9</sup>

No specific radiographic parameters were identified as independently associated with risk of progression. There is strong evidence of correlation between PI, age and grade of spondylolisthesis<sup>26</sup> and between the magnitude of initial slip, slip angle and progression.<sup>9</sup> Wang et al. also elucidated a correlation between spondylolisthesis grade, PT, LL, and exacerbated lower back pain, as measured by the ODI.<sup>38</sup> Further, the correlation between PI and SS in adults, may explain why patients with high PI values have HGS. Despite these relationships, overall evidence suggests that PI alone is not a reliable predictor of slip progression.

Three LOE II studies and one LOE IV study addressed sacral verticality as measured by SS.12-14 Hresko et al. proposed a classification system dividing high grade spondylolisthesis cases into unbalanced and balanced pelvic groups based on the orientation, i.e. radiographic parameters, of the pelvis. Balanced pelvises had higher SS and lower pelvic tilt, whereas unbalanced pelvises incompletely compensate with lower SS and pelvic retroversion, resulting in a higher pelvic tilt (PT).<sup>12</sup> A single retrospective study looking at patients with either unbalanced retroverted or balanced pelvises reported that spondylolisthesis grade, SS, and PT all improved significantly following partial reduction and fusion with instrumentation or casting.15 A later study by the same group found that SS increases in early grades, then decreases in Grades IV and V, possibly due to loss of contact between L5 and the cranial sacral endplate.<sup>13</sup> An additional prospective radiographic study concluded a significant negative correlation between SS and severity of low back pain.<sup>38</sup> Full details on included radiographic studies are available in Table 4.

### Table 4. Summary of radiographic studies.

AUTHOR	Pelvic Incidence (°)	Sacral Slope (SS)/ Inclina- tion (SI) (°)	Slip Angle (°)	Additional radiography (findings for radiographic stud- ies)		Conclusion
2002_Curylo	Control: 48.2-53.2 Patient: 76 ± 10	NA	NA		Sacral Kyphosis (°): 56 ± 15 62% of patients had posterior element dysplasia	Progression is linked to shear stress-related to increased verticality of lumbosacral joint, predetermined by PI and sacral anatomy
2002_Han- son	Ped Control/Adult Control/LG/HG: 47.4/57/68.5/79	SI Ped Con- trol/Adult Control/LG/ HG: 52/50/48/42	Ped Control/ Adult Control/ LG/HG: -12/-15/ 9/26		Lumbar Lordosis (LL) (° Ped Control/Adult Control/LG/HG 58/58/61/58	Significant correlation between PI and Meyerding-Newman scores (p=0.03); PI may be a good predictor of progression
2003_Huang	HG: 79.6 ± 1.9	SI HG: 34.2 ± 5.1	HG: 20.3 ± 2.8 (p < 0.001)		Slip %: 79.9 ± 4.2% (p < 0.001	No difference in PI or sacral inclination between HG and LG (p=0.66, 0.33, respectively). Slip percentage
	LG: 72.9 ± 3.7	SI LG: 46.5 ± 3.8	LG: -8.5 ± 5.4 (p < 0.001)		Slip %: 29.5 ± 3.9% (p < 0.001	and slip angle are predictive of progression.
2005_Vialle	Analyzed S1 plate anterior edge, ro	for bony hook/out und convexity mee	tgrowth, condensed a lian section. Sagittal sacral angle, S1	and necrotic inclination, hypoplasia,	S1 bony hook negatively correlates with lum bosacral kyphosis severity; Reducibility is better without hook/L5 bony outgrowth	S1 index (cranial plate/caudal plate length) correlated with grade slip, lumbosacral kyphosis, and reducibili- ty of kyphosis
	Asymptomatic: 50 ± 10.7	SS Asympto- matic: $40 \pm 8$	NA		Asymptomatic: Pelvic tilt (PT) 10 ± 7.0	
2007_Hresko		SS Balanced: NA 59.9 ± 11.2			Balanced: Pelvic tilt (PT) 21.3 ± 8.2 Slip %: 68 ± 46.9% (p=0.13	HG patients have higher PT, SS and PI, but higher PI controls also have high PT and SS. HG divided into the "unbalanced" high PT/low SS and the "balanced" low PT/high SS. Balanced is more similar to asympto- matic controls
	78.9 ± 12.1	SS Unbal- anced: 40.3 ± 9.0	NA		Unbalanced: PT 36.5 ± 8. Slip %: 78 ± 23.7% (p=0.13	
2007 Vialla	Control: 54.7 ± 10.7*	SS Control: 41.9 ± 8.4*	NA		LL (°): -43.13 ± 11.2 <sup>4</sup> PT (°): 13.2 ± 6.1 <sup>4</sup> Lumbosacral angle (LSA) (°): 109.94 ± 7.2 <sup>4</sup>	PI is significantly higher in spondylolisthesis, but not clearly correlated with the grade of slipping; lum-
2007_viane	Patient: 73.1 ± 11.3*	SS Patient: 46.6 ± 12.6*	NA		LL (°): -70.22 ± 17.3 <sup>4</sup> PT (°): 26.5 ± 10.9 <sup>4</sup> LSA (°): 82.3 ± 21.2 <sup>4</sup>	bosacral kyphosis evaluated by LSA possibly the most important factor.
2014_Wang	ODI 0-22: 74.6 ± 11.6	ODI 0-22: 52.3 ± 9.4*	NA		<u>ODI 0-22</u> Spondylolisthesis grade: 62.8 ± 10.4 <sup>4</sup> LL (°): 56.5 ± 9.4 <sup>4</sup> PT (°): 22.3 ± 6.3 <sup>4</sup> TK (°): 41.2 ± 8.8 SFHD (°): 41.9 ± 10.3 <sup>4</sup> SFVD (°): 109.5 ± 15.6 <sup>4</sup> SC7D (°): 23.2 ± 34.3 <sup>4</sup>	Spondylolisthesis grade, SS, PT, SC7D, LL, SFVD, SFHD, PT/SS, SFHD/SFVD, LL/TK are significantly associated with clinical symptoms of severe isthmic spondylolisthesis; SS (-0.981, strong) and SFVD (-0.802, strong) are most significant correlations with low back pain
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ODI 23-45: 77.9 $\pm$ 12.7 ODI 23-45 44.5 $\pm$ 9.0* *p<0.0001	$eq:spondylolisthesis grade: 74.5 \pm 11.5 * LL (°): 56.5 \pm 9.4 * PT (°): 33.4 \pm 8.1 * TK (°): 37.6 \pm 7.4 $ SFHD (°): 53.5 \pm 10.8 * $ SFVD (°): 81.1 \pm 11.7 * $ $ $ $ $ $ $ $ $ $ $ $ $ $ $ $ $ $ $	
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There is good and increasing evidence in the literature that surgical treatment of HGS be based on pelvic parameters. Hresko et al. noted that increased PI with HGS is matched by specific changes in SS and PT that separates patients based on balance, with reference to an asymptomatic control group: 'balanced' HGS patients were similar to asymptomatic patients (lower PT, higher SS angles), while 'unbalanced' HGS with higher PT and lower SS were more characteristic of symptomatic patients. Hresko et al. also established a classification system for categorizing lumboscaral spondylolisthesis into low vs. high slip grade. Low slip grades are further categorized into low (<45°), normal (45-60°) or high PI  $(\geq 60^{\circ})$  and the high slip grades into balanced (high SS/low PT) or unbalanced (low SS/high PT).<sup>28</sup> These findings may be related to the complex relationships and adjustment mechanisms between pelvis and global alignment.<sup>29</sup> Lafage et al. suggest using a morphologic pelvic parameter, namely PI, and spinal parameters modifiable through surgery, such as lumbar lordosis (LL) or thoracic kyphosis (TK), to predict postoperative sagittal alignment.<sup>30</sup>

Furthermore, determining risk of progression based on radiographic parameters is complicated by the anatomical changes characteristic of HGS. S1 remodeling by dysplastic L5 and loss of L4 and S1 articulation makes border identification dificult. Vialle et al. noted that patients with low SS had a more easily reduceable spondylolisthesis<sup>14</sup> and that SS, PT, and LL measurements in HGS were compensatory for high PI.<sup>13</sup> Schuller et al found that BMI was significantly higher in a spondylolisthesis group compared to control and suggested that increased PT in HGS patients most likely results from a compensatory posterior pelvic tilt.<sup>31</sup> The association between changes of pelvic parameters, BMI, and HGS may be related to the increasing gravitational forces seen at the lumbosacral junction associated with an increasing SS, possibly making the translational and angular components of the slip more difficult to reduce.

#### Surgical Approach and Instrumentation

Four LOE III and five LOE IV studies with detailed operative descriptions<sup>7,16-23</sup> and two LOE II database studies addressing surgical approach were included.<sup>24,25</sup>Table 5 and Table 6 report the data extracted concerning surgical approaches and surgical outcomes, respectively. Surgical approaches used to treat HGS included anterior lumbar interbody fusion (ALIF), posterior/posterolateral only (PLF), transforaminal lumbar interbody fusion (TLIF) ± PLF, posterior lumbar interbody fusion (PLIF) ± PLF, and circumferential fusion. Reported outcome measures varied between authors, which include fusion status, neurologic and/or clinical deficit, patients' perception of outcomes measured with post-operative questionanaires, and complications. Three LOE III studies directly compared circumferential fusions with interbody support to PLF-only procedures.<sup>7,17,20</sup> Patients treated with circumferential fusion either had better long-term results,<sup>7,17</sup> or the techniques were similar in outcome.<sup>20</sup> One LOE III study included an anterior-only cohort<sup>7</sup> and found that anterior-only patients scored better in all SRS scores compared to PLF-only, but worse than circumferential group. This study found that overall the circumferential fusion approach resulted in significantly improved clinical, radiographic, and SRS scores post-operatively, compared to posterolateral or anterior fusions.<sup>11</sup> A LOE IV study reported no difference in clinical and radiographic outcomes and complications between PLIF and ALIF.<sup>21</sup> TLIF, ALIF, and PLIF were studied in the included articles, however none of the considered studies directly compared the three procedures.<sup>7,16,17,19,21-23</sup>

#### Table 5. Summary of Surgical Strategy

AUTHOR	Approach	Approach Fusion levels/ Instrumenta- tion Gr		Decompression	Reduction
2002_Hanson	Posterior: n=2 Ant/Post: n=15 (performed in two separate stages, usu. 5 days later)	n=2 none, n=11 L4-S1, n=1 L3-S1+ilium, n=1 L4-S1+ilium, n=1 L5-S1+ilium, n=1 T5-sacrum+ilium; Pedicle screws.	Fibular dowel graft (allograft n=11, autograft n=6)	Wide decom- pression of the L5-S1 root	n=15: Grade 4/3 Pre-Op to Grade 1/3 Post-Op n=2: no change
	n=18 Posterior; n=11 Group 1A	Group 1A=L4-sacrum No instrumentation	NA	Group 1A=No decompression	Group 1A=in situ
2002_Molinari	n=7 Group 1B	Group 1B=L4-sacrum Posteri- or instrumentation	NA	Group 1B=Pos- terior decom- pression	Group 1B=6/7 patients
	n=19 Circumferential with an- terior support (Group 2) n=16 separate anterior ap- proach, n=3 PLIF in lieu of anterior approach	Circumferential with anterior structural support; n=3 Struc- tural graft and posterior fixa- tion	Anterior structural graft	Group 2=Poste- rior decompres- sion	Group 2=19/19 patients
2005_DeWald	n=10, Ant. interbody cage/ graft n=9, Post. interbody cage/graft n=2, Ant. Pedicle screws/graft	n=1 NA, n=11 L4-S1, n=5 L5-S1, n=3 L3-S1, n=1 L4-L6 Interbody cages/strut graft; Pedicle screws	Bone on bone, fibermetal trans-sacral strut, fibular allo- graft trans-sacral strut, auto- graph cortical iliac trans-sacral strut	NA	n=16/21 (14 partial reduc- tion)
2005_Shufflebarger	Posterior lumbar interbody fu- sion	n=18 L5-S1; Harm's cage, pos- terior monosegmental com- pression instrumentation with pedicular fixation	Autogenous iliac crest graft	Gill decompres- sion	n=18 (% slip avg pre-op 77%->post-op 13%)
2006_Poussa	Anterior/ Posterolateral	L4-S1 n=9, L5-S1 n=2, transpedicular magerl/dick, an- terior L5-S1	NA	Laminectomy n=11 (all)	Yes
	Anterior/ Posterolateral with- out instrumentation	L4-S1 n=7, L5-S1 n=4, no in- strumentation, anterior L5-S1	NA	Laminectomy n=7	NO (some due to interbody grafts)
	Group 1A: Posterolateral in situ	n=4 L5-sacrum, n=16 L4-sacrum, n=1 L3-sacrum; No instrumentation	Autologous iliac crest bone graft		None
2006_Helenius	Group 1B: Ant intercorporeal fusion, transperitoneal, resect- ed ant/inf edge L5 for access if necessary	n=23 L5-S1 No instrumentation	Autologous iliac crest bone graft; wedge interbody grafts	Not performed	From patient's positioning on operating table and wedge- shaped grafts
	Group 2: Circumferential: an- terior/posterolateral, n=17 one operation, n=9 staged	n=14 L4-S1, n=12 L5-S1 No instrumentation	Autogenous bone graft		None
2006_Vialle	Same-day, staged posterior- anterior approach	Anterior plate to S1, plates through S1 to iliac wings n=40 Instrumentation in all patients		Posterior de- compression and removal of posterior arch of L5	Complete reduction in all pa- tients
2008_Rodriguez- Olaverri	Group A: Unilateral TLIF Posterior with transforaminal lumbar interbody implants	L4, L5, S1, ilium Rods, screws and two titanium mesh cages	Posterolateral: autogenous lo- cal graft + allograft	L5 nerve root, L5 arch and pars interarticu- laris decompres- sion	Partial reduction via inter- body packed titanium cage and hip extension positioning

	Group B: Transsacral Posteri- or only	Transsacral screws thru L5-S1, pedicle screws L4	Posterolateral: autogenous lo- cal graft + allograft	L5 nerve root, L5 arch and pars interarticu- laris decompres- sion	Partial reduction via hip ex- tension positioning
2008_Sasso	n=8 Posterior-only, n=17 Anterior-posterior (paramedi- an rectus-sparing mini-open retroperitoneal) same-day surgery	L4-S1 Pedicle screws	Posterior: Fibular allograft Anterior: Fibular strut graft plus femoral ring allograft	S1 Gill laminec- tomy	Reduced with patient posi- tioning, no additional at- tempts at reduction
2009_Hresko	n=21 Posterolateral, n=5 Pos- terolateral + anterior discecto- my/interbody fusion	L4-sacrum n=13 Jackson intrasacral rods, n=13 Luque box instrumenta- tion	NA	Laminectomy and nerve root decompression on case-by-case basis	Partial reduction achieved by prone positioning and lumbar lordosis. Achieved 10° reduc- tion in 10% of all patients

#### Table 6. Surgical Outcome, Complications and Clinical Recommendations Per Author.

AUTHOR	Approach/Study Groups	Radiography, fu- sion status postop	Neuro/clinical deficit	Change in clini- cal evaluation (e.g. ODI, SF-12, others)	Complications	Clinical Recommendations
2002_Hanson	Posterior: n=2 Ant/Post: n=15	Posterior: Grade 1 (n=15), grade 2 (n=1), no fusion (n=1) Anterior: Grade 1 (n=16), broken strut (n=1).	NA	Post-op ODI= 11.4, SRS= 37.3, SRS satisfaction= 14.1	1 broken strut graft (in situ anterior only), no neurological deficits, no infection	Partial reduction of high-grade isthmic spondy- lolisthesis with fibular strut grafting is a safe, ef- fective procedure. No difference between allograft and autograft
2002_Molinari	Group 1A (n=11)	Group 1A = 45% pseudarthrosis	No pourologio defigit in notionte trasted with in	Pain score: 3/10 Function score: NA Satisfaction score: 8.4/11	36% had progression	Antarian structural grafting combined with posteri
	Group 1B (n=7)	Group 1B = 29% pseudarthrosis	situ; Transient neurologic deficit (n=4/26); Failed intra-op wake-up test (n=1) that resolved immedi- ately after releasing the reduction, foot drops (n=2) and bilateral extensor hallucis longus weakness (n=1)	Pain score: 3.6/10 Function score: 12.6/15 Satisfaction score: 9.4/11	29% instrumentation failure and par- tial loss of reduction	or instrumentation and fusion is effective in achieving fusion in HGS patients. Outcomes for function, pain, and satisfaction are excellent in those patients who obtain solid fusion regardless of the surgical procedure
	Group 2: Circumferential (n=19)	Group 2 = 0% pseudarthrosis		Pain score: 2.5/10 Function score: 13.7/15 Satisfac- tion score: 9.7/11	11% implant complications and par- tial loss of reduction	
2005_DeWald	Ant. interbody cage/graft (n=10), post. interbody cage/graft (n=9), anterior pedicle screws/graft (n=2)	0% pseudarthrosis	Hypesthesias or dysesthesias on the dorsum of one or both feet (n=8); extensor hallus longus weak- ness (n=2); cauda equina syndrome (n=1)	Used an unofficial clinical evaluation format: 12 excel- lent, 7 good, 1 fair, and 1 poor clinical outcome	Instrumentation failure (n=1), Iliac vein thrombosis, pulmonary embo- lus, pancreatitis, and temporary ret- rograde ejaculation (n=1 each)	Pediatric patients can be treated successfully by non-instrumented posterior in situ fusion, but in- strumentation should be used in adults. Recom- mends use of adjunctive fixation for adults.
2005_Shufflebarger	Posterior lumbar interbody fusion	All patients achieved arthrodesis	No neurologic complications	NA	Urinary tract infections (n=3), ileus (n=2), cholelithiasis (n=1), no infec- tious or instrumentation complica- tions	Reducing slip and lumbosacral kyphosis will pro- vide ideal biomechanical environment. Structural anterior column support and posterior transpedicu- lar instrumentation provide more resistance to shear forces than posterior instrumentation alone.
2006_Helenius	Posterolateral in situ	Nonunion (n=3)Progression (n=4)	General back pain (BP) (n=9)BP radiating down leg (n=5)Neuro deficiencies/ hamstring tightness (n=14)	SRS = 89.7. ODI = 9.7: severely disabled (n=1), moderately dis- abled (n=2)VAS = 22.6	Nonunion (n=3)Re-operation (n=1)	Circumferential fusion provided significantly bet- ter long-term clinical, radiographic, and SRS total score than posterolateral or anterior fusion for HGIS

		Anterior intercorporeal fusion, trans-peritoneal	Progression (n=1)		SRS = 93.2, ODI = 8.9: moderately disabled (n=4), VAS = 24.1; scored best on SRS self-image	Post-op peroneal palsy (n=1), L5 /S1 radicular pain (n=3), spondyloptosis (n=1)	
		Circumferential	Nonunion (n=1) Progression (n=3) Least lumbosacral kyphosis progres- sion		SRS = 100, ODI = 3.0, VAS = 5.5. Scored best on SRS pain and function	Nonunion (n=1), wound infection (n=1), scoliosis and gait difficulties (n=1)	
		Reduction (n=11)	18% pseudarthro- sis (n=2)	L5 nerve root injury during decompression (n=1)	ODI = 7.2, SRS = 90	Mild muscle atrophy: iliopsoas (n=7), back L3 (n=4)/L5 (n=6); Se- vere atrophy: back L5 (n=3)	Eurism in situ should be considered as a method of
	2006_Poussa	In situ (n=11)	All fusions healed appropriately	Immediate post-op peroneal palsy 18% (n=2)	ODI = 1.6, SRS = 103.9, better SRS pain and post-op function	Mild muscle atrophy: iliopsoas (n=2), back L3 (n=2)/L5 (n=4) Se- vere atrophy: iliopsoas (n=1)	choice in severe L5 isthmic spondylolisthesis
	2006_Vialle	Same-day, staged posterior-anterior approach	Fusion achieved in all patients	BP (n=12), BP/bilateral leg pain (n=11), BP/unilat- eral leg pain (n=9), radicular (n=6), neuro compli- cations (n=12): L5 incomplete deficit (n=5), unilat- eral L incomplete deficit (n=6), unilateral L5 hy- poesthesia (n=1); 10/12 recovered neurologically within 18months.	Beaujon function- al score: Pre-op mean 14.2 (5-20); Post-op 20+ in n=35; 15-19 in n=5 from BP/leg pain. JOA Pre-op mean 10 (2-15); Post-op 15 in n=35; 12-14 for n=5.	Intra-op complications: iliac vein le- sion and technical difficulty with plate (n=2). Implant complications: Kyphosis due to plate hitting L4-5 (n=2), bro- ken screws (n=2), late infections (n=5)	This technique proved to provide an excellent fu- sion rate and satisfactory final functional out- comes, but due to the unusually high rate of late infections that may be related to the surgical ap- proach, we do not recommend this technique for HGIS treatment.
2008_Rodriguez- Olaverri	2008_Rodriguez-	Group A: Unilateral TLIF Posterior with transforaminal lumbar inter- body implants	100% fusion	Back/leg pain resolved in 90%, no neurologic deficits	SRS pre-op: pain 4.8, self-image 3.8, fxn 4.8; SRS pos-opt: pain 4.6, self- image 3.6, fxn 4.5	Durotomy (n=7), infections (n=3)	Both procedure A and B appear to be safe and effective surgically and radi- ographically, but we must note that the average
	Olaverri	Group B: Transsacral Posterior on- ly	Solid fusion in 19/ 20 subjects	Back/leg pain resolved in 80%, no neurologic deficits	SRS pre-op: pain 4.7, self-image 4.1, fxn 4.7; SRS post-op: pain 4.3, self- image 4.4, fxn 4.3	Durotomy (n=1), pseudarthrosis (n=1), implant failure (n=1)	operation time was longer in procedure A (4.45 hrs) than in procedure B (3.25 hrs)
	2008_Sasso	Posterior-only (n=8), Anterior- posterior same-day surgery (n=17)	100% fusion, no increase in slip grade or angle.	No permanent neurologic deficits or deterioration; transient radiculitis 1-month post-op (n=1)	SRS: Extremely or somewhat satis- fied (n=24). Pain: 8.2 pre-op, 3.4 post-op	Hardware removal due to promi- nence after fusion achieved (n=1); equivalent EBL	This technique offers excellent fusion results, good clinical outcomes, and prevents further sagit- tal translation and lumbosacral kyphosis progres- sion.

2009_Hresko	Posterolateral (n=21), Posterolater- al + anterior discectomy/interbody fusion (n=5)	Grade A (definite solid fusion) (n=22); Grade B (probable solid fu- sion) (n=4)	NA	NA	Re-operation (n=5), loss of L4-L5 motor strength (n=1), removal prominence (n=1), revision due to instrument failure (n=3), transient neurapraxia (n=6), permanent unilat- eral L5 weakness (n=1)	No correlation between improvement in pelvic version and amount of reduction. Other factors, such as achievement of solid arthrodesis, may be more important than reduction of spondylolisthesis in determining spinopelvic sagittal balance.	
2010_Sansur	N=10,242; No fusion/ decompres- sion (n=532), combined ant/post (n=893), ant only (n=286), post fu- sion w/o instrument (n=491), post fusion w/ instrument (n=4117), TLIF/PLIF (n=3860)	NA	The rate of neurological complications was highest in the decompression/no fusion group, but it was not significantly different from other groups (p=0.10)	NA	9.2% complications rate Most common complications = dural tear (2%), infection (2%), neurolog- ic (1.2%), implant-related (0.7%)	Grade level and age but not surgical approach and history of previous surgery significantly correlated with increased complication rates.	
2011_Jalanko 2012_Kasliwal	HGS in children (<12.5 years old for females; <14.5 years old for males)	Non-unions but no effect on long- term outcome (n=5)	Posture/gait abnormality (n=10), SRA positive (n=14), scoliosis (n=8)	At final follow-up: SRS-24=92, ODI=4.5%, VAS=9mm	Revision due to nonunion (n=3), Transient L5 paresis (n=1)	Recommend circumferential in situ fusion for high-grade slips; spinal fusion can be carried out at an early age for HGS with good long-term clinical	
	HGS in adolescents	Non-unions but no effect on long- term outcome (n=3)	Posture/gait abnormality (n=1), SRA positive (n=5), scoliosis (n=4)	At final follow-up: SRS-24=94, ODI=8.7%, VAS=25.1mm	Revision due to nonunion (n=1), S1 root decompression (n=2), wound infection (n-1)	functional, radiographic and health-related qualit	
	Pediatric (≤18 years old)	NA	Nerve root (n=9), cauda equina (n=2), lumbar nerve palsy (n=2), peroneal n. palsy (n=2)	NA	24% of total patients had a compli- cation	Osteotomy was the only surgical predictor of neu- rologic deficit; new neurologic deficit post-op did	
	Adults (>18 years old)	NA	Nerve root (n=8), cauda equina (n=0), lumbar nerve. palsy (n=1), peroneal nerve. palsy (n=0)	NA	26% of total patients had a compli- cation	not correlate to decompression, reduction or revi- sion surgery	

Although no definitive recommendations can be established, literature suggests that circumferential fusion with interbody support has lower incidence of pseudarthrosis and possibly better chance of longterm clinical success compared to those treated with posterior only techniques in the absense of interbody support. Despite this finding, relative to additional interbody support from a posterior-based approach (TLIF and PLIF), combined anterior/posterior approaches are associated with longer hospital stays and increased blood loss and surgical time,<sup>32,33</sup> which can be associated with greater risk of complications.<sup>34</sup> DeWald et al. reviewed adult HGS patients and recommended in situ posterior fusion with instrumentation from L4 to S1 with consideration for partial reduction and vertebrectomy. Helenius et al. retrospectively studied the pediatric population undergoing posterolateral, anterior and circumferential fusion in situ without instrumentation and found that the circumferential group had the best health-relatedquality-of-life scores and lowest percentage of lumbosacral kyphosis progression. No similar adult studies were found. Nonetheless, well-designed prospective studies that directly compare different fusion modalities are necessary to provide better evidence on comparative treatment effectiveness.

Surgical management of HGS involves various techniques such as instrumentations, reductions, and decompressions. Instrumentation plays a more significant role in adults than children as uninstrumented *in situ* circumferential fusion is considered more viable in treating pediatric HGS.<sup>6,8,17</sup> With regards to the extent of construct, instrumentation to the L4-5 level provides better stability and more vertical fusion as opposed to the more horizontal fusion that occurs with L5-sacrum fusion.<sup>35</sup> Some surgeons extend instrumentation to the ilium due to high failure rates at the lumbosacral juction with long posterior instrumentation ending at S1,<sup>36</sup> but its value for HGS patients remains questionable.<sup>16,20</sup>

Innovative technology such as the Luque box and the Jackson intrasacral rod have shown improved fusion and clinical outcomes but have also resulted in higher complication rates attributed to the instrumentation itself.<sup>22</sup> Although technological advancement is paramount to providing patients with the best possible

care, the importance of properly evaluating novel spinal instrumentation is equally critical prior to routine clinical acceptance. Two LOE III studies discussed uninstrumented fusion in children.<sup>8,17</sup> Poussa et al. showed that compared to those treated with reduction and instrumented fusion with transpedicular fixation, adolescents who were fused in situ circumferentially without instrumentation had better ODI and SRS scores despite having evidence of asymptomatic nerve root impingement on MRI and no reduction of their slip grade.8 Molinari et al. attempted uninstrumented in situ PLF in 11 pediatric patients and reported a 45% pseudarthrosis rate  $(n=5)^{17}$  with pain and increased deformity requiring reoperations. These patients underwent circumferential fusions with instrumentation and ultimately achieved solid fusion.

Various pedicle screw constructs, extending from L3, L4, or L5 to S1 with or without iliac fixation, and transsacral screws were used for HGS procedures. The most common instrumentation for adults were L4-S1 pedicle screws.<sup>8,16,20,21,23</sup> For children, reduced circumferential monosegmental L5-S1 instrumentation and circumferential in situ fusion from L4/L5 to S1 without instrumentation yielded the best results with no neurological complications and improvement of slip angle.<sup>18</sup> One LOE III study found similar complication rates and surgical outcomes in unilateral TLIF's and transvertebral screw fixations of the lumbosacral spine.<sup>20</sup> Two LOE IV studies reported a subcohort receiving instrumentation to the ilium without report on results,16 and one study, without reference to evidence, recommended extension to the ilium in adult patients, particularly for revisions and unstable spondylolisthesis.23

A LOE IV study investigating two constructs, a Luque box and a Jackson intrasacral rod extending from L4 to sacrum, reported that all patients achieved solid fusion with an overall 19% reoperation rate and a 23% incidence of transient neurological deficits.<sup>22</sup> One LOE IV study investigating the "double-plate" technique found that it caused implant-related complications in 22% of patients, late infections in 12%, and postoperative neurological complications in 30%, despite achieving optimal deformity reduction and high fusion rates.<sup>19</sup>

Nine clinical studies reported on decompression but none directly-examined it as an independent variable. Gill decompression, involving the removal of L5 lamina and fibrocartilaginous tissue at the pars, was most commonly performed. Poussa et al. reported better outcomes in reduction with decompression than in *in situ* fusion with no decompression (decompression is not concurrently performed with *in situ* fusions).<sup>8</sup> This difference could not be attributed to decompression alone, given the group disparity and the multiple factors being compared.

#### Reduction of Slip Angle/Grade

Two LOE III studies compared reduced and nonreduced cohorts.<sup>8,17</sup> Poussa et al. found that the adolescent in situ group had better ODI and SRS scores than the reduced cohort.8 Further, this group found that patients treated with partial reduction had better clinical outcomes-mean ODI was 7.2 in partial reduction vs. 1.6 in *in situ* group and SRS score 90.0 vs. 103.9.12 Molinari et al. revealed increased pseudarthrosis and deformity progression in in situ PLF group compared to the reduced PLF group (45% vs. 29%, 36% vs. 29%, respectively).<sup>17</sup> Dewald et al. was the only study addressing in situ fusion and reduction in adults, but there was not enough evidence to reach definitive conclusions.<sup>23</sup> One LOE III and one LOE IV study reported cases of attempts to reduce slip angle by operative positioning without attempting to change slip grade.<sup>20,21</sup> Both studies reported slip angle improvements of 10-15° without significant slip grade change, safe and effective surgical results with stable arthrodesis rate, no long-term neurologic deficits, and improvements in gait disturbance.<sup>20,21</sup> No studies directly compared partial and complete reduction. Three LOE III and two LOE IV studies reported on partial slip reduction techniques, either via temporary distraction or direction reduction.<sup>8,16-18,23</sup> Two studies incorporated decompression and partial reduction techniques and highlighted that in situ may be associated with improved outcomes in children and adolescents but only with anterior support. This observation is due to concerns about increased incidence of pseudarthrosis and deformity progression without anterior support.<sup>8,17</sup> One LOE IV study attempted complete reduction in all patients and achieved full reduction in 95% of patients.<sup>19</sup> However, they reported poor outcomes

overall and a high incidence of neurological and infectious complications. Another study reported complete reduction only in two patients who had abnormally mobile olistheses, but no separate sub-cohort results were reported.<sup>23</sup>

The extent of reduction and its clinical relevancy and safety are controversial, as reports of associated neurologic complication rates in the literature are mixed. Molinari et al. reported positive outcomes in a circumferential partial reduction cohort, but results were not significantly different from the in situ cohort, implying that reduction was not the most important contributor to positive outcome.17< Poussa et al. stated that in situ circumferential fusion is preferable for pediatric patients over reduction. However, partial reduction allows sagittal malalignment correction and would theoretically create a more favorable environment for fusion by increasing contact surface area between two vertebrae and thus increasing osteogenic, osteoinductive and osteoconductive effects. Studies indicate successful clinical outcome with slip angle reduction without an increased risk for neurological complications, but definitive comparative data on slip angle reduction without surgical reduction is lacking.19,20

#### Post-Operative Management

Only one of the twenty studies reported on post operative management of in situ fusions. <sup>17</sup> Patients were immobilized for 4 to 7 months in a hyperextension cast incorporating both thighs. In comparison, patients treated with instrumentation had 4 months of immobilization after surgery that consisted of bed rest. In addition to bed rest, some patients also wore a cast or a brace.

## **Conclusion/Limitations**

This systematic review presents potential risk factors for slip progression and radiographic and clinical outcomes based on different types of surgical procedure. There is strong evidence that treatment of HGS should be influenced based on pelvic parameters, i.e. sacral slope and pelvit tilt that determine pelvic balance. Unfortunately, prospective, randomized and controlled studies providing a more reliable conclusion on surgical treatment strategies for HGS have

not been conducted to date. Surgeons must weigh fixing the deformity with avoiding complications, assessing patient satisfaction and patients' understanding of possible complications.

#### **Evidence Summary**

Overall strength of effectiveness of various surgical procedures for HGS is "low," meaning there is low confidence that the evidence reflects true effect and further research is likely to change the confidence in the estimate of effect.37 The overall strength of appropriateness of reduction and instrumentation in addition to fusion for HGS is "low," but "insufficient" for decompression, meaning that evidence is either unavailable or does not permit a conclusion. The overall strength of correlation between higher PI and progression is "high." For adults, this review recommends that instrumented circumferential fusion provides the most promising clinical and radiographic outcome compared to anterior-only fusion and PLF in adults. For children and adolescents, this review recommends in situ fusion along with thorough long-term follow-up to check for deformity and quality of life. Full details are available in Table 7.

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#### Table 7. Strength of Evidence.

Baseline strength: HIGH=majority of articles Level I/II LOW=majority of articles Level III/IV								
Upgrade: Large magnitude of effect and/or dose response gradient								
Downgrade: Inconsistency of results, indirectness of evidence, imprecision of effect estimates								
	Strength of evi- dence	Conclusion/Comments	Baseline	Upgrade	Downgrade			
Question 1: What	t is the effectiv	veness of the various surgical approaches for HGS?		1	L			
Clinical outcome (Pain, function, etc.)	Low	Three LOE III and one LOE IV retrospective cohort studies reported good outcomes for function, pain, and satisfaction in patients with solid fusion regardless of the surgical procedure, but circum-ferential fusion provided the best clinical outcome results.	LOW	NO	NO			
Radiographic outcome	Radiographic outcome       Low       One LOE III and two LOE IV retrospective cohort studies reported no difference in fusion rate, but two Level III Evidence and one Level IV Evidence studies reported significantly higher pseudarthrosis rate in posterior-only procedures							
Question 2: What	t is the effectiv	veness of additional surgical maneuvers for HGS?						
Reduction	Low Low Low Low Low <i>in reduction of slip angle and slip grade. One LOE IV study reported full reduction in 95% of pa-</i> tients undergoing complete reduction but had high complication rate. For children and adolescents, <i>in situ</i> fusion with long-term follow-up is recommended.		LOW	NO	NO			
Instrumentation	Low	Low No studies used instrumentation as the sole primary variable. Most studies included instrumentation with varying surgical approaches or other additional surgical maneuvers such as reduction. Use of instrumentation is dependent on surgical approach and surgeon preference. Luque box, Jackson intrasacral rod and double-plate technique are not recommended.		NO	NO			
Decompression	Insufficient	There is no study directly examining decompression as an independent variable. Three Level III Ev- idence studies performing <i>in situ</i> fusion without decompression reported good clinical and radi- ographic outcome. Higher-level studies reporting on decompression are necessary for a definitive conclusion.	LOW	NO	NO			
Question 3: Wha	t is the compa	rative safety of the various surgical approaches for HGS?						
Circumferential vs. Posterior- only vs. Anterior-only		Three Level III Evidence and one Level IV Evidence retrospective cohort studies report no long- term difference in complications. Operation time and hospital stay was slightly higher in circumfer- ential procedures. One Level IV Evidence retrospective study reported higher operative blood loss in PLIF compared to ALIF, but outcomes were similar.	LOW	NO	NO			
Question 4: Who is at risk for progression to higher grade spondylolisthesis?								
Pelvic Incidence	HIGH	Two Level II Evidence studies indicated no correlation between higher PI and progression.	HIGH	NO	NO			
Sacral slope	HIGH	Two Level II Evidence studies indicated no correlation between higher SS and progression. They reported that higher PI controls have high PT and SS and that SS may be predictive of reducibility.	HIGH	NO	NO			
Age and grade of spondylolis- thesis	Age and grade of spondylolis- thesis Difference and grade of spondylolisthesis but not of progression.		HIGH	NO	NO			

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## Disclosures

Virginie LaFage owns stock in Nemaris Inc and is on its Board of Directors; has speaking and/or teaching arrangements with MSD, DePuy, and Medicrea; and has received grants from DePuy, ISSG, SRS, and the NIH. The other authors report no disclosures.

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