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# Early Fusion Rates After Direct Lateral Lumbar Interbody Fusion With Bone-Morphogenetic Protein

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## ABSTRACT

**Background:** Direct lateral (transposas) lumbar interbody fusion (LLIF) reportedly achieves union by 1 year postoperatively, but how soon fusion occurs after these minimally invasive procedures is unclear. This study investigated LLIF fusion progression at 6 months and 1 year in a large-scale cohort using bone morphogenetic protein (BMP) graft and examined risk factors associated with failed fusion.

**Methods:** Patients undergoing primary LLIF with a single surgical team from 2015 through 2016 with polyetheretherketone (PEEK) implants and BMP graft were identified. Retrospective chart review included demographics and medical history, construct length and location, and concurrent L5-S1 fusion. Inclusion criteria included minimum 1-year follow-up and postoperative lumbar computed tomography at 6 months and 1 year, which was independently assessed for bony union at each level.

**Results:** 166 patients underwent LLIF at a total of 312 levels. Seventy-nine patients (48%) underwent 1-level fusion; 45 (27%), 2 levels; and 42 (25%), 3 or more levels. At 6 months, 160 (51%) levels showed fusion. At 1 year, 70% of the remainder were fused, and total fusion rate was 85%. Fusion rates from L1 through L4 were similar (84%–87%). Nonunion was not significantly associated with construct length ( $P = .19$ ), concurrent anterior L5-S1 interbody fusion ( $P = .50$ ), age ( $P = .70$ ), BMI ( $P = .15$ ), or comorbidities such as diabetes ( $P = .86$ ) or thyroid disease ( $P = .46$ ).

**Conclusions:** This large retrospective cohort study corroborates prior 1-year LLIF fusion rate reports (85%) independent of construct length or location or medical comorbidities. Significantly, half showed fusion by 6 months, earlier than previously described and validating the efficacy of LLIF.

**Level of Evidence:** 5.

**Clinical Relevance:** This study presents a large cohort of patients to support effective lumbar fusion after LLIF with BMP-2.

Lumbar Spine

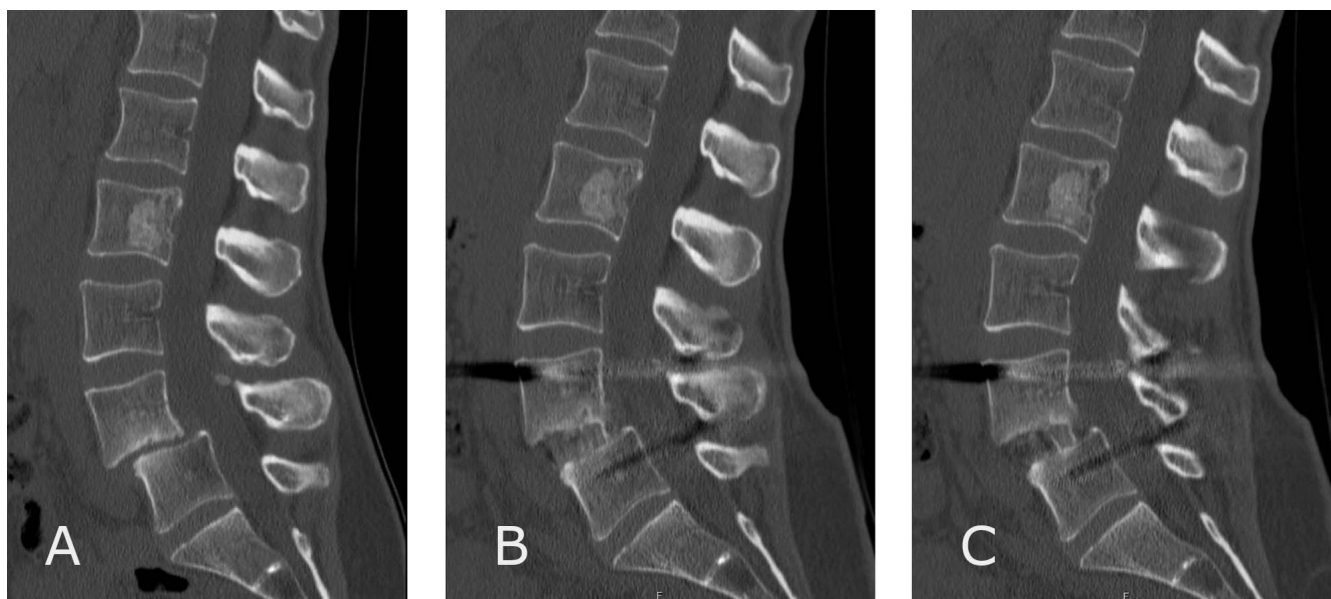
Keywords: lumbar interbody fusion, direct lateral lumbar fusion, BMP-2, minimally invasive

## INTRODUCTION

Direct lateral (transposas) lumbar interbody fusion (LLIF) is a minimally invasive surgical approach used to achieve spinal fusion in the lower thoracic and lumbar spine. First described in 2006, LLIF permits an extensive discectomy enabling a large surface area for intervertebral graft positioning while avoiding the great vessels that would be at risk during a traditional anterior lumbar interbody fusion (ALIF).<sup>1</sup> Compared with posterior-based interbody fusion approaches, LLIF allows for insertion of a larger interbody cage.<sup>2</sup> The larger cage geometry offers several advantages that may promote fusion: a larger surface area decreases the contact stresses at the implant-bone interface thereby lowering the risk of implant subsidence or

migration, and a larger volume allows for greater amount of graft materials to be utilized.

Early studies of LLIF outcomes have suggested promising fusion rates.<sup>3–5</sup> Initially, the approach was reserved for isolated single- or double-level fusions in select patients.<sup>4</sup> As with any promising technique, however, its usage has rapidly expanded in the years since, especially as its utility in minimally invasive deformity correction is being recognized.<sup>6,7</sup> As the scope of and indications for LLIF continue to grow, our current understanding of how effectively these more expansive surgeries achieve interbody fusion is yet to keep pace. This understanding is a critical foundation to direct postoperative rehabilitation guidelines, and one that has thus far depended on specific milestones reported in the literature, most commonly at 1 year.



**Figure.** A 47-year-old female patient who presented with spondylolysis of L4 on L5 in setting of bilateral pars defects (A) and underwent single-level lateral lumbar interbody fusion at L4-5. Six-month postoperative computed tomography scan showed continuous bony union across the interbody cage (B, C).

Clinically, however, it is recognized that fusion may occur sooner, although how soon it is achieved and at what frequency is yet unknown. This study sought to investigate the course of lumbar fusion after LLIF by (1) measuring fusion rates at 6 months and 12 months postoperatively in a large-scale cohort and (2) assessing potential causes of pseudoarthrosis, including LLIF construct length.

## METHODS

In this study, which was approved by the Institutional Review Board at the authors' institution, where all investigations were performed, a retrospective chart review was performed of all patients undergoing LLIF procedures by a single, fellowship-trained attending surgeon at the authors' institution during 2015–2016. Information collected from the chart review included patient demographics, medical history, construct length and location, and selected implants and grafts. Inclusion criteria included primary LLIF procedures with minimum 1-year follow-up and dedicated lumbar computed tomography (CT) imaging, as detailed below. Exclusion criteria included revision procedures, less than 1 year of follow-up, and lack of the specified CT imaging within the described parameters below.

All patients underwent direct lateral interbody fusion with polyetheretherketone (PEEK) implants (CoRoent, NuVasive Inc, San Diego, California), and posterior instrumentation (Vertebral body

endplates at each level were carefully prepared using curettes and pituitary rongeurs to remove the overlying cartilage, with care to avoid compromising the subchondral bone. Each interbody cage was manually packed with bone morphogenetic protein-2 (BMP-2) in collagen allograft (Infuse, Medtronic, Dublin, Ireland), with an average of 2.8 mL of allograft and 2.1 mg of BMP-2 per level. Where patients also underwent a concurrent ALIF at the L5-S1 level, also using BMP-2 (Infuse, Medtronic, Dublin, Ireland) and PEEK implants (Brigade, NuVasive Inc), this was noted and included in post-hoc analyses but excluded from the LLIF-specific results and analyses. Interbody fusion was further reinforced by minimally invasive, percutaneously placed posterior instrumentation, performed either concurrently during the same procedure or in a staged fashion during the same admission for select cases (Reline/Precept, NuVasive Inc).

At 6 months and 1 year postoperatively, all patients underwent dedicated lumbar CT scans to evaluate progression of interbody fusion. Bony fusion was defined as a discrete, continuous bone bridge between adjacent endplates without lucency (Figure). All CT images were reviewed by a trained resident physician independent of the surgical team. Patients who did not undergo lumbar CT within these specified time points, or those whose imaging was incomplete (eg without coronal/sagittal refo-

**Table 1.** Patient demographics, medical history, and surgical details. Age, body mass index, thyroid disease, and diabetes mellitus were not associated with increased risk of radiographic nonunion at 1 year. Number of operated levels and presence of concurrent L5-S1 anterior lumbar interbody fusion also did not affect nonunion rates.

	Overall	Patients with Complete Bony Union at 1 y	Patients with Radiographic Nonunion at 1 y	<i>P</i>
Average age, y	65	64	65	.70
Sex	76 M 90 F	59 M 72 F	17 M 18 F	...
Average BMI, %	30.6	30.8	29.5	.15
DM	35	28	7	.86
Thyroid disease	18	13	5	.46
Average no. of operated levels	1.9	1.8	2.2	.19
L5-S1 ALIF (total patients)	103 (166)	83 (131)	20 (35)	.50

Abbreviations: ALIF, anterior lumbar interbody fusion; BMI, body mass index; DM, diabetes mellitus; F, female; M, male.

matting to adequately determine fusion), were excluded from the study and statistical analysis.

### Statistical Methods

Fusion rates were calculated and analyzed with  $\chi^2$  testing and analysis of variance. Subgroup analyses were then performed across each LLIF interbody level, L1-L2 to L4-L5, and also among varying construct lengths. Statistical significance was set at  $P < .05$ .

## RESULTS

A total of 166 patients, including 312 interbody levels, were reviewed. Average age was 65 years (31–86 years; Table 1). Average body mass index (BMI) was 39.6% (range: 19.4%–49.0%). An average of 1.90 direct lateral levels were fused concurrently in each case. Seventy-nine patients (48%) underwent 1-level LLIF fusion; 45 (27%) underwent 2 levels; and 42 (25%) underwent 3 or more levels (Table 2). Of note, 1 patient underwent 6 concurrent LLIF fusions for degenerative scoliosis with multilevel spondylolisthesis and foraminal stenosis. These interbodies went on to fusion at the 1-year follow-up.

At 6 months, 160 (51%) levels showed bony union at the fused interbody. Of the remainder, 70% were fused at 1 year. At 1 year, the total fusion

rate of this cohort was 85%. Forty-six (15%) interbodies did not show bony fusion by 1 year. Fusion rates from L1 to L4 were similar (84%–87%,  $P = .97$ ; Table 3). The fusion rates in 1- and 2-level LLIF cases were the same (82%) at 1 year. Cases involving 3 or more concurrent levels had a lower fusion rate at 69%, but there was no statistically significant relationship between the number of concurrent LLIF levels operated upon and nonunion rate ( $P = .19$ ; Table 2). Patient demographics did not differ significantly between those who achieved full fusion at 1 year and those who did not: nonunion was not significantly associated with age ( $P = .70$ ), BMI ( $P = .15$ ), or medical comorbidities such as diabetes ( $P = .86$ ) or presence of thyroid disease ( $P = .46$ , Table 1). Lastly, 103 patients (62%) also underwent concurrent ALIF at L5-S1, and this also did not have a significant effect on the overall fusion rate ( $P = .50$ , Table 1).

Complications requiring reoperation within the first year were rare. Two patients developed soft tissue infections that required irrigation and debridement within the first postoperative year. One patient underwent a partial removal of hardware for a painful screw, and 2 patients developed subsidence at their L5-S1 interbody from the ALIF procedure that led to posterior decompression procedures. Among the LLIF interbody cages placed, one patient developed symptomatic subsidence of the interbody cage and underwent spacer placement.

**Table 2.** Lateral lumbar interbody fusion rates at 1 year by number of concurrent levels. Nonunion rates in patients undergoing multiple levels did not differ significantly from those who underwent 1- or 2-level procedures ( $P = .19$ ).

No. of Levels Operated	No. of Patients	Complete Bony Fusion at 1 y (All Levels)	No Bony Fusion at 1 y (Any Level)	1-y Fusion Rate ( $P = .19$ )
1	79	65	14	82%
2	45	37	8	82%
≥ 3	42	29	13	69%

**Table 3.** Lateral lumbar interbody fusion rates at 1-year were similar across various lumbar levels ( $P = .97$ ).

Level	Total No. of Levels	Bony Fusion at 1 y	No Bony Fusion at 1 y	1-y Fusion Rate
L1-2	25	21	4	84%
L2-3	55	47	8	85%
L3-4	90	78	12	87%
L4-5	142	120	22	84%



## DISCUSSION

LLIF has been described as a minimally invasive approach to address degenerative lumbar disease. As the technique has matured, excellent fusion rates at various time points have been reported in several single-institution cohorts. Fusion rates from 85% to 97% have been reported at 1 year.<sup>3–5,8</sup> Initial studies, usually having a smaller cohort, were mainly 1-level or 2-level procedures. With the refinement and growing adaptation of the technique, larger studies have emerged. Recently, Nourian et al<sup>9</sup> have described at average of 20 months postoperatively 92% fusion rate in 93 patients and 115 levels, which hitherto was the largest previous study of LLIF fusion.

The findings in this study corroborate the prior fusion rates reported. While a strict, conservative definition of radiographic bony union was intentionally selected, the 85% overall 1-year fusion rate in this large-scale cohort lends strength to the efficacy of the direct lateral technique in achieving lumbar fusion. The 6-month fusion data also provide the strongest evidence thus far that LLIF patients may be radiographically healed much earlier than the 1-year milestone that is most commonly cited. This was first suggested by Malham et al,<sup>4</sup> who in their series of 26 patients with 43 LLIF levels described 46% fusion at 6 months. In this investigation, slightly more than half of all levels operated on (51%) were fused at 6 months. For the surgeon looking to either guide patient expectations preoperatively or their recovery postoperatively, these data provide an important reference point.

No statistical difference in fusion rates was detected between patients who received 1- or 2-level fusions and those who underwent additional concurrent levels. Nevertheless, 1-level and 2-level fusions appeared to have a nominally higher fusion rate at 1 year than those involving 3 or more levels (Table 2). As the present study was limited to 1-year postoperative imaging, it is unclear this finding is reflective of a true difference or if it is more reflective of longer recovery times associated with additional levels. With multiple additional interbody fusions, it is conceivable that one level may not easily fuse until the adjacent and surrounding levels achieve fusion first to eliminate any micromotion. Further long-term follow up data on these multi-level LLIF patients will likely continue to shed light on their recovery.

Current understandings of LLIF fusion must take into consideration the variety of graft options available to supplement fusion, which add an additional variable to the current literature. Berjano et al<sup>3</sup> mixed autologous bone graft with either calcium triphosphate scaffold or ceramic synthetic graft (Attrax), and Rodgers et al<sup>5</sup> used local vertebral body autograft, demineralized bone matrix, cancellous autograft, and iliac crest bone marrow aspirate. Iliac crest autograft was historically the most commonly used graft for interbody fusion and remains the gold standard. However, the donor-site morbidity associated with iliac crest harvest and the added procedural time are not trivial. As a result, many surgeons have transitioned to biologics to supplement and enhance fusion. For patients in this cohort, BMP-2 was chosen as the graft material of choice. Originally described for ALIF in the lumbar spine, studies have since reported use of BMP-2 through posterior, posterolateral, and transforaminal approaches with superior interbody fusion rates.<sup>10–13</sup> In addition to its inherent osteoinductive properties, BMP-2 usage has been shown to reduce operative times and reoperation rates compared with traditional autografts.<sup>14</sup>

There is a paucity of literature on how BMP-2 affects lumbar fusion after LLIF. BMP-2 usage in the LLIF approach was first described by Malham et al,<sup>4</sup> later by Marchi et al<sup>8</sup> in 2012 and Nouri et al<sup>15</sup> in 2016, with excellent fusion rates in 1-2-level interbody fusions.<sup>4,8,11,15</sup> In this large-scale cohort with a significant number of multilevel (3+) fusions, the overall fusion rate is comparable to those reported by the prior studies, and it is also comparable to fusion rates with BMP-2 in the posterior lumbar interbody fusion (PLIF) and transforaminal lumbar interbody fusion (TLIF) literature (83%–100%).<sup>4,8,15–17</sup> Some have reported complications after BMP-2 usage in other techniques; these complications include antibody formation, postoperative radiculitis, osteolysis or subsidence, and seroma formation.<sup>18</sup> This study focused on radiographic outcomes and reoperation rates, and in this cohort the use of BMP-2 to promote lumbar fusion appeared safe and efficacious. The 2 soft tissue infections in this cohort did not involve seroma or clear relationship to the BMP-2 graft. Only 1 case of LLIF-specific subsidence was noted, and only 2 cases of subsidence at adjacent L5-S1 ALIF were observed. This is far

below the subsidence rates that have been reported across other interbody fusion approaches.<sup>10,19</sup>

One potentially deleterious outcome of subsidence or cage migration after posterior lumbar interbody fusion or transforaminal lumbar interbody fusion that may require reoperation has been migration of the cage into the spinal canal or neuroforamina.<sup>20</sup> This was not seen in this cohort, and from a technical perspective, the direct lateral approach may offer some protection, as the anterior and posterior longitudinal ligaments are left intact and the neuroforamina are not directly compromised. Similarly, a concern of BMP-2 application through a transforaminal approach is that ectopic bone may then form to secondarily compromise the foramina. This is less of a risk through a direct lateral approach, as the graft is never directly applied near the foramina or other neural structures. No significant complications related to ectopic bone formation was noted in this study. As studies continue into the growing application of BMP-2 in lumbar surgery, these hypotheses warrant further testing and exploration.

A criticism of BMP-2, and biologics in general, has been of added cost, especially for index procedures. The same critique of cost, however, may be applied to symptomatic failed unions that require readmission or even revision, which often involves removal of hardware and/or extension of fusion beyond the original levels. Indeed, early cost-utility models have suggested that an effective primary fusion with BMP-2 may be less costly than that of a symptomatic pseudoarthrosis that necessitates repeated readmission/revision surgery.<sup>21</sup> While formal comparisons between BMP-2 and other graft materials were beyond the scope of this study, the results shown in this cohort nevertheless reinforce the safe and reliable performance of BMP-2 in LLIF.

The primary aim of this study was to evaluate radiographic bony union after LLIF. While pseudoarthroses are a recognized complication of interbody fusion, they are not the only factor in patient recovery postoperatively.<sup>22</sup> Patient-reported outcomes were outside of the scope of this investigation, which certainly bear importance for future study as long-term LLIF follow-up data begin to emerge, but as bony fusion is the primary surgical objective of any interbody fusion, this study provides an important reference point in evaluating

the success of LLIF as a technique, an ongoing critical process that will and must continue.

## CONCLUSION

LLIF is an efficacious and minimally invasive means of addressing lumbar pathology, and this large-scale cohort study further establishes reliable fusion rates at 1 year postoperatively. Significantly, approximately half of all operated levels showed bony union by 6 months postoperatively, an important reference point for guiding postoperative recovery and expectations. The addition of multiple LLIF levels beyond 1- or 2-level fusions did not have a significant impact on fusion rates, which supports the growing application of this maturing technique for more advanced deformities and pathology beyond its original applications.

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