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Int J Spine Surg 2020, 14 (s2) S36-S40

doi: <https://doi.org/10.14444/7089>

<http://ijssurgery.com/content/14/s2/S36>

This information is current as of April 19, 2024.

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Two-Level Anterior Cervical Discectomy and Fusion Versus Cervical Disc Arthroplasty—Long-Term Evidence Update

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ABSTRACT

Background: Multiple studies have highlighted the motion-sparing benefits of single-level cervical disc arthroplasty (CDA) compared with anterior cervical discectomy and fusion (ACDF). However, few studies have reviewed multilevel ACDF versus CDA. Several recent studies have midterm and even long-term data available comparing 2-level ACDF versus CDA.

Methods: We reviewed 3 reports from 2 large randomized, prospective Food and Drug Administration investigational drug exemption trials looking at 2-level CDA versus ACDF, which provide the bulk of the available midterm to long-term, high-level evidence for the topic. We also present several smaller and/or shorter-term studies.

Results: One 5-year study showed that, while both CDA and ACDF showed significant improvement in patient-reported outcome scores, CDA demonstrated greater improvement in Neck Disability Index (NDI) scores than ACDF (mean = -37 versus mean = -28, $P = .0003$), were more likely to be satisfied (96.4% versus 89.5%, $P = .04$), had fewer secondary surgeries (4% versus 16.2%, $P = .0003$), had fewer adjacent level reoperations (3.1% versus 11.4%), and developed less adjacent segment degeneration (50.7% versus 90.5%, $P < .0001$). Adverse events occurred more frequently with ACDF (8.6% versus 4.4%).

Similarly, Lanman et al [Lanman TH, Burkus JK, Dryer RG, Gornet MF, McConnell J, Hodges SD. Long-term clinical and radiographic outcomes of the Prestige LP artificial cervical disc replacement at 2 levels: results from a prospective randomized controlled clinical trial. *J Neurosurg Spine*. 2017;27(1):7–19] showed that, at 7 years, while both groups demonstrated improvement in patient-reported outcomes, CDA had greater improvement in regard to NDI, neck pain, and Short Form (36) Physical Component Summary scores (each $P < .001$), had higher rates of satisfaction (94.8% versus 92.6%), had lower rate of secondary surgery at treated levels (4.2% versus 14.7%), and had a lower, albeit not statistically significant, rate of secondary surgeries at adjacent levels (6.5% versus 12.5%). Adverse events were more common with ACDF (7.2% versus 3.2%).

More recently, Gornet et al [Gornet MF, Lanman TH, Burkus JK, et al. Two-level cervical disc arthroplasty versus anterior cervical discectomy and fusion: 10-year outcomes of a prospective, randomized investigational device exemption clinical trial. *J Neurosurg Spine*. 2019;31:508–518.] in 2019 reported 10-year data from the same clinical trial as the Lanman et al report comparing 2-level CDA (209 patients) versus ACDF (188 patients). With >84% follow-up for both groups at 10 years, they found that CDA demonstrated a statistically significantly improved rate of overall success (84% versus 62%) as compared with ACDF. Greater improvements were seen in several other outcome measures for CDA, including NDI, neurological success, and neck pain scores. The CDA group had a lower rate of serious implant-related adverse events and demonstrated a lower rate of needing a secondary surgery at an adjacent level, 9% versus 18% in the ACDF group.

Conclusions: Results of 2 large randomized trials suggest similar-to-improved patient reported outcomes for multilevel CDA versus ACDF maintained out to midterm to long-term follow-up of 5–10 years, with lower rates of revision surgery at index and adjacent levels and lower rates of serious adverse device-related events.

Level of Evidence: 5.

Clinical Relevance: Comparison of the safety and efficacy of multi-level cervical disc arthroplasty and cervical discectomy and fusion.

Special Issue-Cervical Spine

Keywords: cervical, disc, arthroplasty, anterior, discectomy, fusion, 2-level, multilevel

INTRODUCTION

Multiple studies have highlighted the effectiveness of cervical disc arthroplasty (CDA) for successful management of cervical radiculopathy

and myelopathy, as well as the biomechanical advantages of motion-sparing technology on reducing radiographic and clinically significant adjacent-level degeneration.^{1–6} While a significant amount of

literature and research have been conducted for 1-level anterior cervical discectomy and fusion (ACDF) compared with CDA, few studies have reviewed multilevel ACDF versus CDA. Due to the high prevalence of patients presenting with multilevel cervical degenerative disease, several recent studies have midterm to long-term data available comparing 2-level ACDF versus CDA, helping surgeons guide clinical decision making in regard to implant selection.

METHODS

We reviewed 3 reports from 2 large randomized, prospective Food and Drug Administration (FDA) investigational device exemption trials that are available for 2-level CDA versus 2-level ACDF, which provide the bulk of the available midterm as well as long-term, high-level evidence for the topic. We also reviewed several smaller and/or shorter-term studies that have looked at 2-level CDA versus 2-level ACDF.

RESULTS

Radcliff et al⁷ recently published 5-year outcomes on the results of a prospective, randomized FDA IDE trial comparing 2-level CDA (Mobi-C, LDR Medical/Zimmer Biomet, Warsaw, IN) versus ACDF with corticocancellous grafts and anterior cervical plates. At 5 years, 82.7% of CDA (186 of 225 treated) and 68.6% of ACDF (72 of 105 treated) were available for follow-up. While both groups showed significant improvement in patient-reported outcome scores (visual analog scale [VAS] neck and Neck Disability Index [NDI] scores) from baseline to 5-year follow-up, the CDA group demonstrated greater improvement in NDI scores than the ACDF group (mean = -37, SD 20 versus mean = -28, SD = 18, $P = .0003$). While both groups demonstrated high satisfaction rates with surgery, patients receiving CDA were significantly more likely to be satisfied (96.4% versus 89.5%, respectively, $P = .04$). Furthermore, the CDA group had fewer secondary surgeries than ACDF (4% versus 16.2%, respectively, $P = .0003$) and significantly fewer adjacent level reoperations (11.4% versus 3.1%, respectively). Significantly less radiographic adjacent segment degeneration occurred in the CDA group (50.7% for CDA versus 90.5% for ACDF, $P < .0001$). Additionally, serious device-related adverse events occurred more frequently in ACDF

patients (8.6% ACDF versus 4.4% CDA). Radiographic fusion rates of ACDF were 90.5% at 5 years, with 8.6% of patients requiring revision surgery for symptomatic nonunion.

Similarly, Lanman et al⁸ recently published long-term findings for the Prestige LP (Medtronic, Minneapolis, MN) CDA versus ACDF with cortical ring allograft and Atlantis (Medtronic, Minneapolis, MN) plate.⁸ They reported results from 397 treated patients with up to 84 months' follow-up of a prospective, randomized FDA IDE trial. At 84 months, 73.7% (154 of 209 treated) of CDA patients and 67% (126 of 188 treated) of ACDF patients were available for follow-up. While both CDA and ACDF groups demonstrated significant improvement over baseline patient-reported outcome scores, the CDA group displayed significant improvement compared with the ACDF group in regard to NDI, neck pain, and Short Form (36) Physical Component Summary scores at 84 months (each $P < .001$). Similarly, both groups expressed high rates of satisfaction with surgery, with 94.8% of patients in the CDA group and 92.6% of the patients in the ACDF group reporting *definitely* or *mostly* satisfied. Furthermore, 94.8% and 89.4%, respectively, said they would undergo the surgery again for the same condition. Successful fusion was reported in 92% of ACDF at 84 months, with secondary surgery at treated levels at 4.2% for CDA and 14.7% for ACDF, reaching statistical significance (likelihood ratio = -1.29 [95% confidence interval = -2.12 to -0.46]). Secondary surgeries at adjacent levels through 84 months were higher for ACDF but did not reach significance (6.5% for CDA and 12.5% for ACDF). Serious adverse events classified as *implant/surgical procedure associated* were more common with ACDF, reporting 3.2% for CDA and 7.2% for ACDF (likelihood ratio = -1.19 [95% BCI = -2.29 to -0.15]).

More recently, Gornet et al⁹ reported 10-year data on this same clinical trial, comparing 2-level CDA (209 patients) versus ACDF (188 patients).⁹ With >84% follow-up for both groups at 10 years, they found that CDA demonstrated a statistically significantly improved rate of overall success (84% versus 62%) as compared with ACDF. Greater improvements were seen in several other outcome measures for CDA, including NDI, neurological success, and neck pain scores. All other outcome measures were at least noninferior for CDA as compared with 2-level ACDF. The CDA group had

a lower rate of serious implant-related adverse events and demonstrated a lower rate of needing a secondary surgery at an adjacent level, 9% versus 18% in the ACDF group.

Several smaller studies have been conducted reviewing 2-level ACDF versus CDA, giving further insight into the performance of 2-level CDA outside of FDA IDE trials. However, very few studies have produced outcomes beyond the 24-month follow-up timeframe. Gao et al¹⁰ reviewed 5-year results of 24 2-level CDA (Prestige LP) versus 36 2-level ACDF with ZERO-P spacers (DePuy Spine, Raynham, MA). Both groups exhibited significant improvement in patient-reported outcomes (Japanese Orthopedic Association (JOA) score, NDI, VAS) from baseline to 5-year follow-up with no significant difference seen between groups. The loss of motion from C2–7 was significantly higher in the ACDF group from baseline to 5 years (44.1° to 28.1° for ACDF versus 51.1° to 46.5° for CDA, $P < .001$). However, no significant difference was seen in cervical lordosis maintained from baseline to 5-year follow-up between groups (7.5° to 10.7° for CDA versus 9.6° to 10.0° for ACDF, $P = .243$). While higher rates of adjacent segment degeneration were seen in the ACDF group (8 for ACDF versus 2 for CDA), no reoperations within 5 years were performed for either group.

Multiple smaller studies have been published with approximately 24-month follow-up, with several significant studies worth discussion. Kim et al¹¹ performed a nonrandomized, prospective cohort trial of 105 patients undergoing 1- or 2-level CDA (Bryan Disc, Medtronic Sofamor Danek, Memphis, TN) versus ACDF with a mean follow-up of approximately 19 months. Two-level CDA was performed in 12 patients and 2-level ACDF in 28 patients. For patients undergoing 2-level procedures, all patients significantly improved their VAS and NDI scores from baseline to final follow-up with no significant difference between groups. The 2-level CDA group showed significantly improved C2–7 and functional spinal unit range of motion as well as maintenance of sagittal alignment compared with the 2-level ACDF group. Similarly, the 2-level CDA group demonstrated fewer degenerative radiographic adjacent segment changes than the 2-level ACDF group (33.33% versus 57.14%, respectively).

Fay et al¹² retrospectively compared 40 patients with a 2-level ACDF and 37 patients with a 2-level

CDA (Bryan Disc) with 24 months' follow-up. Both groups significantly improved patient-reported outcome scores (VAS neck, VAS arm, NDI, JOA) scores from baseline to 24-month follow-up with no significant difference between groups. All 2-level ACDFs were found to have bony fusion by 24-month follow-up, and no symptomatic adjacent segment disease requiring reoperations were reported for either group within the study period. The CDA group increased the index segment postoperative range of motion (20.1° at baseline versus 23.5° at 24 months' follow-up, $P = .018$), while the ACDF group significantly decreased motion through the fused segments (19.3° at baseline versus 1.6° at 24-month follow-up, $P < .001$).

Cheng et al¹³ conducted a prospective, randomized control trial with 2-year follow-up of 31 2-level CDA (Bryan Cervical Disc) versus 34 2-level ACDF with iliac crest autograft and Orion anterior cervical plate (Medtronic Sofamor Danek, Memphis, TN). No significant differences were seen in baseline demographics, and 1 2-level and 2 2-level ACDF patients did not complete final follow-up. Both groups demonstrated significant improvement in patient-reported outcomes (NDI, VAS-arm, VAS-neck) from baseline to 2-year follow-up ($P < .001$). When compared between groups, 2-level CDA showed significant improvements in NDI, VAS-arm, and VAS-neck compared with 2-level ACDF at 24-month follow-up ($P = .023$, $P = .013$, and $P = .012$, respectively). The 2-level CDA group did not show significant change in range of motion, retaining an average of 7.9° through the operative segments over 24-month follow-up ($P = .35$). Furthermore, no neurological complications were reported in either group, and there were no device failures, spontaneous fusions, or explantations.

Hou et al¹⁴ reported 24-month follow-up on the 1- and 2-level Discover (DePuy Spine, Raynham, MA) CDA versus 1- and 2-level ACDF, with 32 and 88 patients receiving 2-level CDA and 2-level ACDF, respectively. It is important to note this cohort study used dissimilar groups, with enrolled patients with contraindications to CDA (instability, facet arthropathy, osteoporosis, etc.) placed into the ACDF group. Both groups showed significant improvement in VAS and NDI from baseline to 24-month follow-up, with no significant difference between groups (VAS $P = .28$, NDI $P = .21$). No significant differences were reported for complica-

tion rates (21.9% for CDA and 29.5% for ACDF, $P = .4$), but a significant increase in radiographic changes at adjacent levels was seen for 2-level ACDF compared with 2-level CDA (15.6% versus 42%, respectively, $P = .007$).

DISCUSSION

The results of the 2 large randomized trials suggest similar-to-improved patient-reported outcomes for 2-level CDA versus 2-level ACDF maintained out to midterm to long-term follow-up of 5–10 years with lower rates of revision surgery at index and adjacent levels and lower rates of serious adverse device-related events for 2-level CDA as compared with 2-level ACDF. The results must be interpreted considering multiple sources of bias commonly found with industry-funded trials, such as publication, observer, ascertainment, and confirmation biases as well as the Hawthorne effect. Patients were not blinded to the results of treatment, and readers do not know the initial treatment preference of the patients prior to enrollment. The potential for observer, ascertainment, and confirmation bias exists with the reporting of postoperative outcomes as well as indications that lead to reoperations. Financial conflicts of interest can create publication bias, potentially limiting the publication and communication of negative results that would compromise the large industry investments in implants and trial performance. Despite the inherent biases present in these trials, these 3 studies demonstrate the highest available levels of evidence with large prospective randomized trials and supply the most robust data available for clinicians to make medical decision making.

The preponderance of available data suggests that, for proper indications as outlined in the abovementioned FDA IDE trials, 2-level CDA is a safe and effective surgical procedure for the treatment of cervical radiculopathy and/or myelopathy with comparable to improved clinical and radiographic outcomes compared with ACDF out to long-term follow-up. Until longer-term data become available, we are unable to make evidence-based conclusions on the safety or effectiveness of 2-level CDA beyond 10 years.

Of note, author #3 receives royalties from a company involved in the manufacturing of a device examined in this study.

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Disclosures and COI: Z.H.G.: None. B.B.: Personal fees from Innovative Surgical Designs, outside the submitted work. R.S.: Personal fees from Medtronic, outside the submitted work.

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Published 30 September 2020

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